

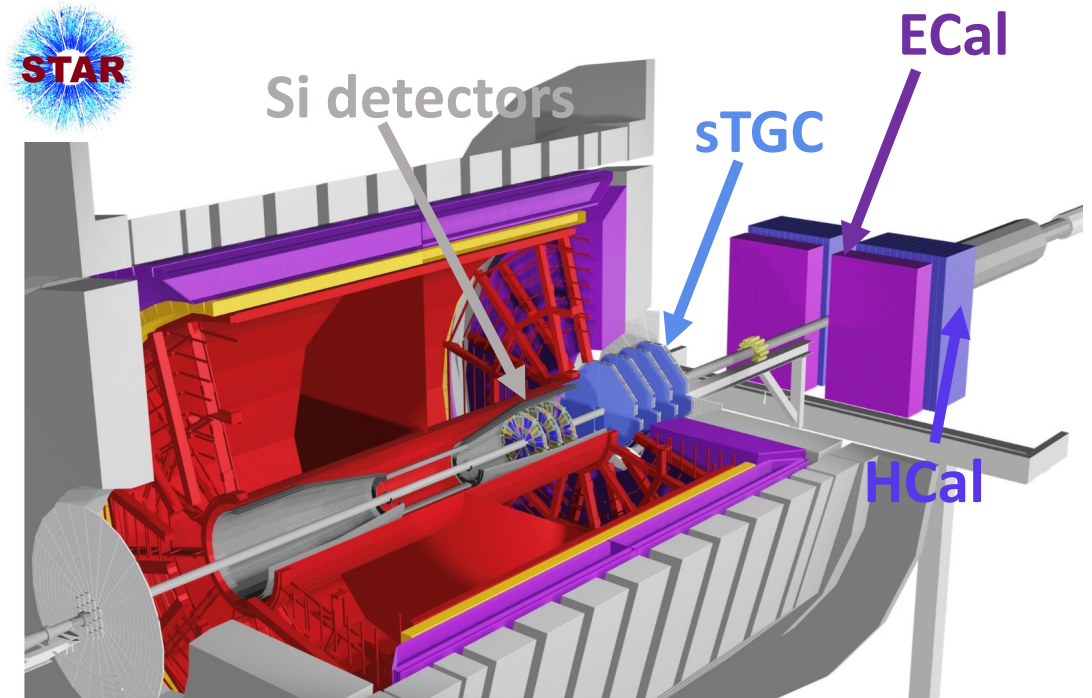
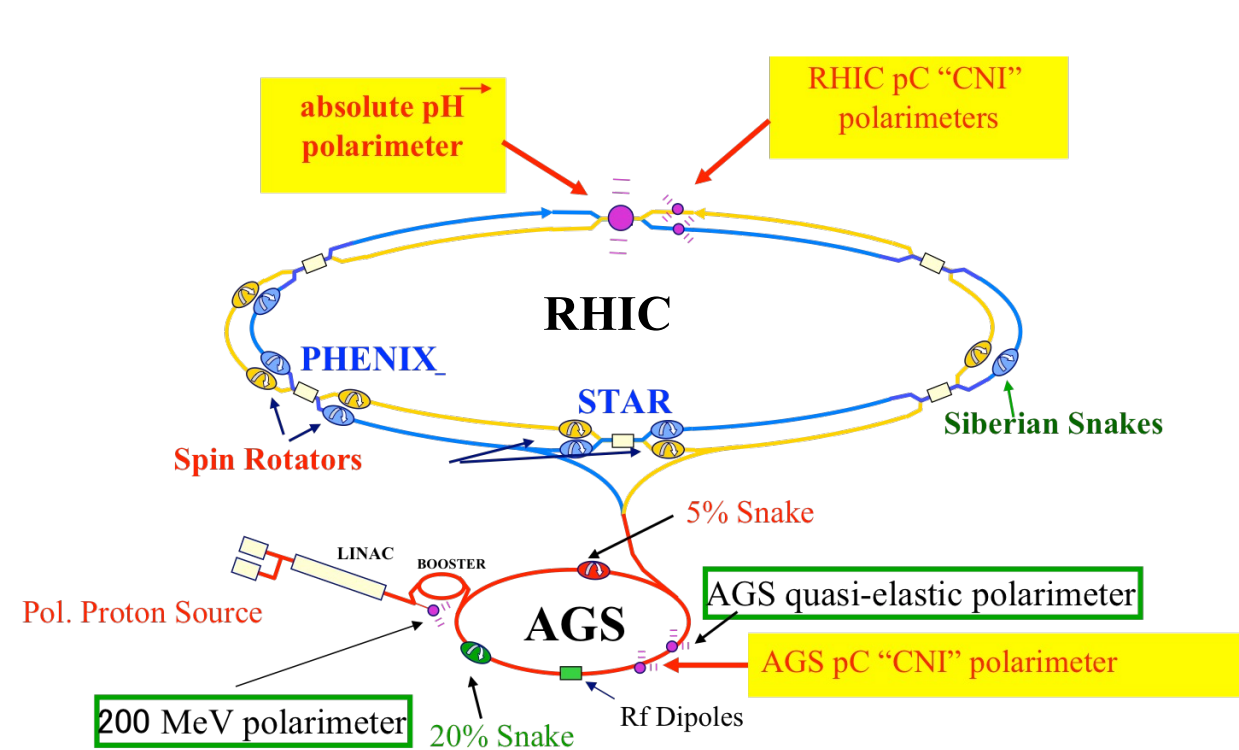
An overview of nucleon spin and 3D structure at STAR

Xiaoxuan Chu, on behalf of the STAR Collaboration
Brookhaven National Laboratory
April 8th – 12th, 2024
Grenoble, France



Supported by

The Cold QCD Program at STAR



STAR Forward Upgrade: $2.5 < \eta < 4$

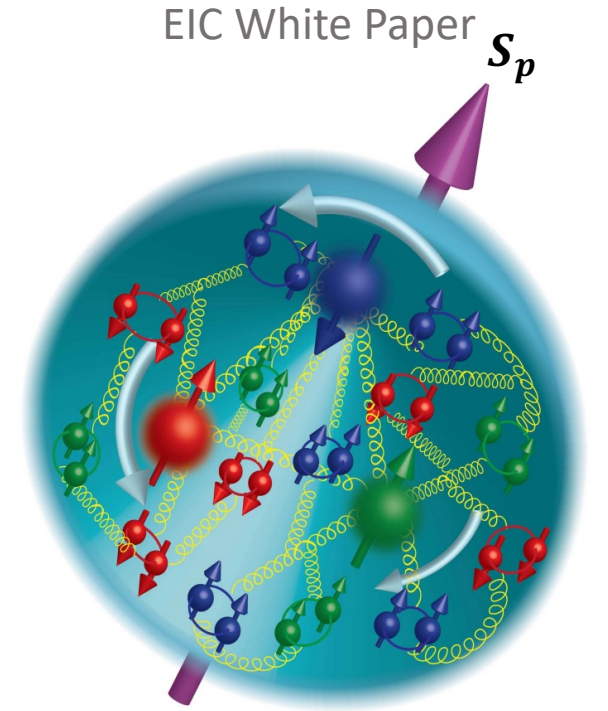
- RHIC: first and only (**longitudinally and transversely**) polarized pp collider, also capable of colliding AA .
- STAR: has been collecting data with its **forward-upgraded detectors** and will continue data collection until 2025.
- RHIC Run24: starts soon on April 15th, includes **19 weeks of 200 GeV trans. polarized pp** and 6 weeks of $AuAu$.

The Cold QCD Program at STAR

The **physics goals** of Cold QCD program at STAR:

1. understand the decomposition of **proton spin**:
 - (anti)quark helicity: $W A_L; \Lambda D_{LL}$
 - gluon helicity: jet and dijet $A_{LL}; \pi^0 A_{LL}$

Long. polarized program



Inner building blocks of a proton, quarks and gluons, and their possible orbital motion, contribute to proton spin.

$$S_p = \frac{1}{2} = \frac{1}{2} \Delta \Sigma + \Delta G + L_q + L_g$$

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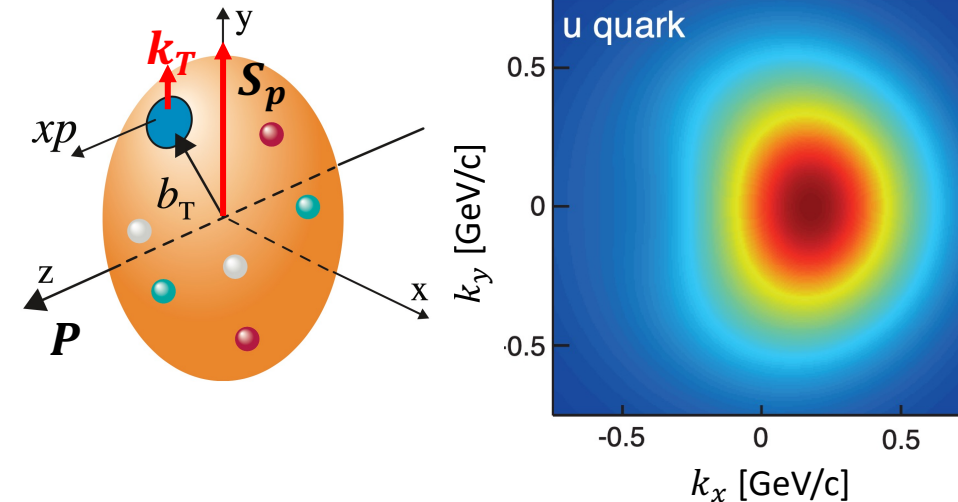
Long. polarized program

2. explore the **multidimensional landscape in coordinate and momentum space** of nucleons and nuclei:

- initial and final state TMD* effects
- single-spin asymmetry of weak boson
- single-spin asymmetry in forward region
- di-hadron interference fragmentation function

Tran. polarized program

EIC White Paper



3-dimensional image of the structure of a proton:
 k_{\perp} is the transverse momentum of a parton

*Transverse momentum dependent parton distribution function
TMD: $f(x, k_{\perp}, Q^2)$

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Long. polarized program

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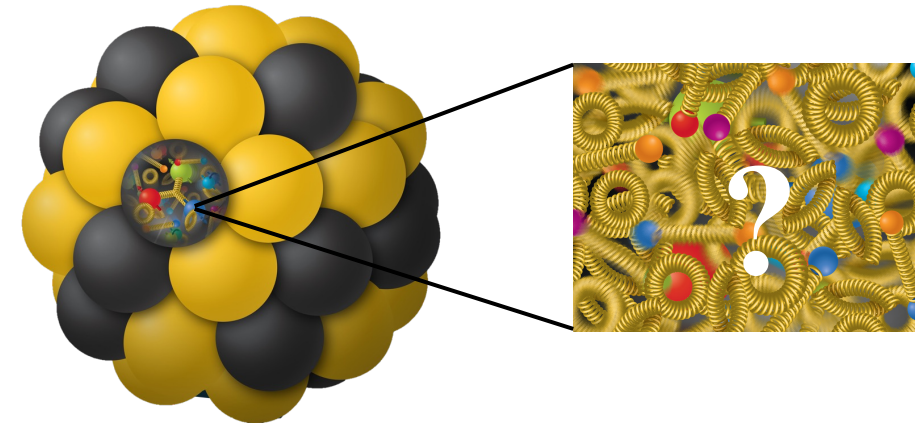
Tran. polarized program

3. study the **collinear parton distributions**

- high- x quark and low- x gluon distributions
- Λ polarization

Unpolarized program

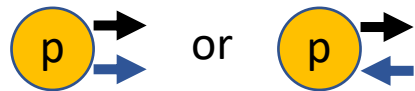
Courtesy: BNL



Small x gluon dynamics

*Transverse momentum dependent parton distribution function
TMD: $f(x, k_{\perp}, Q^2)$

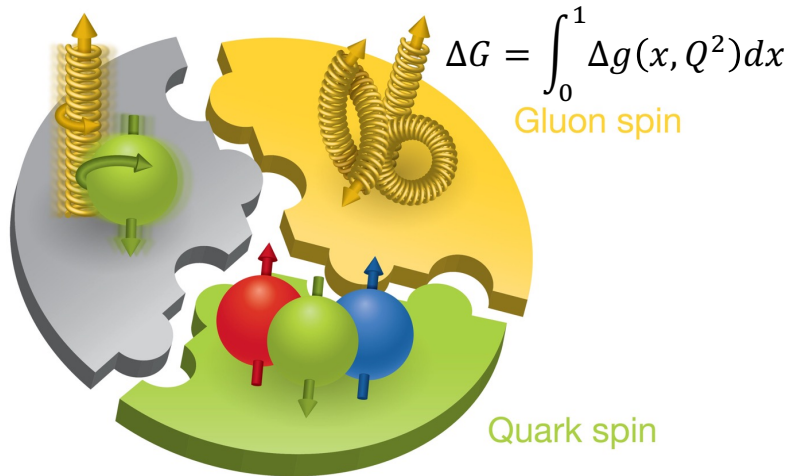
Longitudinally polarized program at STAR



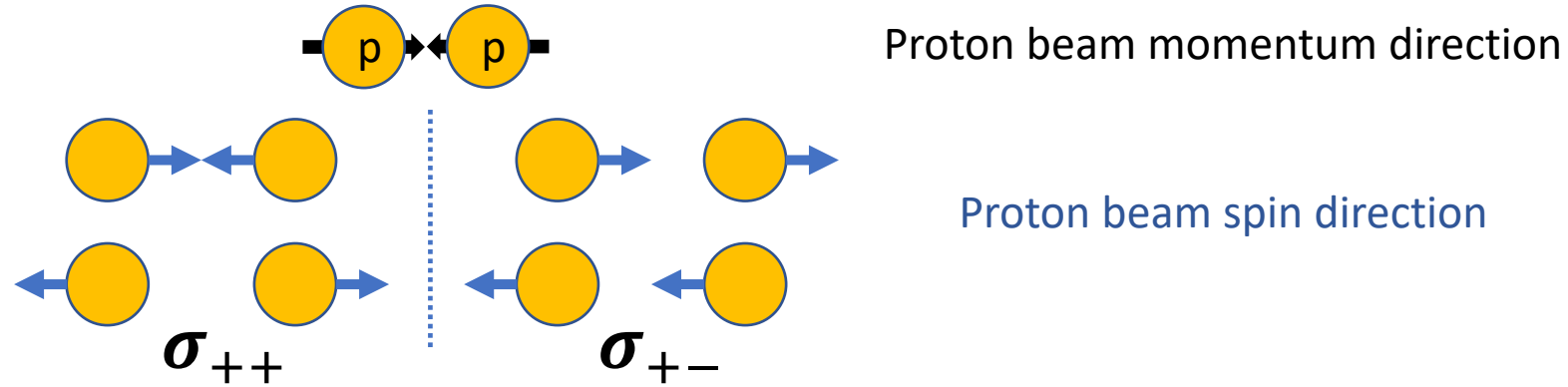
→ Proton beam spin direction
→ Proton beam momentum direction

Measuring quark and gluon helicity at STAR

Proton Spin S :



Description of A_{LL} measurement:



$$A_{LL} = \frac{\sigma_{++} - \sigma_{+-}}{\sigma_{++} + \sigma_{+-}} = \frac{\Sigma \Delta f_a \otimes \Delta f_b \otimes \hat{\sigma} a_{LL} \otimes D}{\Sigma f_a \otimes f_b \otimes \hat{\sigma} \otimes D} \xrightarrow{\text{Global fit}} \Delta f(x, Q^2)$$

Measurements at RHIC use **longitudinally polarized p+p data to extract:**

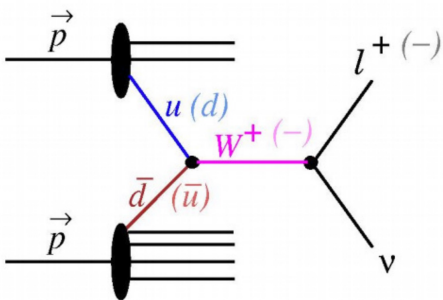
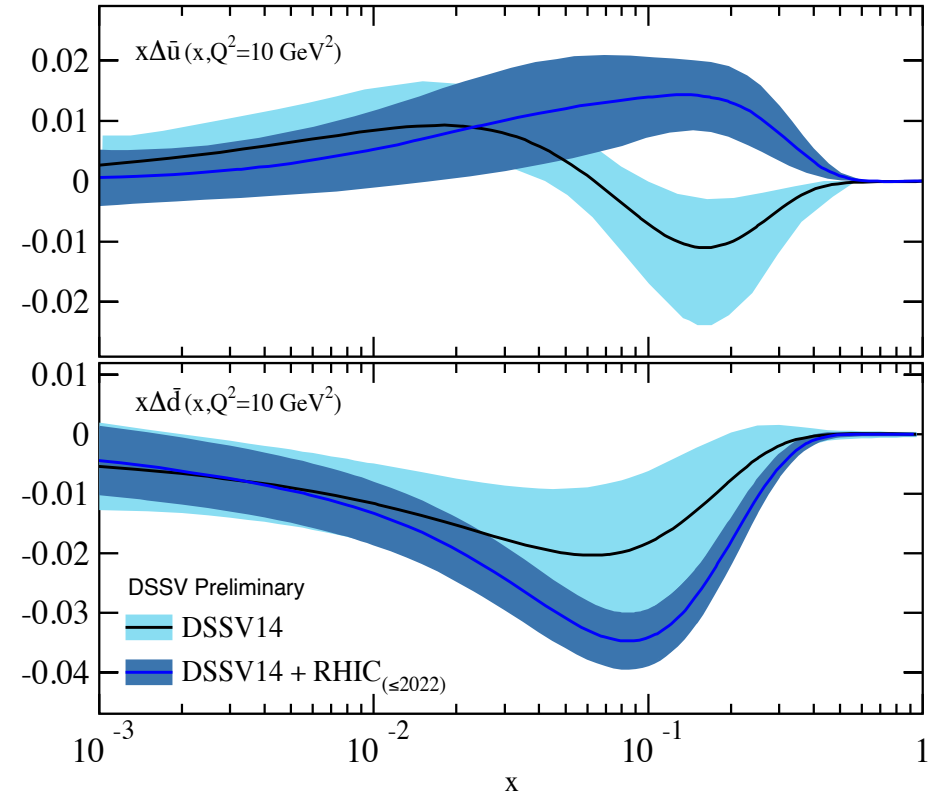
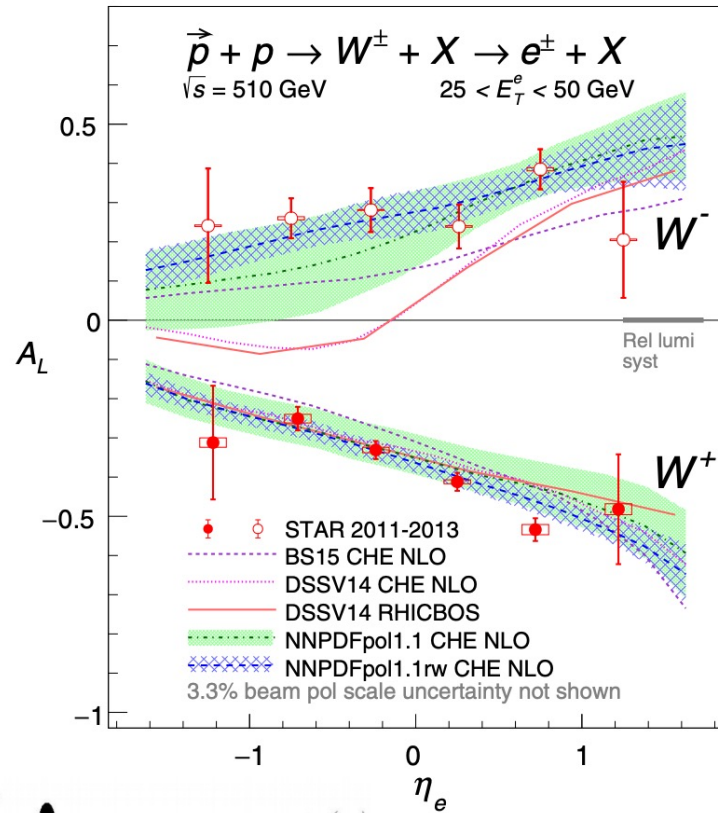
- Polarized sea quark helicity distribution Δq
 - $\Delta \bar{u}$ and $\Delta \bar{d}$: $\vec{p}p \rightarrow W + X$, $A_L = \frac{\sigma_+ - \sigma_-}{\sigma_+ + \sigma_-}$, $W^{+/-} \rightarrow$ natural flavor separation
 - $\Delta s(\bar{s})$: $\Lambda(\bar{\Lambda})$ production, $D_{LL} = \frac{\sigma_{p^+p \rightarrow \Lambda^+X} - \sigma_{p^+p \rightarrow \Lambda^-X}}{\sigma_{p^+p \rightarrow \Lambda^+X} + \sigma_{p^+p \rightarrow \Lambda^-X}}$, sensitive to polarized fragmentation functions (FF) and $\Delta s(\bar{s})$
- Polarized gluon helicity distribution Δg : jet/dijet/hadron, $A_{LL} \propto \Delta f$, sensitive to Δg at RHIC energy

$$A_L = \frac{\sigma_+ - \sigma_-}{\sigma_+ + \sigma_-}$$

Sea quark helicity from STAR: $\Delta\bar{u}, \Delta\bar{d}$

STAR, PRD 99, 051102 (2019)

arXiv:2302.00605



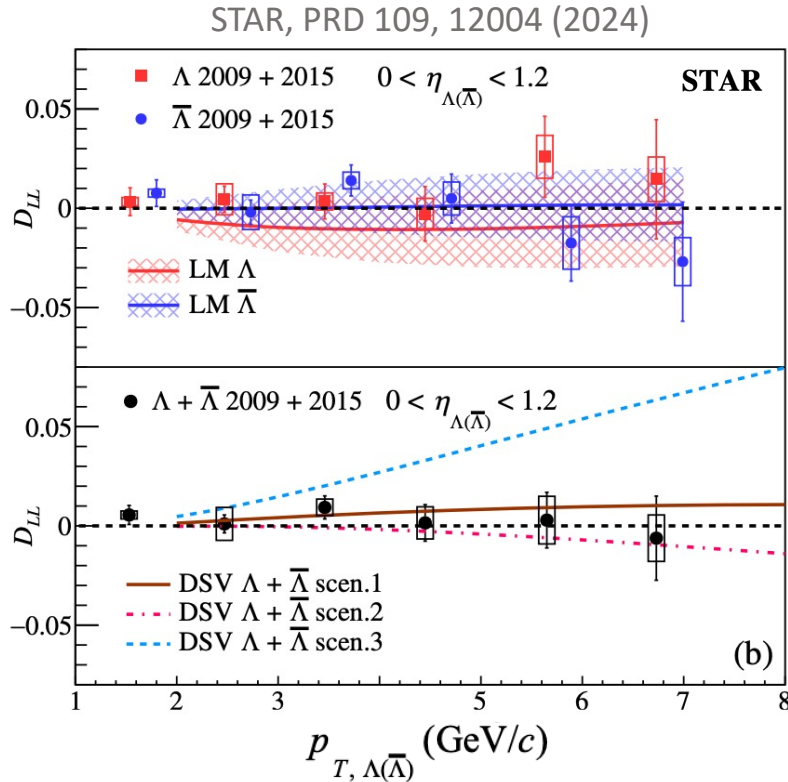
- Measured parity-violating single-spin asymmetry of $W^{+(-)} \propto \Delta\bar{d}(\Delta\bar{u})$
- For the first time, we can conclude an asymmetry between \bar{u} and \bar{d} polarization: $\Delta\bar{u} - \Delta\bar{d} > 0$ with STAR 2013 $W^{+/-}$ data

Strange quark helicity: Δs

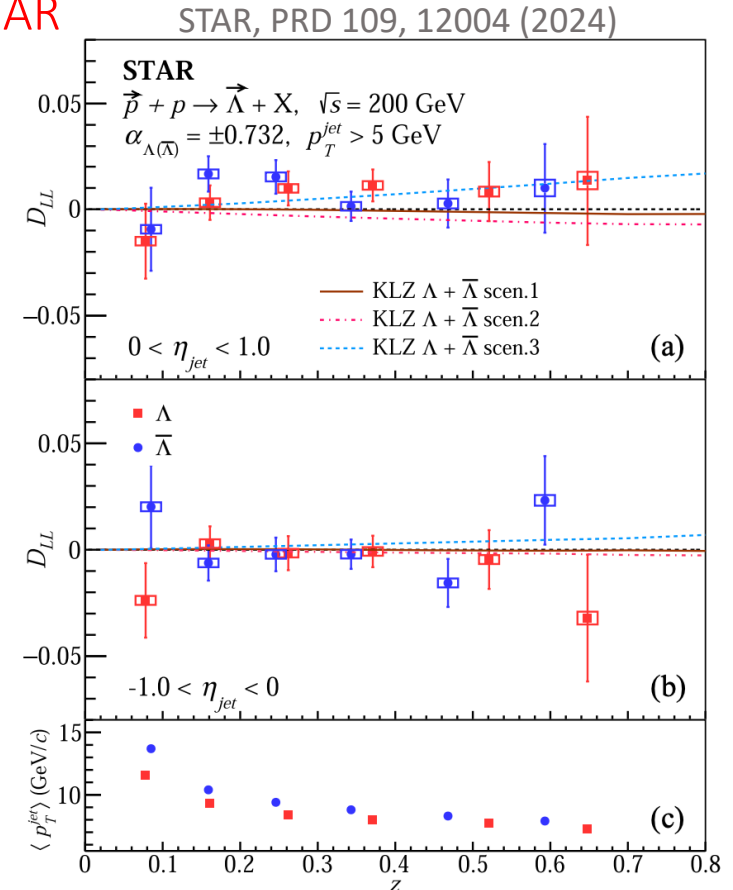
$$D_{LL} = \frac{\sigma_{p^+p \rightarrow \Lambda^+X} - \sigma_{p^+p \rightarrow \Lambda^-X}}{\sigma_{p^+p \rightarrow \Lambda^+X} + \sigma_{p^+p \rightarrow \Lambda^-X}}$$

See Yi Yu's talk, WG5, next talk

Recently published by STAR



Theory curves: D. de Florian et al, PRL 81, 530 (1998)



Theory curves: Z.B. Kang et al, PLB 809, 135756 (2020)

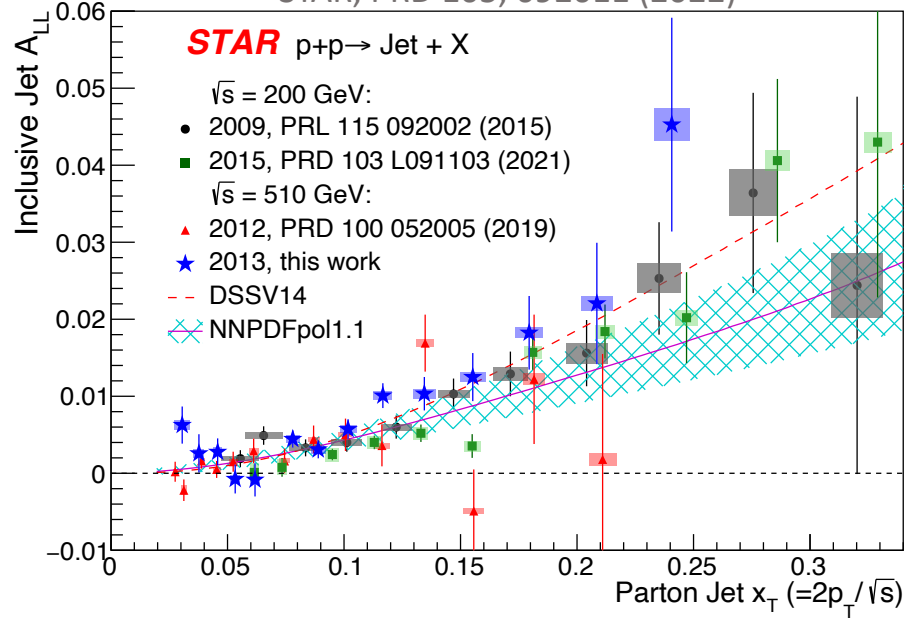
$$z = \frac{\vec{p}_{\Lambda} \cdot \vec{p}_{jet}}{\vec{p}_{jet} \cdot \vec{p}_{jet}}$$

- Longitudinal spin transfer coefficient D_{LL} of Λ and $\bar{\Lambda}$ within jets constrains polarized fragmentation functions and $\Delta s(\bar{s})$
- Results show consistency between Λ and $\bar{\Lambda}$; data agree with various models within uncertainties
- 2015 data: most precise measurements to date with twice the statistics of the 2009 dataset STAR, PRD 98 (2018) 112009

Gluon helicity Δg measurement at STAR

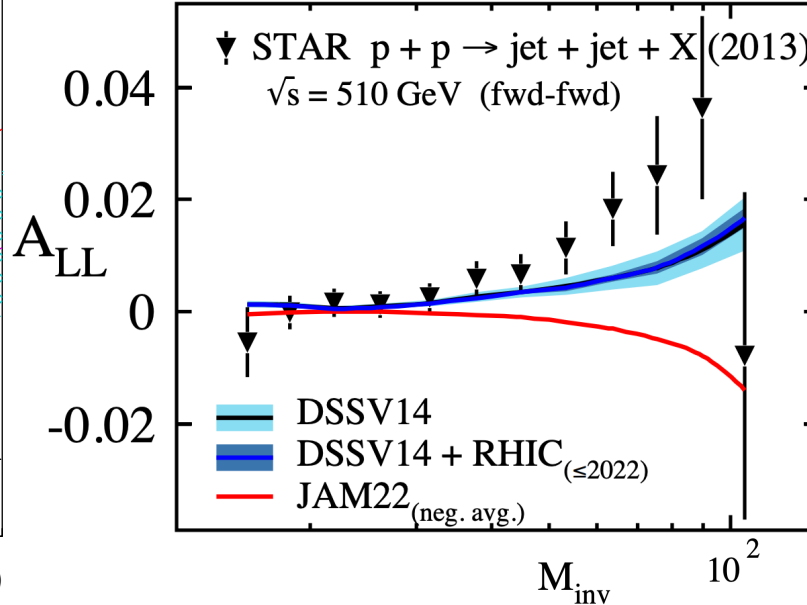
$$A_{LL} = \frac{\sigma_{++} - \sigma_{+-}}{\sigma_{++} + \sigma_{+-}}$$

STAR, PRD 105, 092011 (2022)



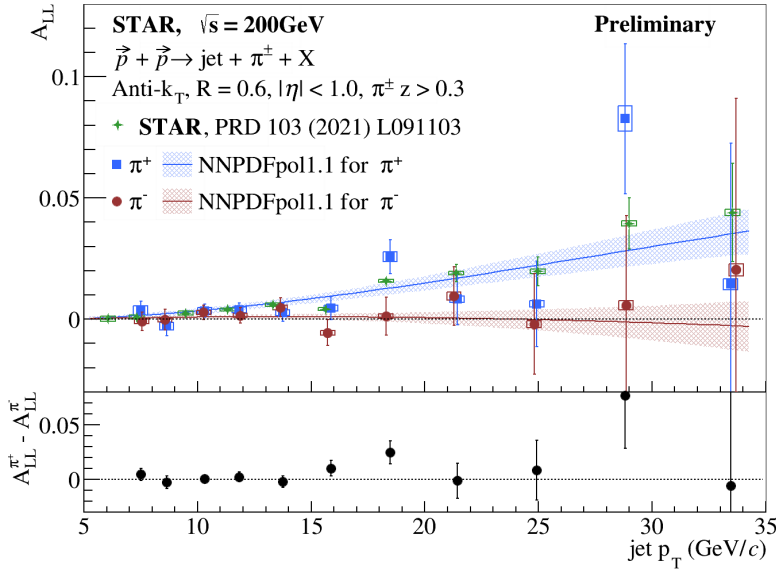
inclusive jet A_{LL}

STAR, PRD 105, 092011 (2022)



dijet A_{LL}

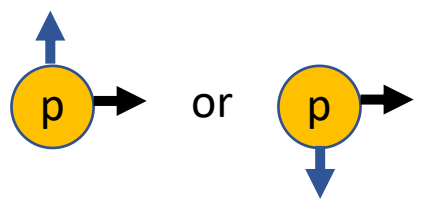
See Yi Yu's talk, WG5, next talk



π tagged jet A_{LL}

- STAR inclusive jet A_{LL} using 2009 data provided first evidence of positive gluon polarization at $0.05 < x < 0.2$
- STAR inclusive and dijets A_{LL} at 200 and 510 GeV using 2009 to 2015 data:
 - Consistent results from both energies
 - 200 GeV data constrain $\Delta g(x)$ for $x > 0.05$
 - Forward detection and higher collision energy at 510 GeV data push the sensitivity to lower $x \rightarrow 0.02$
- STAR inclusive jets tagged with π^\pm carrying high z can provide further constraints on $\Delta g(x)$

Transversely polarized program at STAR



↑ Proton beam spin direction
→ Proton beam momentum direction

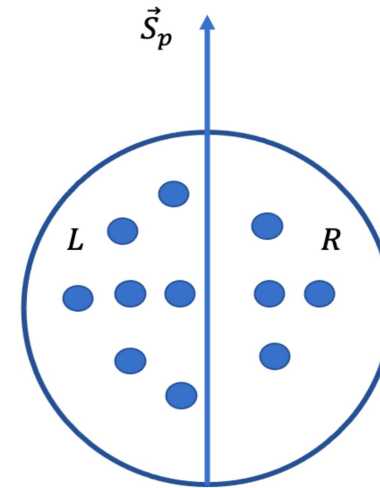
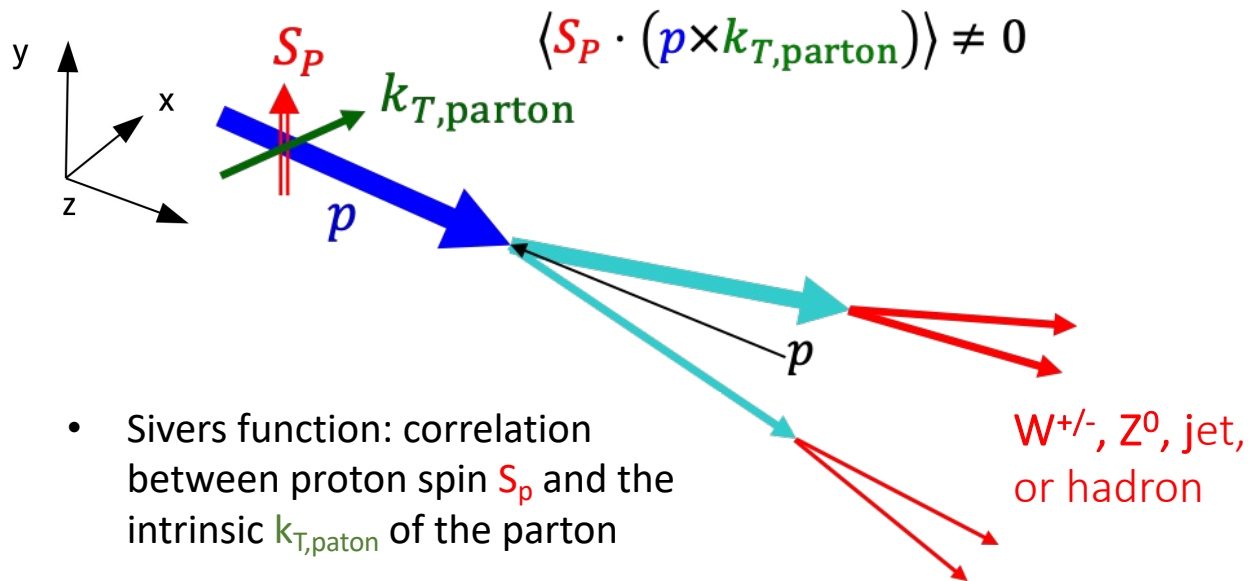
How to extract Sivers function

- Transverse single-spin asymmetry (TSSA, A_N) in 200 GeV and 500/510 GeV pp collisions

- Sensitive to one of the **polarized TMDs**, Sivers Function

$$f_{1T}^\perp = \begin{array}{c} \uparrow \\ \circ \\ \text{Sivers} \\ \downarrow \end{array} - \begin{array}{c} \circ \\ \downarrow \\ \text{Sivers} \\ \uparrow \end{array}$$

Initial state TMD

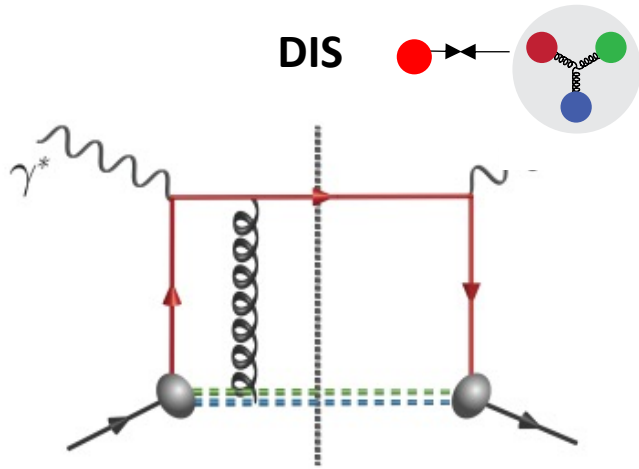


↑ ↓: spin up or down
 P: polarization fraction
 L,R: left or right respect to spin direction

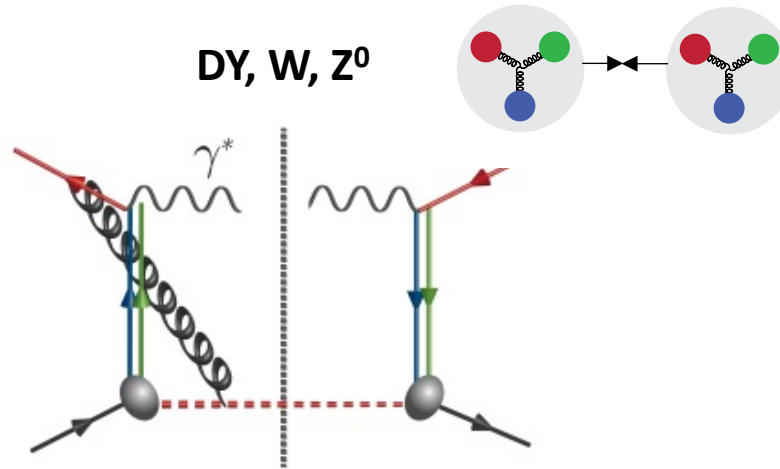
- Sivers function: correlation between proton spin S_p and the intrinsic $k_{T,\text{parton}}$ of the parton
- A_N : left-right asymmetry in the final state

$$A_N \cdot \cos(\phi) = \frac{1}{\langle P \rangle} \cdot \frac{\sqrt{N_\uparrow(\phi)N_\downarrow(\phi + \pi)} - \sqrt{N_\uparrow(\phi + \pi)N_\downarrow(\phi)}}{\sqrt{N_\uparrow(\phi)N_\downarrow(\phi + \pi)} + \sqrt{N_\uparrow(\phi + \pi)N_\downarrow(\phi)}}$$

Sivers function for $W^{+/-}$ and Z^0



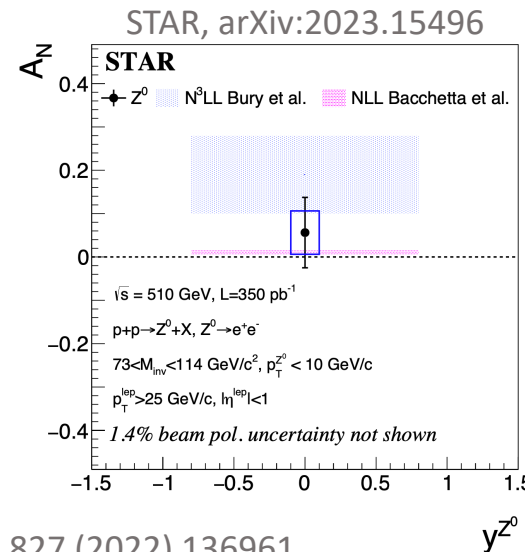
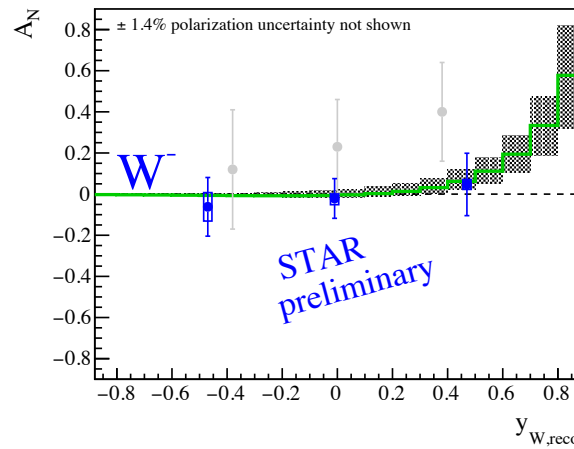
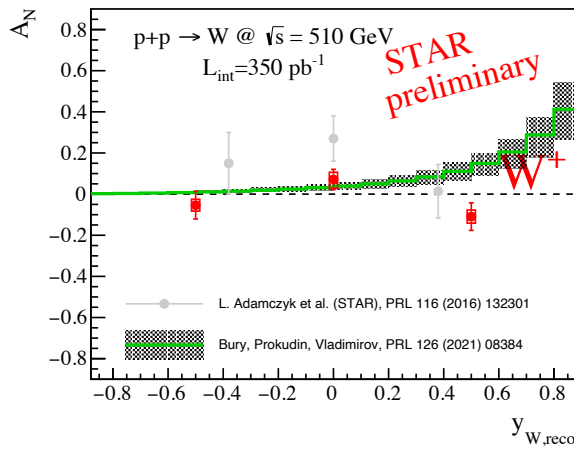
Final-state interaction



Initial-state interaction

Sivers effect is **NOT** universal; it is a process-dependent effect:

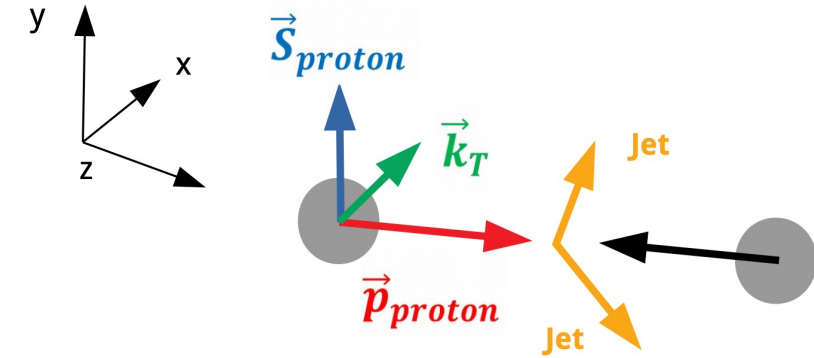
$$\rightarrow \text{Sivers}_{\text{DIS}} = - (\text{Sivers}_{\text{DY}} \text{ or } \text{Sivers}_{\text{W,Z0}})$$



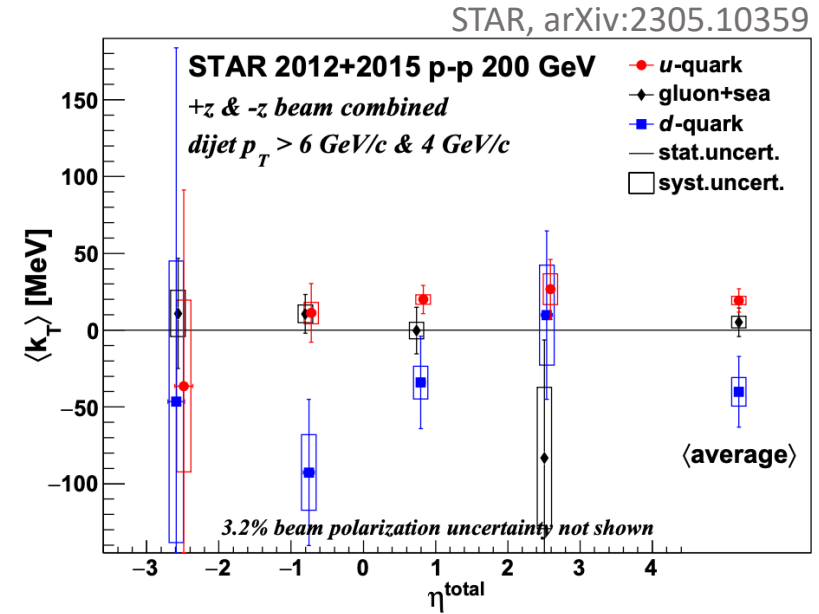
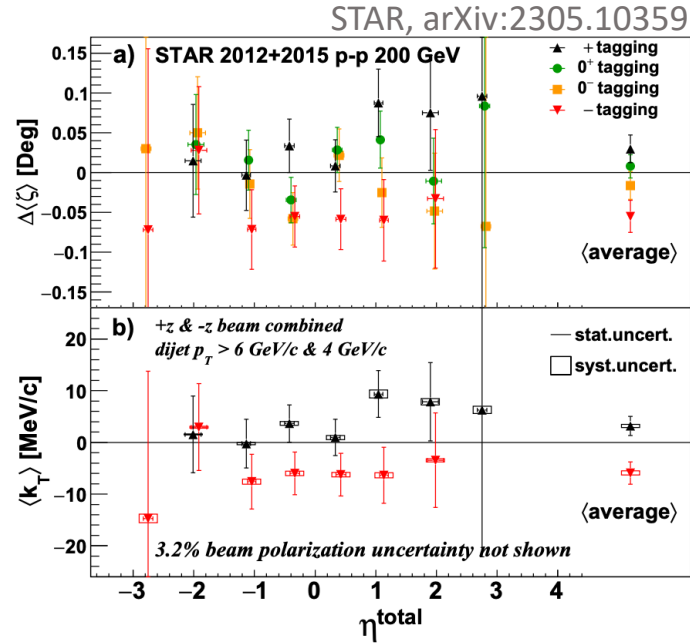
- Mid-rapidity $W^{+/-}$ and Z^0 A_N : statistics much improved with run 2017 compared to run 2011 (25 pb^{-1})
- Additional 400 pb^{-1} data from Run 2022 with Forward Upgrade and η coverage extended by STAR iTPC

Bury et al., PRL 126 (11) (2021) 112002; Bacchetta et al., PLB 827 (2022) 136961

Asymmetry for dijet opening angle



Spin-dependent dijet opening angle
 → sensitive to the Sivers TMD



- What's observed: the first non-zero Sivers effect

$$\langle k_T^u \rangle = 19.3 \pm 7.6 \pm 2.6 \frac{\text{MeV}}{c}, \langle k_T^d \rangle = -40.2 \pm 23.0 \pm 9.3 \frac{\text{MeV}}{c}, \langle k_T^{g+\text{sea}} \rangle = 5.2 \pm 9.3 \pm 3.8 \frac{\text{MeV}}{c}$$

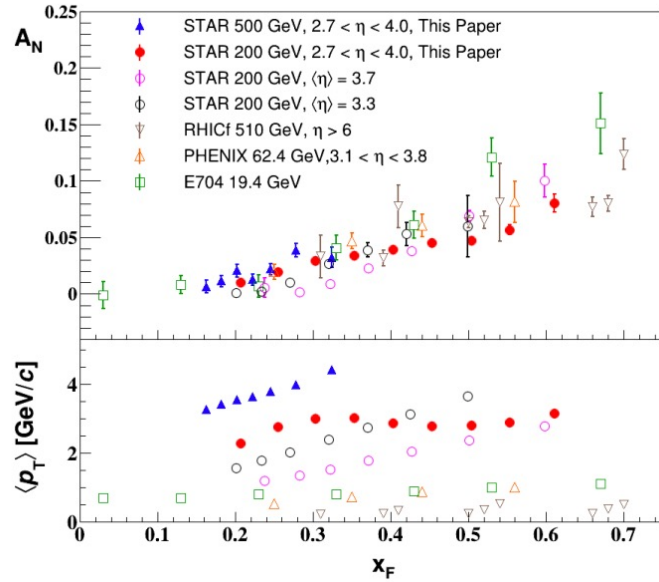
$$\text{with jet flavor tagged by jet charge } Q = \sum_{p > 0.8 \text{ GeV}/c} \frac{p^{\text{trk}}}{p^{\text{jet}}} \cdot q.$$

e.g., $Q > 0.25$ means + tagging, u quark signal enhanced

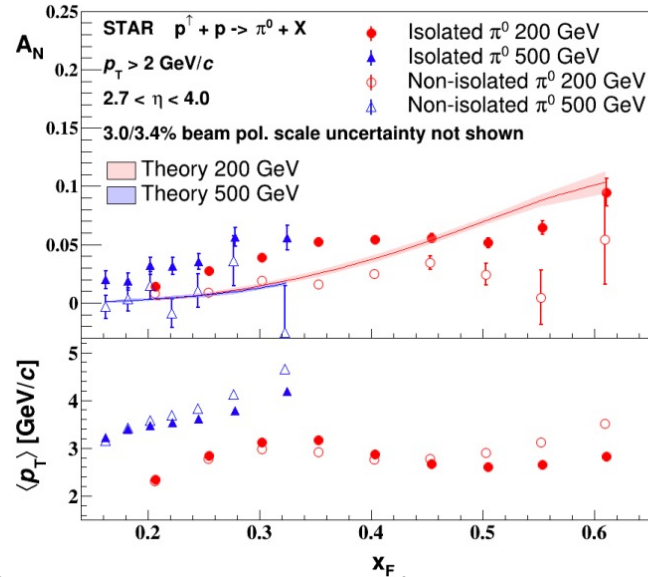
- What's next: x dependence probed by combining this result with 510/508 GeV data from 2017 and 2022, improved statistic with extended η coverage by STAR iTPC and Forward Upgrade for 2024 data-taking

Asymmetry in the forward region

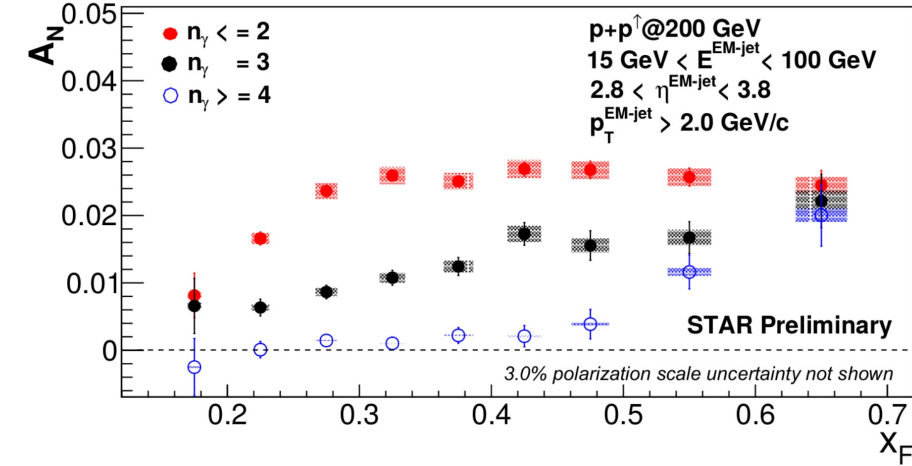
A_N for inclusive π^0



A_N for (non-)isolated π^0

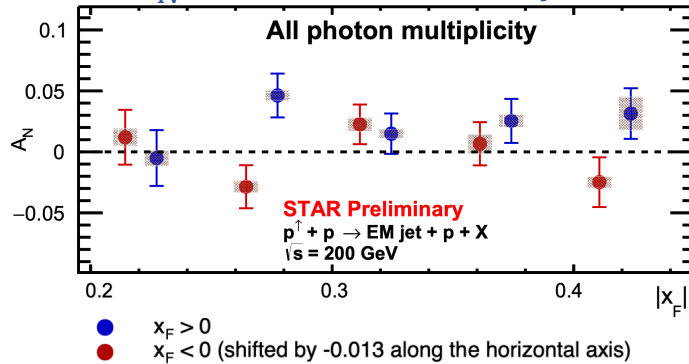


A_N for EM jet



Theory curve: J. Cammarota et al., PRD 102, 054002 (2020)

A_N for diffractive EM jet

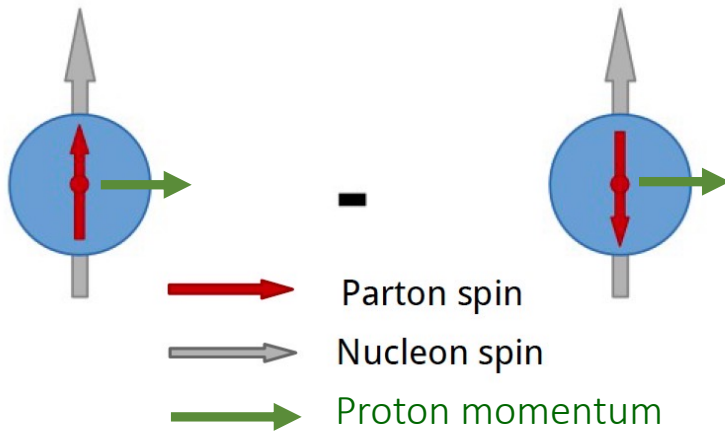


- Sizeable A_N asymmetries for forward π^0 observed: contributed from higher twist, Sivers, Collins (final state), and/or possibly from diffraction
- Very weak collision energy dependence of π^0 /EM jet A_N
- Topological dependence of π^0 A_N : isolated π^0 > non-isolated π^0
- γ multiplicity dependence of EM jet A_N : decreases with higher multiplicity
- Diffraction: single diffractive EM jet A_N is $>2\sigma$ from 0 when integrating over x_F
- Run2022 and 2024: improved statistic for various objects using Forward Upgrades

See Xilin Liang's talk, [WG5](#), Wed 4pm

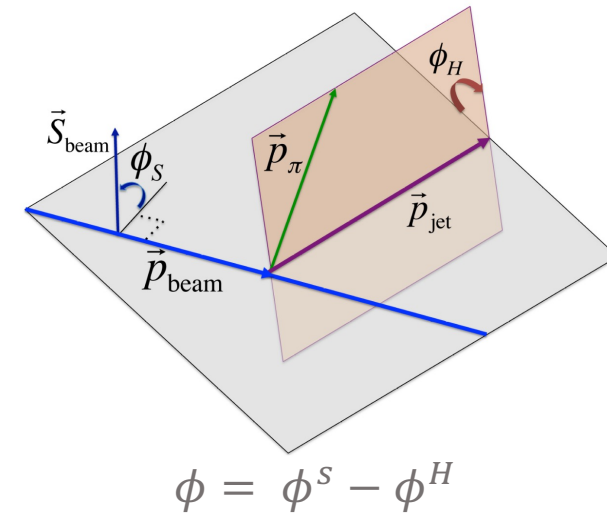
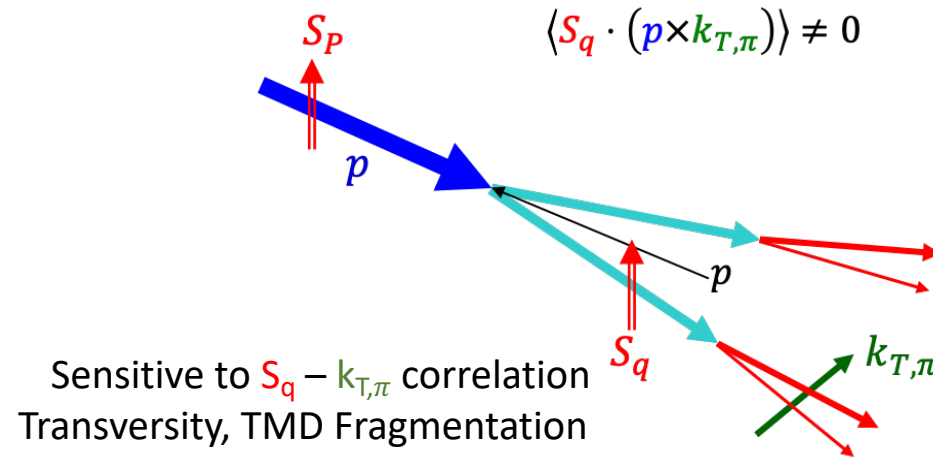
Transversity and Collins fragmentation functions

Transversity



Quark polarization along the spin of a transversely polarized proton

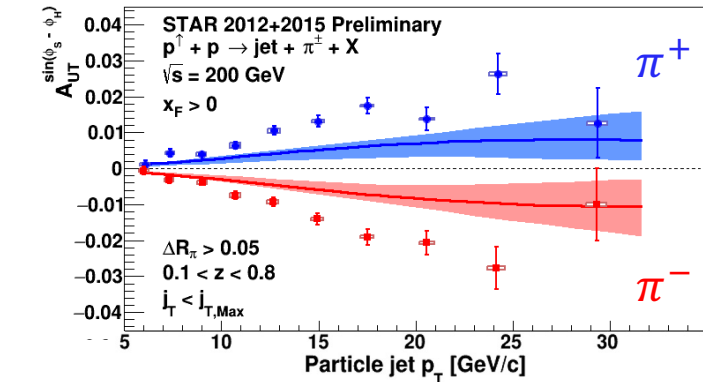
Final state: Collins



- **Observables:** $A_{UT}^{\sin(\phi)}$ for hadrons
- Collins function is predicted to be universal

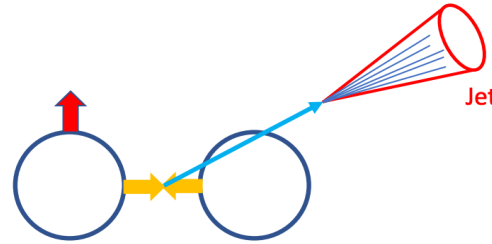
Collins asymmetry for π^\pm in jets

STAR, PRD 106, 072010 (2022)

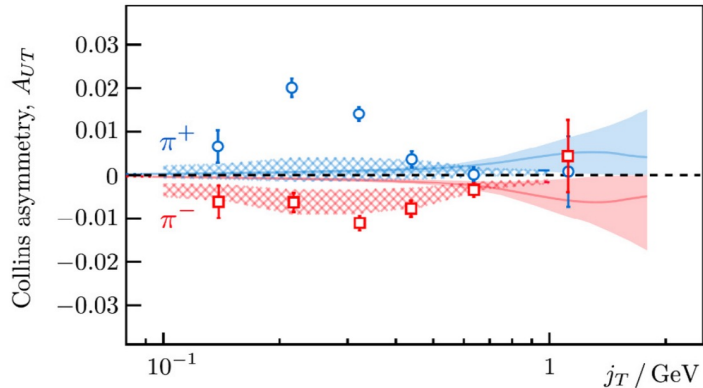
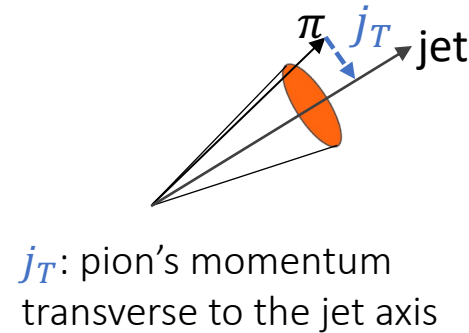


Highlight in 2023 LRP for NP

$x^F > 0$



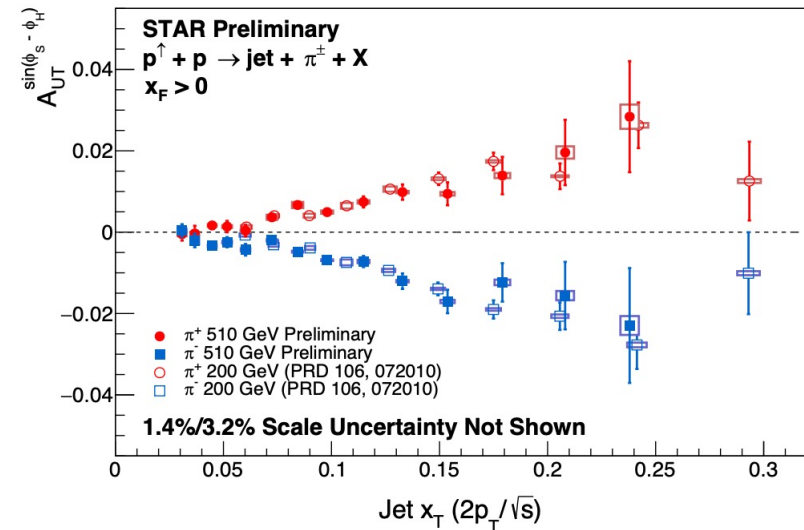
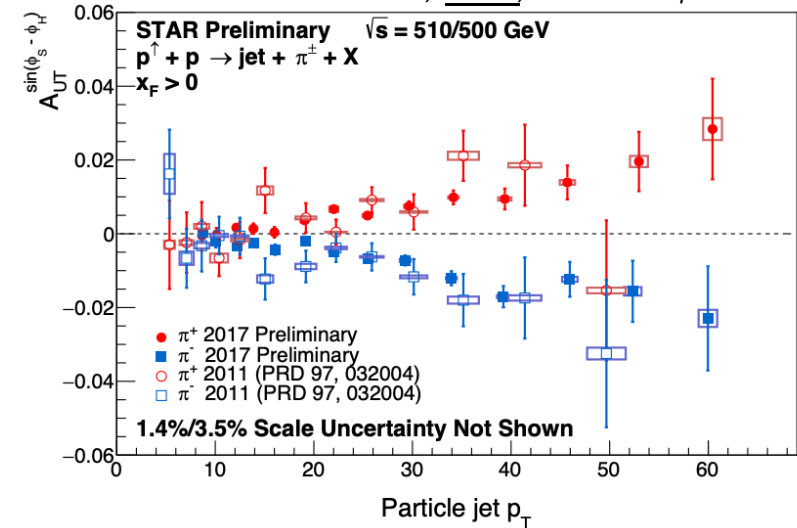
j_T : pion's momentum transverse to the jet axis



Spin-dependent modulation of π^\pm in jets at mid-rapidity ($|\eta_{jet}| < 1$):

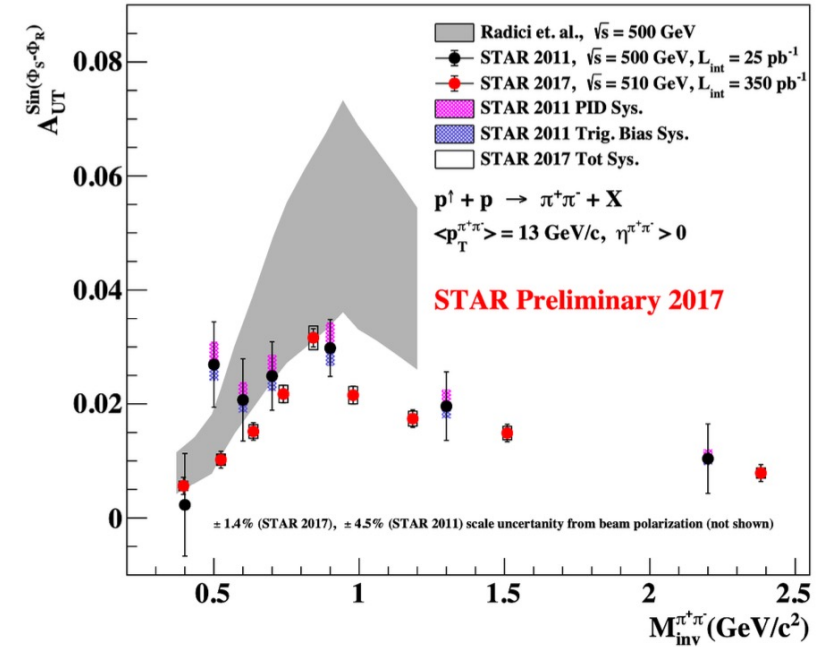
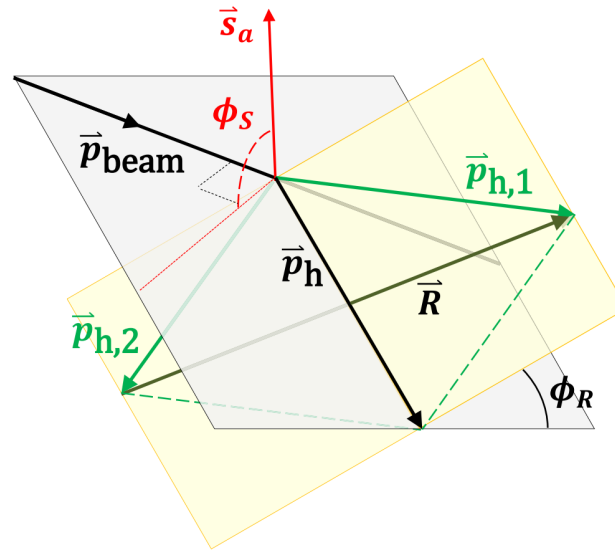
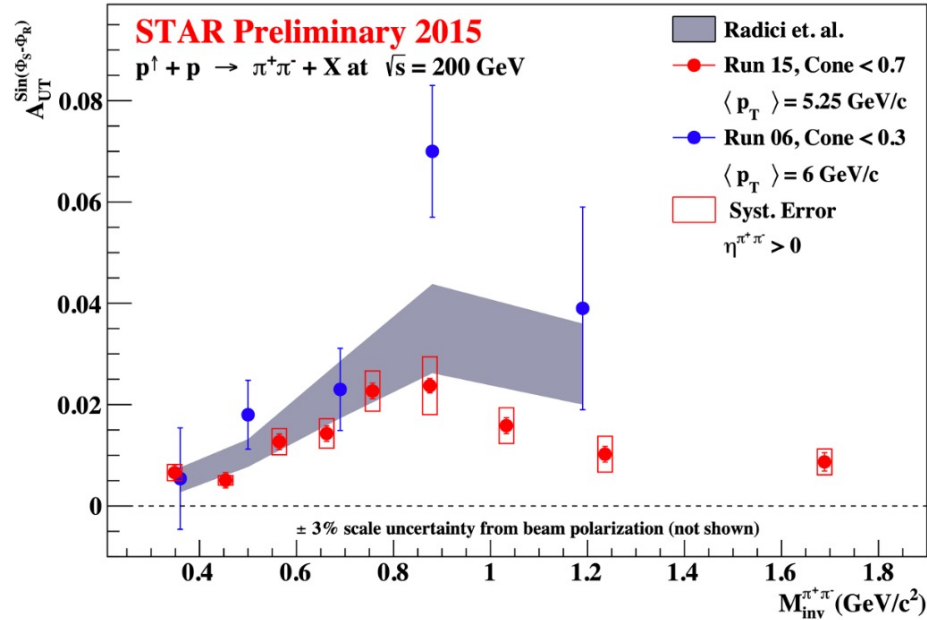
- Significant Collins asymmetries for π^\pm measured with high precision
- Stringent constraints on theoretical calculations of transversity and Collins FF
- New results show weak energy dependence and provide important constraints on the scale evolution for Collins asymmetry

See Yike Xu's talk, WG5, Wed 4:20pm



Interference FF from di-hadron measurement

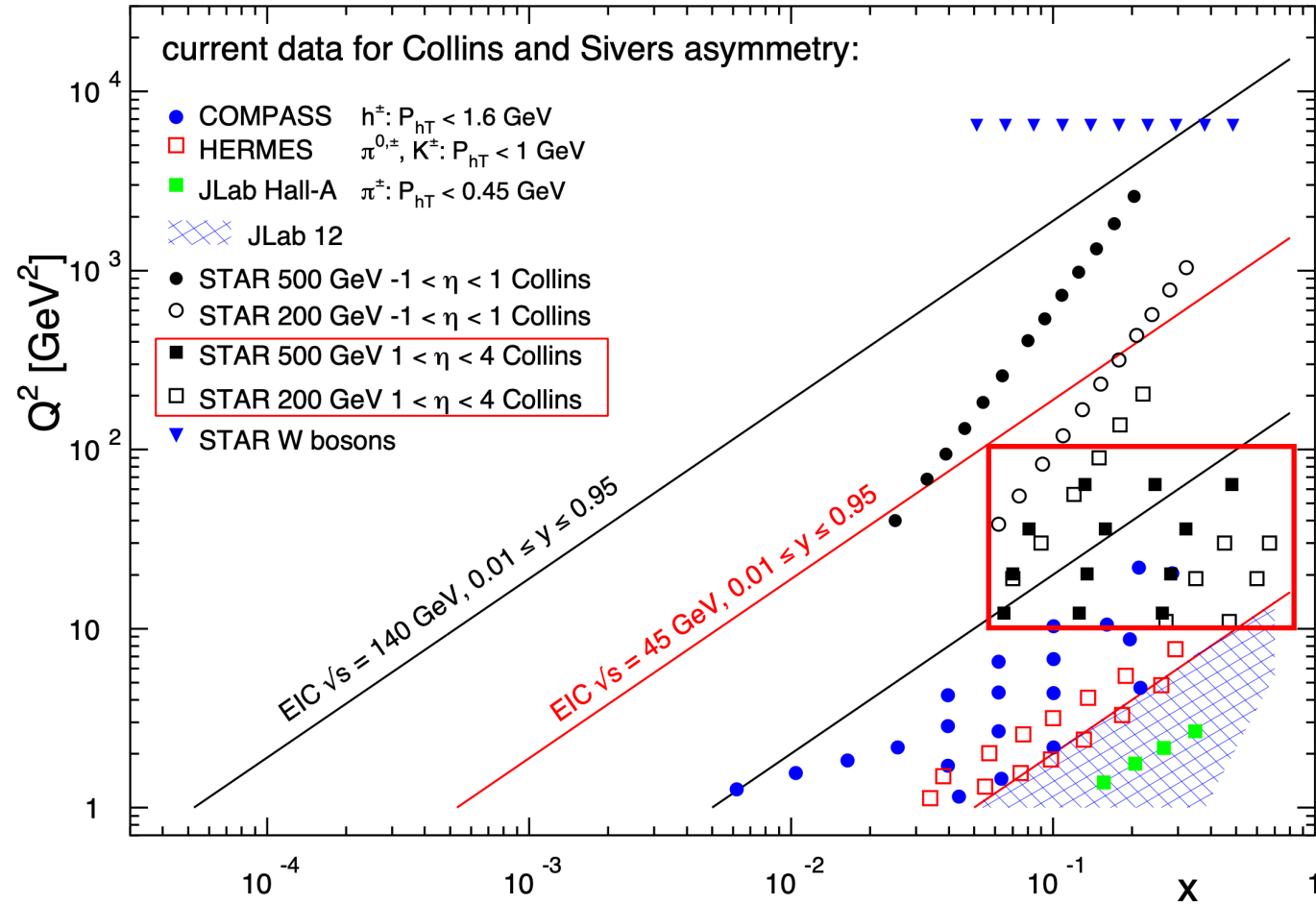
See Bernd Surrow's talk, WG5, Wed 3:10pm



$$d\sigma_{UT} \propto \int dx_a dx_b h_1(x_a) f_1(x_b) \frac{d\Delta\hat{\sigma}}{d\hat{t}} H_1^*(z, M)$$

- Spin dependent di-hadron correlations probe collinear quark transversity coupled to the interference fragmentation function (IFF) at higher Q^2 region compared to SIDIS
- The results can test the universality property of IFF from e^+e^- , SIDIS and p+p data
- Planning for precision measurement of IFF asymmetries for pion/kaon from 2022+2024 dataset

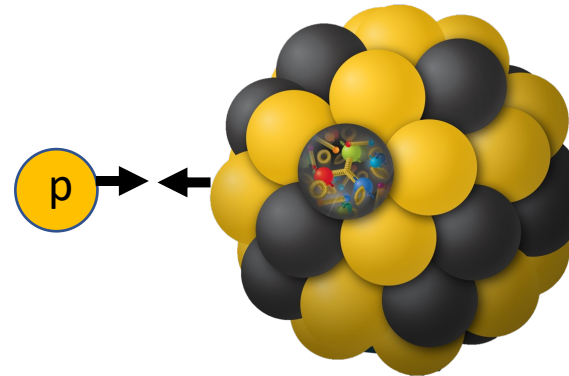
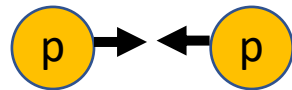
Where are we going?



STAR Forward Upgrade capabilities with jets and hadrons for transverse asymmetries:

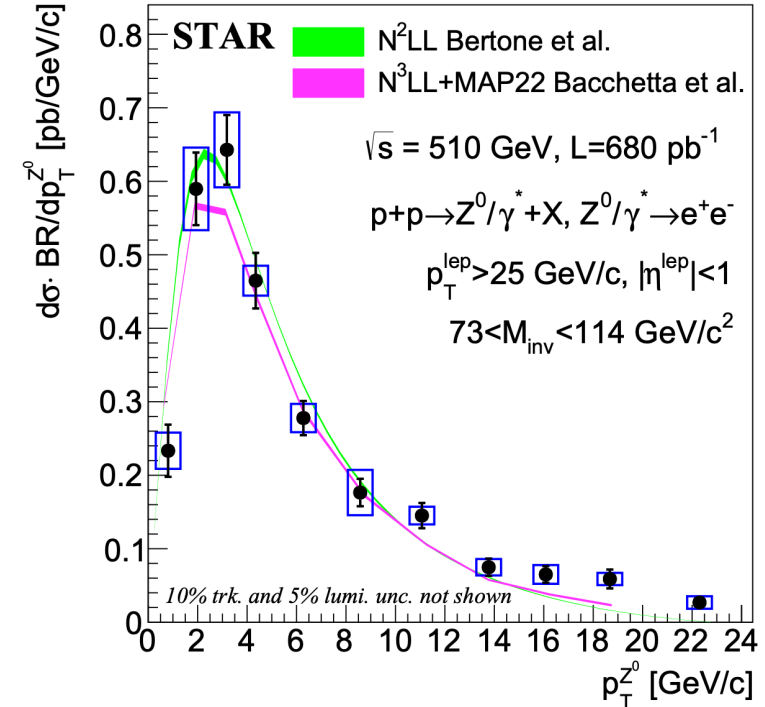
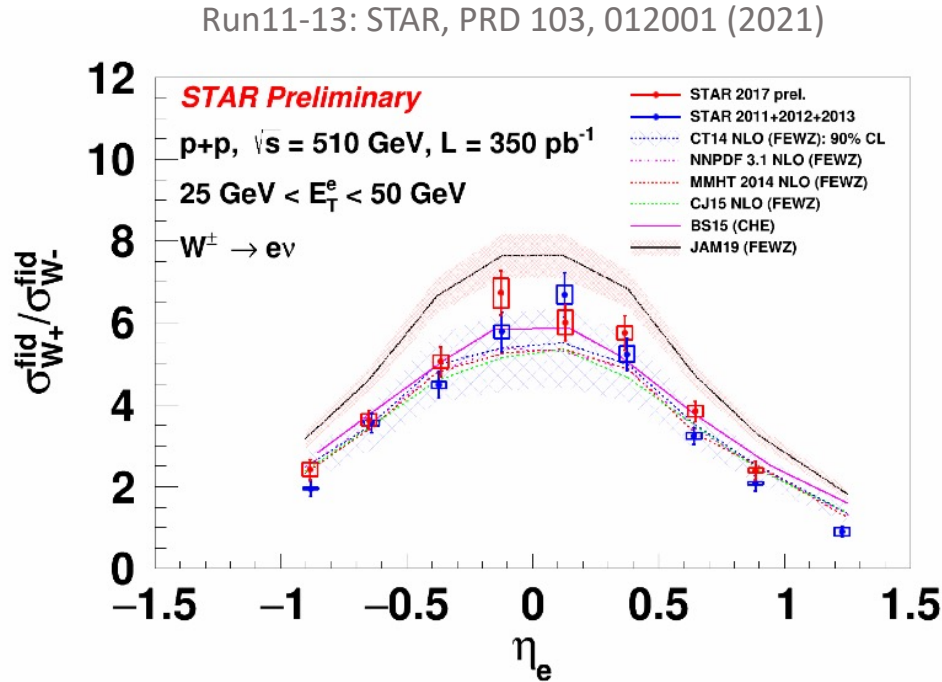
- Study forward Sivers, Collins, and diffractive processes \rightarrow charge-tagged jets, di-jets, hadron-in-jets, and diffractive processes with rapidity gaps
- Before STAR: TMDs only came from fixed target e+p data with low Q^2
- STAR's unique kinematics coverage with the Forward Upgrade: low to high x at moderate and high $Q^2 \rightarrow$ TMD evolution:
 - x up to $\sim 0.5 \rightarrow$ sensitive to valence quark

Unpolarized program at STAR



W and Z⁰ cross section

STAR, arXiv:2023.15496



Cross section ratio of W^+ / W^- constrains high x quark distributions \bar{d} / \bar{u} :

- Sensitive to the region $0.1 < x < 0.3$ in STAR mid-rapidity ($|\eta| < 1$) at $Q \sim M_W$
- Clean theoretical and experimental observable

$$\sigma_{W^+} / \sigma_{W^-} \approx \frac{u(x_1) \bar{d}(x_2) + u(x_2) \bar{d}(x_1)}{\bar{u}(x_1) d(x_2) + \bar{u}(x_2) d(x_1)}$$

Differential Z⁰ cross section vs. p_T :

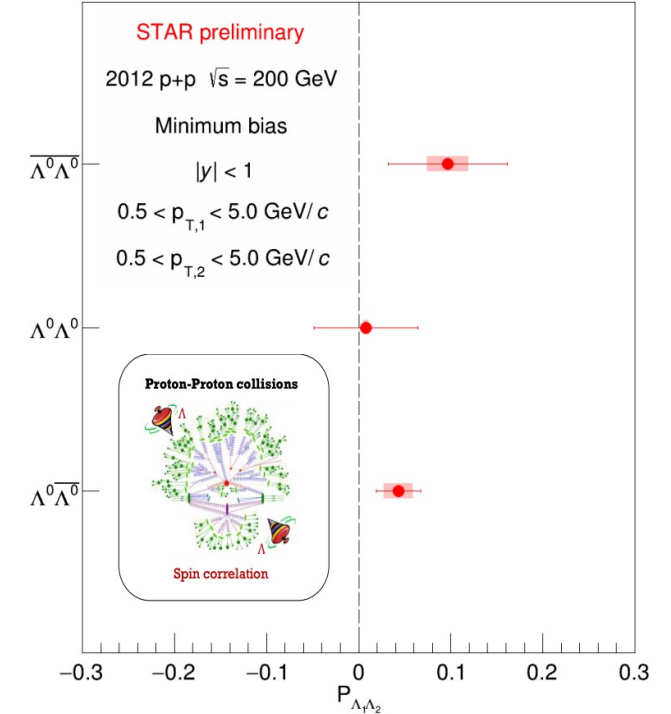
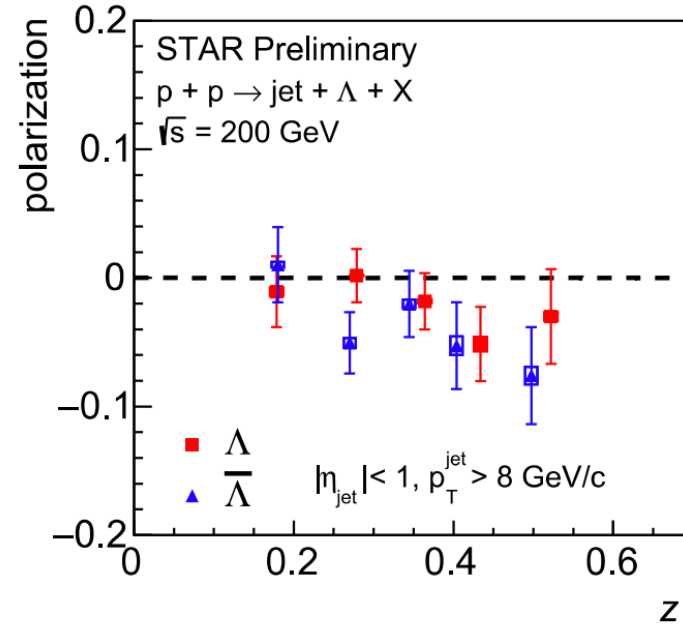
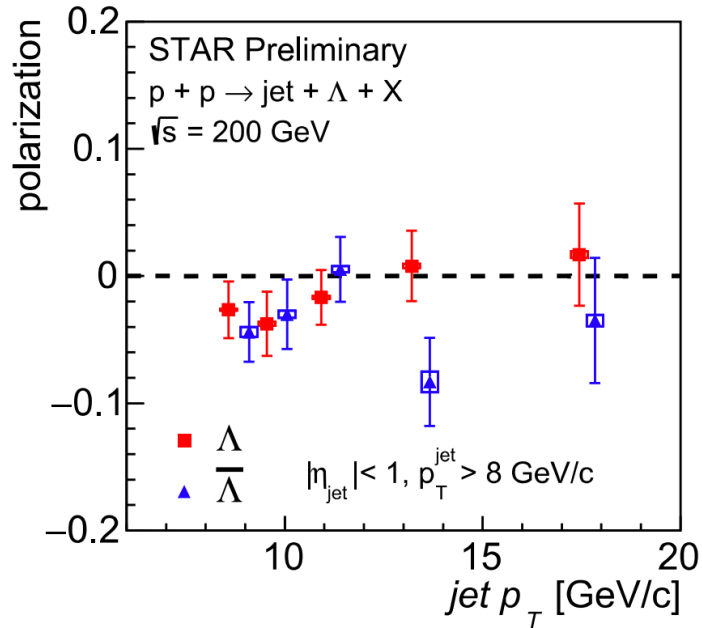
- Constrains on the energy scale dependence of TMDs
- Sensitive to the region $0.1 < x$ in STAR mid-rapidity ($|\eta| < 1$) at $Q = M_Z \gg p_T$

Bertone et al., JHEP 06(2019)028

Bacchetta et al., JHEP 10(2022)127

Λ polarization

See Jan Vanek's talk, [WG5](#), Tue 3:10pm



Access polarizing Fragmentation Functions (pFFs) by measuring transverse polarization of Λ -in-jet at STAR:

- cover a wide range of jet p_T for measurement of energy scale dependence
- test universality of pFFs with results from e^+e^- and SIDIS

$$\frac{dN}{d \cos \theta^*} \propto (1 + \alpha P \cos \theta^*)$$

θ^* : angle between proton and spin direction in Λ rest frame

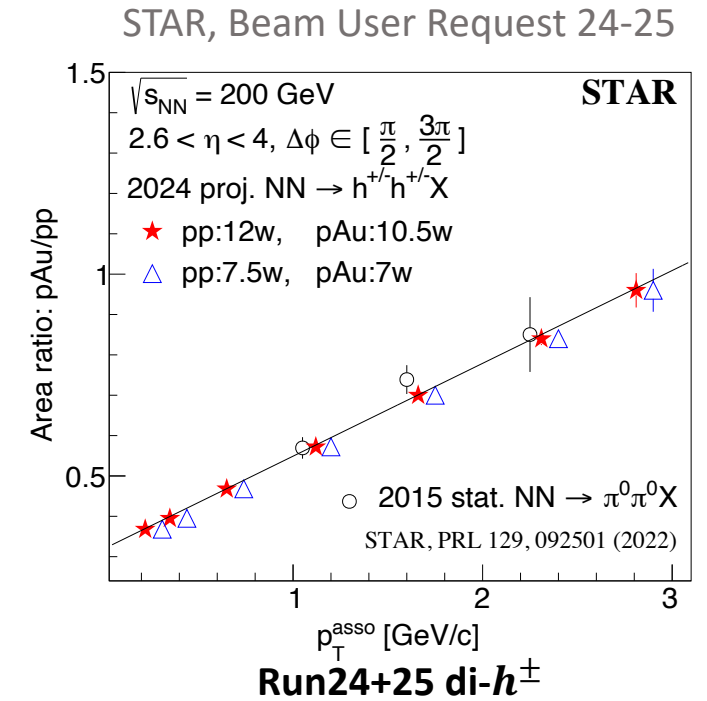
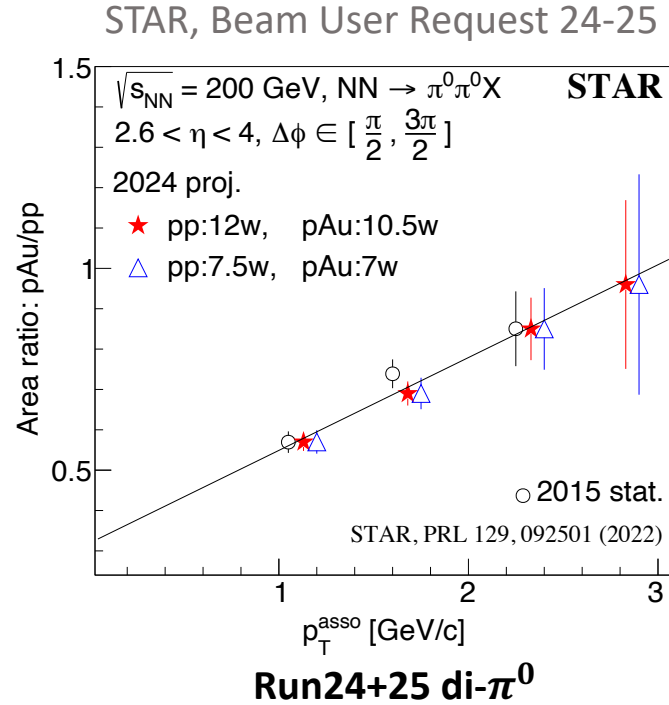
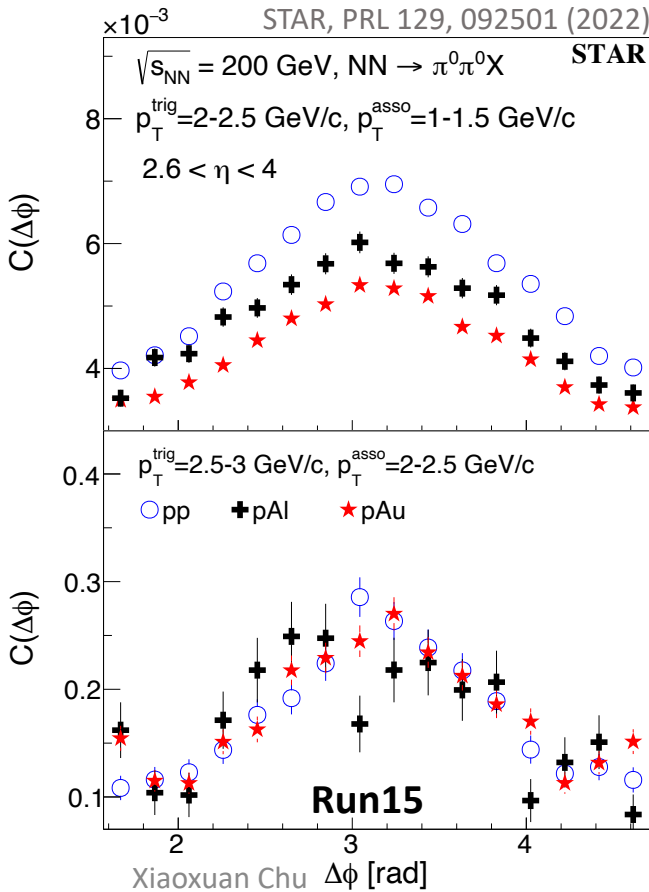
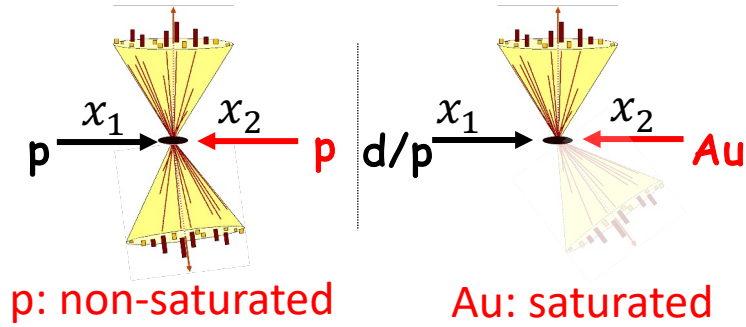
More investigation of Λ polarization from measuring spin correlation of two Λ s:

- first experimental search
- consistent with 0 within uncertainty

$$\frac{dN}{d \cos(\theta^*)} \sim 1 + \alpha_1 \alpha_2 P_{\Lambda_1 \Lambda_2} \cos(\theta^*)$$

θ^* : angle between two protons in the rest frame of their mother Λ s

Nonlinear gluon dynamics in QCD



- Run15: di- π^0 correlations at forward rapidities from low p_T to high $p_T \rightarrow$ probe gluon dynamics from low $x(Q^2)$ to high $x(Q^2)$
- **di- h^\pm** measurement is crucial with Run24 (pp) + possibly pAu in the last 2 years of RHIC Run, for lowest $p_T \rightarrow 0.2 \text{ GeV/c}$ where the strongest suppression is expected
- STAR data are essential to explore the universality of nonlinear effects along with the future EIC

Summary and outlook

High impact of STAR Cold QCD program:

Longitudinally polarized: insights into Δg ; $\Delta\bar{u} > \Delta\bar{d}$ and $\Delta s \sim 0$

Transversely polarized:

- Sivers asymmetry for W/Z boson ~ 0
- Nonzero Collins asymmetry for hadron-in-jet and IFF
- Investigation of large forward A_N , small contribution from diffraction

Unpolarized:

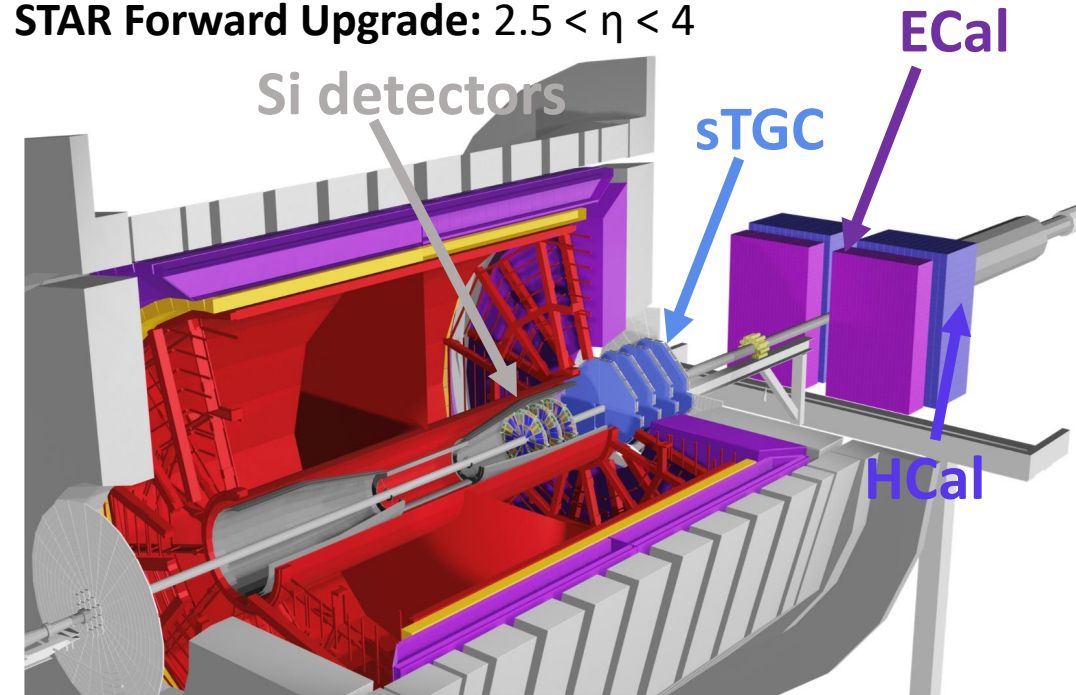
- W/Z boson cross section \rightarrow high x quark distribution/TMD
- Forward $di-\pi^0$ corr. \rightarrow nonlinear gluon dynamics
- Investigation of Λ polarization from various aspects

STAR will continue taking data with the Forward Upgrade through

2025: high-statistics tran. pol. pp data is coming soon!

- Understanding the origin of large forward A_N
- Testing TMD evolution and universality
- Constraining transversity at high x
- Understanding the nature of the initial state in nucleons and nuclei

STAR Forward Upgrade: $2.5 < \eta < 4$



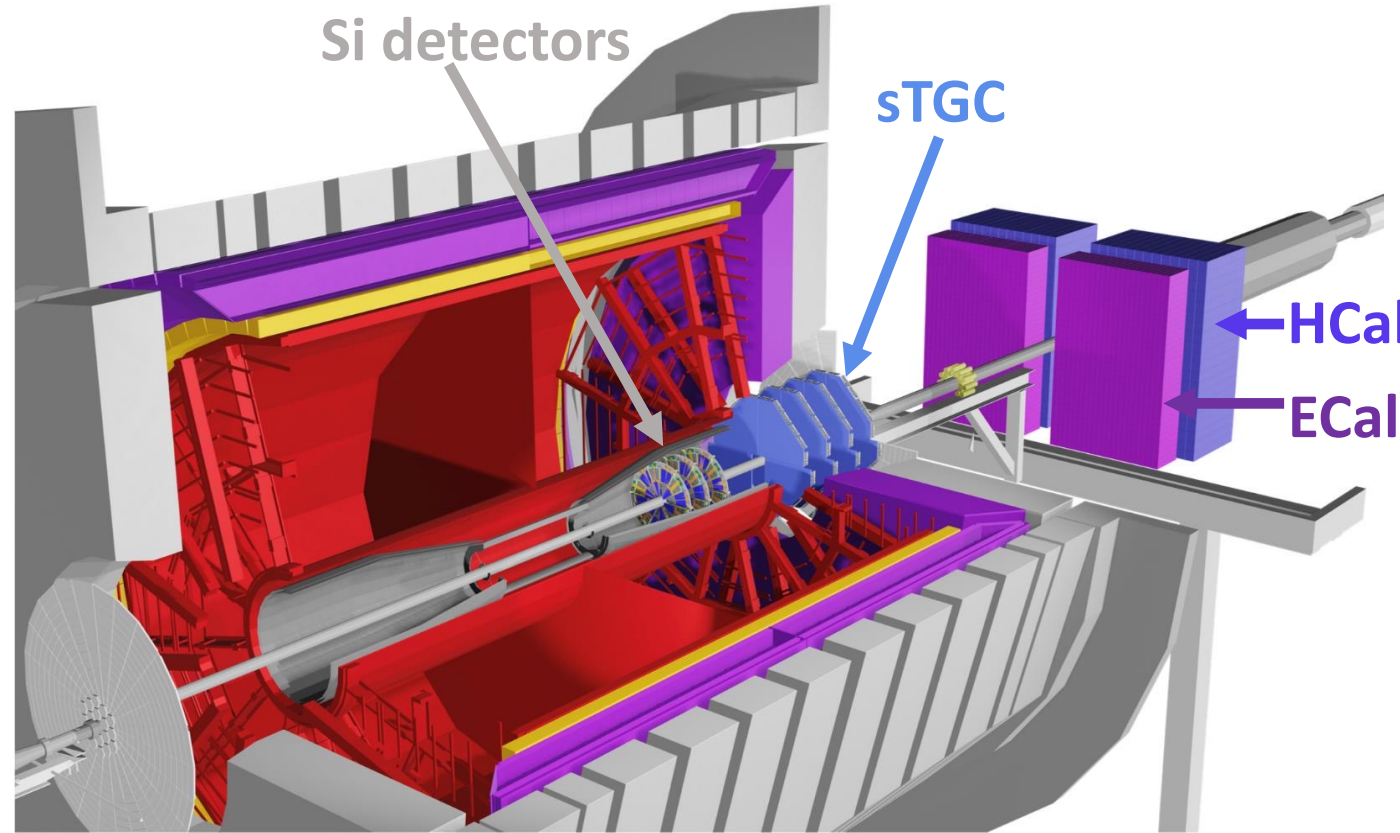
Run period for STAR:

2024 (from Apr 15 th)	19 weeks of pp 6 weeks of AuAu	Transversely polarized pp
2025	AuAu	

It's possible to take **pAu** in the last 2 years of RHIC Run!

Back up

STAR Forward Upgrade



STAR Forward Upgrade: $2.5 < \eta < 4$

Four new systems:

- Electromagnetic and Hadronic Calorimetry
- Tracking: Si detectors and small-strip Thin Gap Chambers (sTGC)

What we can measure:

- $h^{+/-}$, $e^{+/-}$ (with good e/h discrimination)
- Photons, π^0
- Jets, h in jets
- Lambda's
- Drell-Yan and J/ψ di-electrons
- Mid-forward and forward-forward correlations

Run period:

- STAR in parallel with sPHENIX:
 - 2024 → 200 GeV polarized p+p and Au+Au
 - 2025 → 200 GeV Au+Au w/o p+Au

Detector	pp and pA	AA
ECal	~10%/√E	~20%/√E
HCal	~50%/√E+10%	---
Tracking	charge separation photon suppression	$0.2 < p_T < 2$ GeV/c with 20-30% $1/p_T$

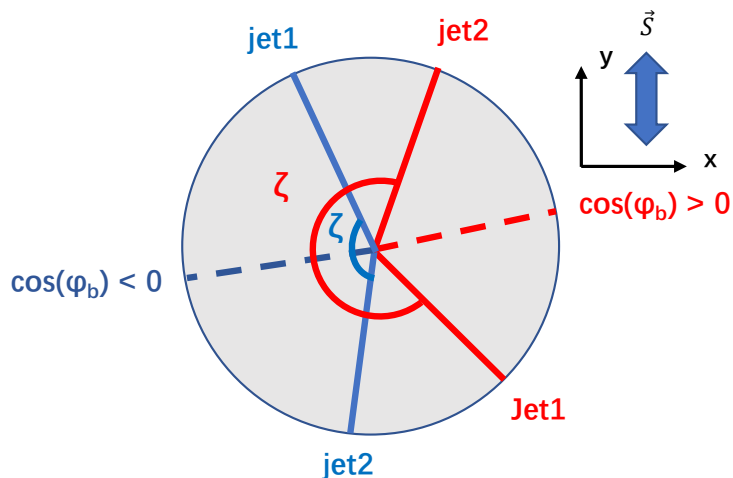
Dijet Siverts effects: kinematics

Observable for Probing the Siverts Effect in Dijet Event

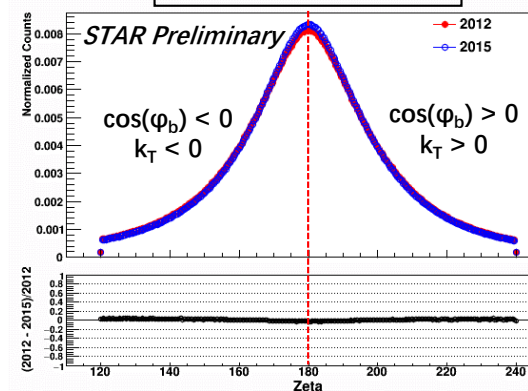
The Siverts asymmetry can be probed via the signed opening angle ζ .

Definition of ζ

$\zeta > \pi$ when $\cos(\varphi_b) > 0$
 $\zeta < \pi$ when $\cos(\varphi_b) < 0$
 where φ_b is dijet bisector angle



Distribution of ζ



Extraction of asymmetry

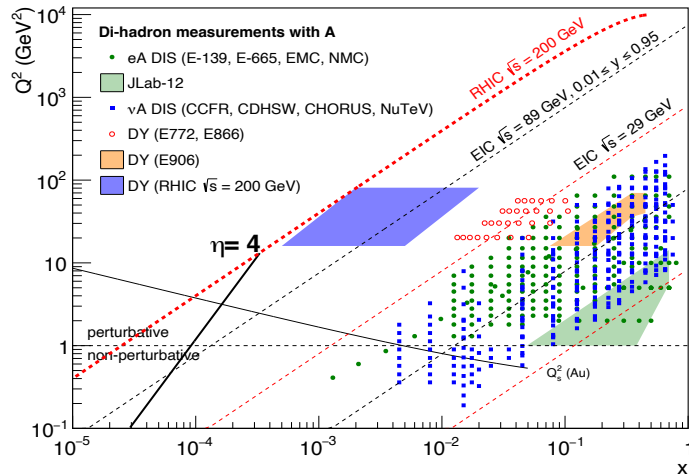
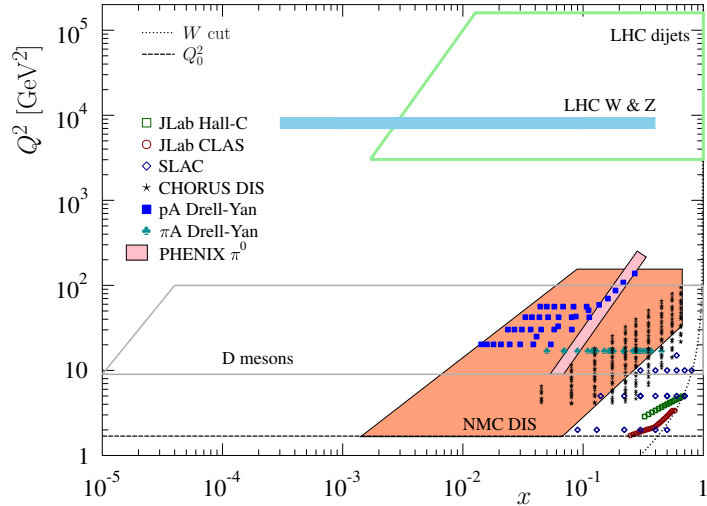
The Siverts effect leads to a spin-dependent centroid shift of ζ , so we define the asymmetry as:

$$\Delta\zeta = \frac{\langle \zeta \rangle^+ - \langle \zeta \rangle^-}{P}$$

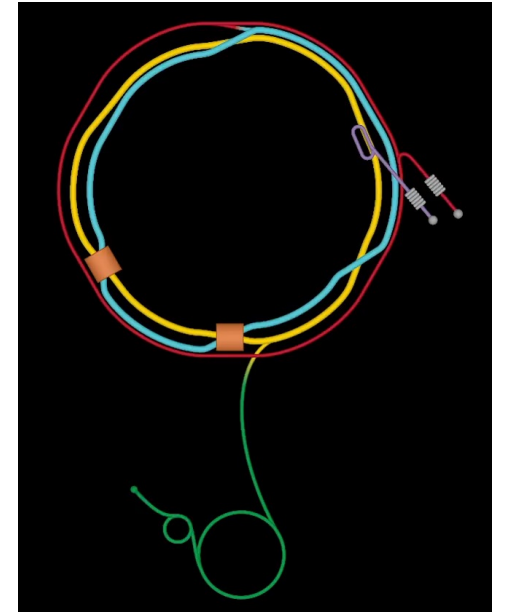
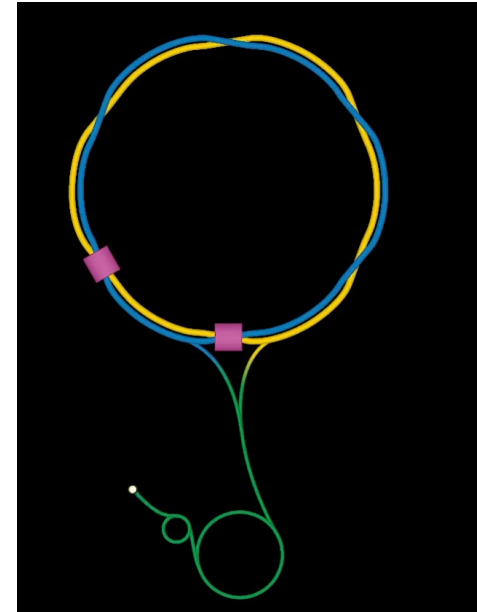
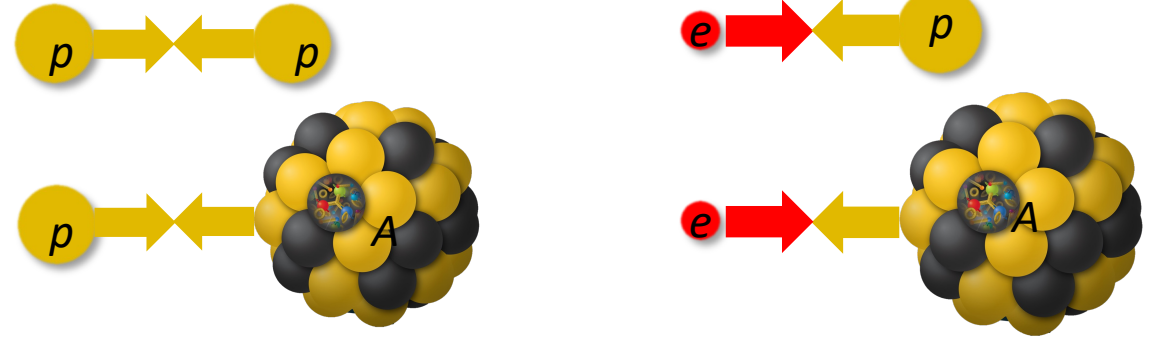
where $\langle \zeta \rangle^{+/-}$ is the centroid of ζ for spin-up and spin-down states, and P is the beam polarization.

Complementarity and Universality

Where are we?



Where are we going?



RHIC $\xrightarrow{\text{Path to}}$ EIC

2023

The RHIC Cold QCD Program
White Paper

contribution to
the NSAC Long-Range Planning process

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