

3 **Differential measurements of jet sub-structure observables and their correlations**
4 **in $p+p$ collisions at $\sqrt{s} = 200$ GeV in STAR**

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10 Jets are collimated sprays of hadrons created by the fragmentation of high energy partons, and
11 can serve as an experimental tool for studying quantum chromodynamics. In particular, we can
12 explore the properties of parton shower and evolution by measuring jet sub-structure. One of the
13 techniques that allows experimental access to the parton shower is the jet grooming technique
14 called SoftDrop. This analysis extends recent measurements of the jet sub-structure observables
15 based on the SoftDrop algorithm, including groomed radius (R_g) and shared momentum fraction
16 (z_g), in $p+p$ collisions at $\sqrt{s} = 200$ GeV in the STAR experiment. We present fully corrected multi-
17 differential measurements of jet sub-structure observables at the first split and their correlations for
18 jets of different transverse momenta and radii. To further explore the jet sub-structure, we present
19 the first measurement of the jet sub-structure observables at the first, second and third splits via
20 the iterative SoftDrop procedure. We show a strong dependence of the z_g on the R_g and the split
21 number with the observation that selecting on narrower opening angles is similar to progressing
22 further along the jet clustering tree. We compare our measurements to the state-of-the-art Monte
23 Carlo models.

24 *Keywords:* Jet, sub-structure, SoftDrop.

25 **1. Introduction**

26 Jets are collimated sprays of hadrons reconstructed by clustering algorithms, and are
27 created in collisions of high energy particles. Jet sub-structure allows us to explore the
28 properties of Quantum ChromoDynamics (QCD) and parton shower experimentally. In
29 our analysis we use a grooming technique called SoftDrop¹. This technique is based on
30 removing soft wide-angle radiation within a jet and connects the parton shower to an
31 angular ordered clustering tree. In the SoftDrop procedure, jets are first reconstructed
32 with the anti- k_T algorithm, which starts by clustering particles with the highest trans-
33 verse momentum (p_T). Then jets are reclustered with the C/A algorithm² to obtain an
34 angular ordered tree. By undoing the last step of the reclustering, each jet is divided
35 into sub-jets labeled as 1 and 2. A jet is considered as a final SoftDrop jet if its sub-jets
36 pass the condition,

$$\frac{\min(p_{T,1}, p_{T,2})}{p_{T,1} + p_{T,2}} > z_{\text{cut}} \left(\frac{\Delta R_{1,2}}{R} \right)^\beta, \quad (1)$$

37 where $p_{T,i}$ corresponds to the transverse momentum of the sub-jet, $\Delta R_{1,2}$ is the distance
 38 between the sub-jets, and R is the jet resolution parameter. If the sub-jets do not pass the
 39 condition, the one with higher p_T is denoted as the starting jet and the whole process
 40 is repeated. We set the SoftDrop parameters to $\beta = 0$ and $z_{\text{cut}} = 0.1$. The products of
 41 the SoftDrop procedure are shared momentum fraction (z_g) and groomed radius (R_g).
 42 Shared momentum fraction is defined as $z_g = \frac{\min(p_{T,1}, p_{T,2})}{p_{T,1} + p_{T,2}}$ and R_g is the first $\Delta R_{1,2}$ which
 43 satisfies the SoftDrop condition. We present the first use of iterative SoftDrop, a special
 44 condition of recursive SoftDrop³, which follows splitting along the harder branch to
 45 study the evolution of the splitting kinematics along the jet shower.

46 Our goal is to study the parton showers experimentally through observables z_g and
 47 R_g . There are two options how to explore these observables: we can focus only on the
 48 first split and study the correlation between z_g and R_g as a function of jet p_T , or move
 49 along the jet shower and study the z_g and R_g distributions at the first, second and third
 50 splits.

51 2. Data analysis

52 Data were collected by the STAR experiment⁴ located at the Relativistic Heavy Ion
 53 Collider at Brookhaven National Laboratory in 2012 for $p+p$ collisions at $\sqrt{s} = 200$
 54 GeV. For the analysis, only events selected by the Barrel Electromagnetic Calorimeter
 55 (BEMC) Jet Patch 2 (JP2) trigger, with a transverse energy threshold of $E_T > 7.3$ GeV,
 56 are used. Jets consist of charged particle tracks from the Time Projection Chamber (TPC)
 57 and neutral energy towers from the BEMC. We use only tracks from the TPC in the range
 58 of $0.2 < p_T < 30$ GeV/ c , and BEMC towers with energy in the range of $0.2 < E_T < 30$
 59 GeV. In order to avoid double counting of charged-track energies in the BEMC towers,
 60 hadronic correction⁵ is applied.

61 Jets are reconstructed using the anti- k_T algorithm for resolution parameters $R =$
 62 0.4 and $R = 0.6$. Jets are required to have $p_{T,\text{jet}} > 10$ GeV/ c and to lie within the
 63 pseudorapidity $|\eta_{\text{jet}}| < 1.0 - R$.

64 3. Correlation between sub-structure observables at the first split

65 The jet sub-structure observables were previously measured by the ALICE⁶, ATLAS⁷,
 66 CMS⁸ and STAR⁹ experiments. The momentum and angular scales quantified by z_g
 67 and R_g observables have been so far measured separately by the STAR experiment⁵.
 68 Our goal is to extend this measurement and study the correlation between these two
 69 observables.

70 3.1. 2+1D unfolding

71 Since measurements are always affected by the finite efficiency and resolution of the
 72 instrumentation, unfolding is needed to correct the detector effects. In our case, where
 73 the observables lie in a 3-dimensional ($p_{T,\text{jet}}$, z_g , R_g) space, we need to apply a multi-
 74 dimensional unfolding. We use the Iterative Bayesian unfolding to correct the 2D cor-

75 relation between z_g and R_g , where a response matrix is estimated by matching particle-
 76 level spectra from PYTHIA 6¹⁰ with the STAR Perugia tune¹¹ to detector-level spectra
 77 obtained by passing PYTHIA 6 events through the GEANT3 simulator¹². Unfolding is
 78 done separately for (z_g, R_g) correlation in four different detector-level $p_{T,jet}$ intervals,
 79 namely $p_{T,jet}^{det} \in [15,20], [20,25], [25,30],$ and $[30,40]$ GeV/c. Lastly, the correction for
 80 $p_{T,jet}$ scale and resolution is applied via selections on the response matrix (Fig. 1 of ref.
 81 ⁵). The projections to the detector-level $p_{T,jet}$ for particle-level $p_{T,jet}$ bins are normalized
 82 to unity and used as weights for summing unfolded (z_g, R_g) correlations in different
 83 $p_{T,jet}^{det}$ bins. Additional corrections for trigger and jet finding efficiencies are applied and
 84 fully corrected results are presented for selected particle-level $p_{T,jet}$ bins.

85 3.2. Systematic uncertainties

86 Systematic uncertainties arising from detector effects are estimated by adjusting the
 87 response matrix for unfolding, i.e. 4% in the TPC tracking efficiency and 3.8% in the
 88 BEMC tower calibration⁵, and comparing with the normal values. For the hadronic
 89 correction uncertainty, we vary the fraction of track momentum subtracted from the
 90 matched BEMC tower from the nominal value of 100% to 50%. In the estimation of un-
 91 certainty coming from unfolding, the iterative parameter is changed from the nominal
 92 value of 4 to 6. Uncertainty due to the prior shape variation has not been estimated yet,
 93 and will be included in the final publication. An example of systematic uncertainties for
 94 z_g distributions in three R_g intervals for jets with $R = 0.4$ and $20 < p_{T,jet} < 25$ GeV/c
 95 is shown in Fig. 1. The total uncertainty ranges between 5 and 10% and the largest
 96 contributions come from the hadronic correction and unfolding.

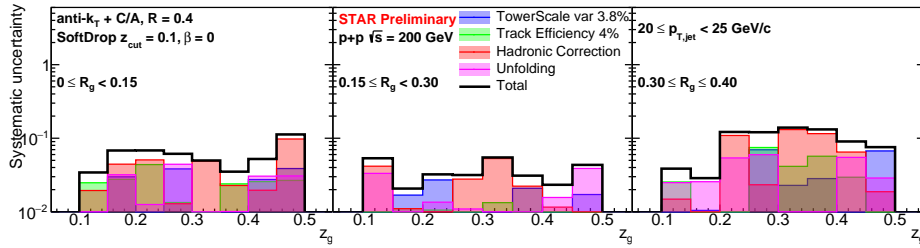


Fig. 1. Systematic uncertainties for z_g in three R_g bins for jets with $R = 0.4$ and $20 < p_{T,jet} < 25$ GeV/c in $p+p$ collisions at $\sqrt{s} = 200$ GeV.

97 3.3. Results and comparison with Monte Carlo models

98 Fully unfolded z_g distributions at the first split for different R_g and $p_{T,jet}$ bins are shown
 99 in Fig. 2. Three R_g bins are plotted with different colors, where blue, red and black
 100 correspond to $0 \leq R_g < 0.15$, $0.15 \leq R_g < 0.30$ and $0.30 \leq R_g \leq 0.40$, respectively.
 101 Bands around the data points indicate the systematic uncertainties described in Sec. 3.2.

102 We observe that the z_g distribution gradually changes its shape to be steeper for larger
 103 R_g , implying softer splits. This also indicates that jets with a smaller first split R_g have
 104 an increased probability of harder collinear splits. The distributions change only mildly
 105 with increasing $p_{T,\text{jet}}$, which means that R_g is the driving factor in determining the shape
 106 of the z_g distribution.

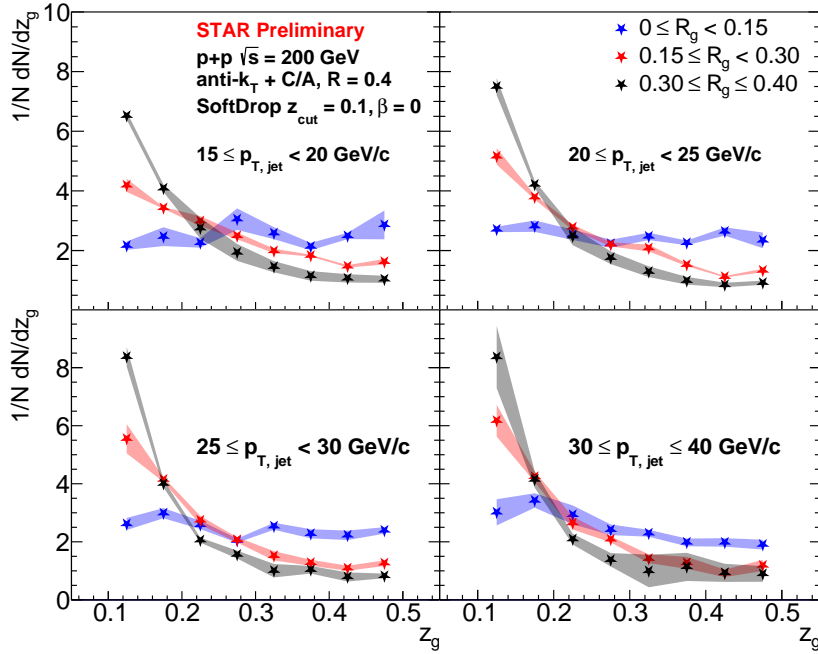


Fig. 2. Fully unfolded z_g distributions for three R_g bins for jets with $R = 0.4$ in $p+p$ collisions at $\sqrt{s} = 200$ GeV. Individual panels correspond to different $p_{T,\text{jet}}$ intervals (see legend).

107 The corrected z_g distributions for different R_g are compared to predictions from sev-
 108 eral Monte Carlo (MC) generators, as shown in Fig. 3. We use PYTHIA 6 with the STAR
 109 Perugia tune, PYTHIA 8¹³ with the Monash tune based on LHC data¹⁴, and HERWIG
 110 7¹⁵ with the EE5C tune¹⁶. These models have different implementations of parton
 111 shower and hadronization. Parton shower in HERWIG is angularly ordered in contrast
 112 to both PYTHIA versions, where the k_T or p_T ordering is used. For the hadronization,
 113 the cluster model and the Lund string model are used in HERWIG and PYTHIA, respec-
 114 tively. We observe that all MC models describe the trend of the data, but there are slight
 115 differences across the varying R_g bins which will be studied in detail in an upcoming
 116 publication.

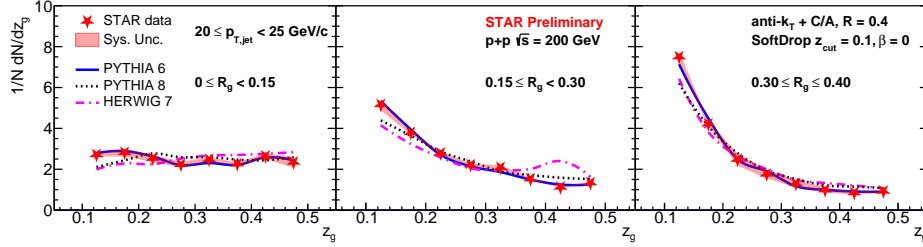


Fig. 3. Fully unfolded z_g distributions in three R_g bins for jets with $R = 0.4$ and $20 < p_{T,jet} < 25$ GeV/c in $p+p$ collisions at $\sqrt{s} = 200$ GeV, compared with Monte Carlo simulations from PYTHIA 6, PYTHIA 8 and HERWIG 7.

117 4. Evolution of the sub-structure observables along the jet shower

118 For the study of jet shower evolution, we focus on measuring sub-structure observables at the first, second, and third splits along the harder branch by using the iterative
 119 SoftDrop technique³. It enables a study of self-similarity among the splits and also
 120 elucidates the effect of restricting available phase space for radiation due to virtuality
 121 evolution. z_g and R_g distributions at the first, second, and third splits are compared
 122 at varying jet kinematics ($p_{T,jet}$) or initiator kinematics ($p_{T,initiator}$). While comparing
 123 across the $p_{T,jet}$ provides a direct handle on the variation of initial jet momenta, compar-
 124 ing across the $p_{T,initiator}$ gives a direct handle on the splitting kinematics.
 125

126 4.1. 2+1D unfolding

127 As in the previous section, multi-dimensional unfolding needs to be implemented. z_g
 128 and R_g at a given split and given $p_{T,initiator}$ or $p_{T,jet}$ are unfolded via 2D Iterative Bayesian
 129 method described in Sec. 3.1. Splitting hierarchy is modified going from particle level to
 130 detector level, so additional correction is applied by matching the splits at particle level
 131 to detector level via requiring $\Delta R < 0.1$ between the prongs that initiate the splits. The
 132 2D unfolded distributions are weighted based on the split matching and then summed
 133 appropriately, resulting in fully corrected z_g and R_g distributions at varying splits and
 134 jet or initiator momenta.

135 4.2. Results and Monte Carlo comparisons

136 Fully unfolded distributions for z_g and R_g at the first, second and third splits are shown
 137 in Fig. 4. Top panels show z_g distributions at first (black), second (red) and third (blue)
 138 splits for two different $p_{T,initiator}$ bins. Bottom panels show R_g distributions for two differ-
 139 ent $p_{T,jet}$ bins. Shaded bands around the data points correspond to the total systematic
 140 uncertainties, as described in Sec. 3.2, with the uncertainty due to prior shape vari-
 141 ation included. We include an additional source of shape uncertainty due to the split
 142 matching criterion varied by ± 0.025 .

143 We observe significant changes to both z_g and R_g as we move from the first to the

144 third split. R_g distributions become narrower with increasing split numbers, and z_g
 145 distributions change from asymmetric splitting to a flatter distribution with more symmet-
 146 ric splits. Only a weak dependence on the $p_{T, \text{initiator}}$ or $p_{T, \text{jet}}$ is observed, which points to
 147 the split number being the driving factor.

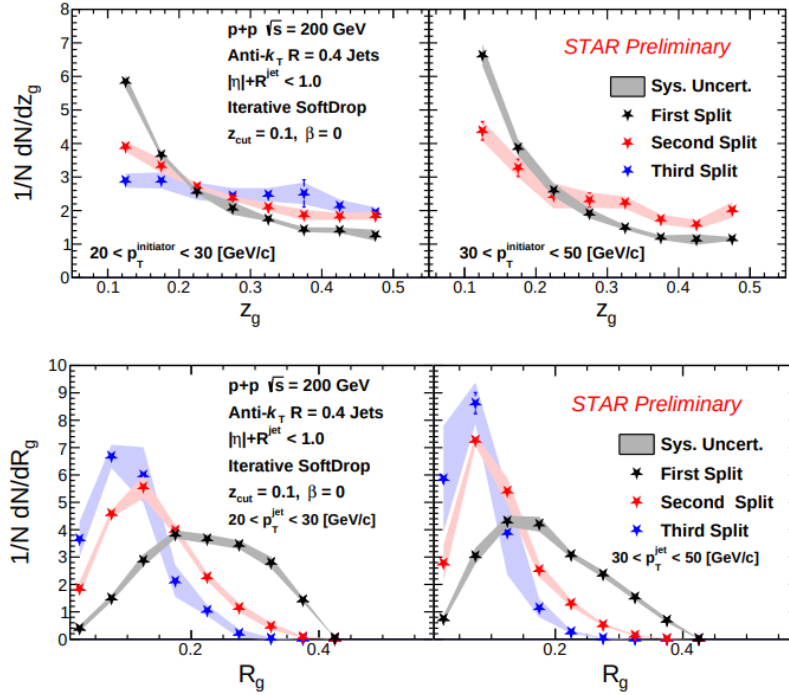


Fig. 4. Fully unfolded z_g (top) and R_g (bottom) distributions for different splits in $p+p$ collisions at $\sqrt{s} = 200$ GeV. The top (bottom) panels are differential in initiator (jet) p_T for two bins $20 < p_T < 30$ GeV/c (left) and $30 < p_T < 50$ GeV/c (right).

148 In Fig. 5 fully unfolded R_g distributions for the first, second, and third splits are
 149 compared with MC simulations, which are seen to describe the trend of the data.

150 4.3. Conclusions

151 We presented the first fully corrected distributions of z_g vs. R_g as a function of $p_{T, \text{jet}}$ at
 152 the first split, and z_g and R_g distributions as a function of $p_{T, \text{jet}}$ or $p_{T, \text{initiator}}$ for the first,
 153 second, and the third splits. We observe significant variation of the splitting kinematics
 154 as we select on emissions of small to large R_g . Similarly, we also note that selecting
 155 on the split number as we move along the jet shower mimics the same observation as
 156 selecting on R_g . These results imply an inherent correlation between the dynamics of
 157 splits and the available phase-space for radiation. We observe only a weak dependence
 158 of the splitting kinematics on $p_{T, \text{jet}}$ or $p_{T, \text{initiator}}$. We also compared data with the Monte

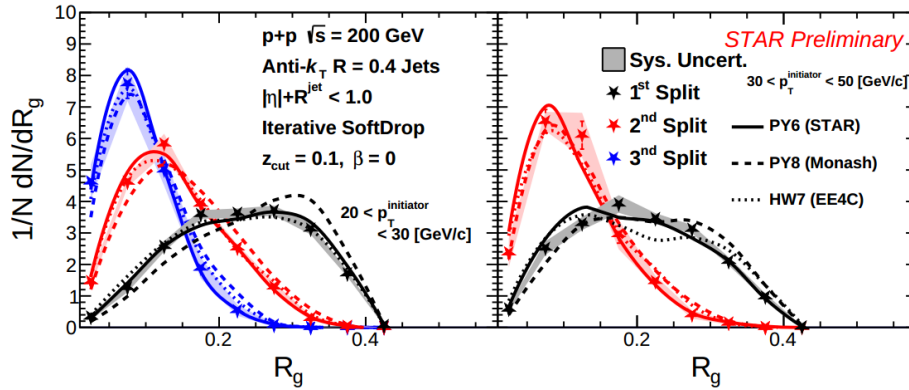


Fig. 5. Fully unfolded z_g and R_g distributions for different splits and various $p_{T,initiator}$ bins, $20 < p_T < 30$ GeV/c (left) and $30 < p_T < 50$ GeV/c (right), in $p+p$ collisions at $\sqrt{s} = 200$ GeV, compared with MC simulations from PYTHIA 6, PYTHIA 8 and HERWIG 7.

159 Carlo simulations, which qualitatively capture the trend of the data. In the upcoming
 160 publication, the data will be compared to models with varying implementations of per-
 161 turbative (parton showers) and non-perturbative (hadronization/MPI/UE) models to
 162 understand the impact of each regime along the jet shower.

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