

# STAR Results on Transversity and TMD-Related Observables

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Constructing a three-dimensional understanding of the proton's structure has gained much interest. Theoretical frameworks, such as the transverse-momentum-dependent (TMD) framework, have been developed to describe the three-dimensional structure of the proton. In the TMD framework, the proton structure is described in terms of TMD parton distribution functions (PDFs) and fragmentation functions (FFs). Utilizing the transversely polarized proton beams accelerated and maintained by the Relativistic Heavy Ion Collider (RHIC), the Solenoidal Tracker At RHIC (STAR) experiment measures observables sensitive to transversity and TMD physics in  $pp$  collisions center-of-mass energies of 200 and 510 GeV. The transverse single-spin asymmetries ( $A_N$ ) of electromagnetic jets in the forward direction provide insights into the origin of the large inclusive hadron  $A_N$  observed at forward rapidity. The Sivers effect is probed using the shift in the opening angle of dijets and  $A_N$  measurements of  $W^\pm$  and  $Z^0$ . The convolution of collinear transversity PDF and the Interference FF is investigated via the spin-dependent di-hadron correlators. The Collins effect describing the convolution of the collinear transversity PDF and the Collins FF is measured via the azimuthal modulations of identified hadrons in jets. Measurements of  $\Lambda(\bar{\Lambda})$  hyperon transverse spin transfer offer insights into the (anti-)strange quark transversity. These proceedings discuss the recent STAR highlights and updates related to transversity and TMD physics and provide a brief overview of future STAR measurements.

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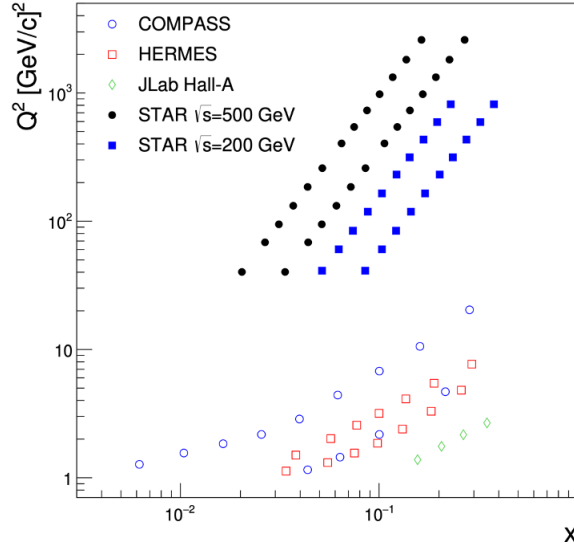
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\*Speaker

## 1. Introduction

Since the 1970's, a surprising large transverse single spin asymmetry ( $A_N$ ) has been observed in transversely polarized  $pp$  collisions [1]. This asymmetry is characterized by certain final state hadrons being preferentially produced to the left with respect to the polarization of the proton, while others are preferentially produced to the right. However, the leading-twist collinear perturbative quantum chromodynamics predicted a small asymmetry [2], which motivated the development of the twist-3 [3–5] and Transverse-Momentum-Dependent (TMD) [6–9] theoretical frameworks to describe the large observed  $A_N$ .

The Relativistic Heavy Ion Collider (RHIC) at Brookhaven National Laboratory is the only accelerator in the world that is capable of colliding high-energy beams of polarized protons at a center-of-mass energy of up to 510 GeV. The Solenoidal Tracker at RHIC (STAR) detector [10] is located at the six o'clock position with respect to the RHIC acceleration rings and offers excellent mid-rapidity tracking, particle identification, and electromagnetic calorimetry coverage in the full azimuthal range. Figure 1 shows the kinematic coverage of STAR compared to Semi-Inclusive Deep Inelastic Scattering (SIDIS) experiments, where the  $x$  coverage is similar between STAR and SIDIS [11]. However, STAR covers up to two orders of magnitude higher  $Q^2$  values. The proton beam polarization capabilities of RHIC, acceptance of the STAR sub-detectors, and the kinematic coverage of STAR pose STAR as an excellent experiment to probe observables related to the transversity PDF and TMD-related observables.

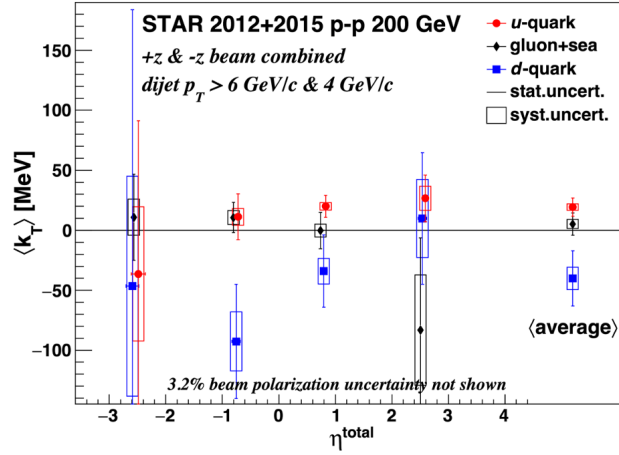


**Figure 1:** Kinematic coverage of STAR in  $Q^2$  vs.  $x$  space compared to SIDIS experiments [11].

## 2. Sivers Effect

The Sivers effect describes the relationship between the transverse momentum distribution of unpolarized partons and the transverse spin polarization of the proton [8]. STAR has recently probed this effect using dijet production [12]. A transversely polarized proton going in the longitudinal direction can have partons with a spin-dependent transverse momentum ( $k_T$ ). The direction of  $k_T$

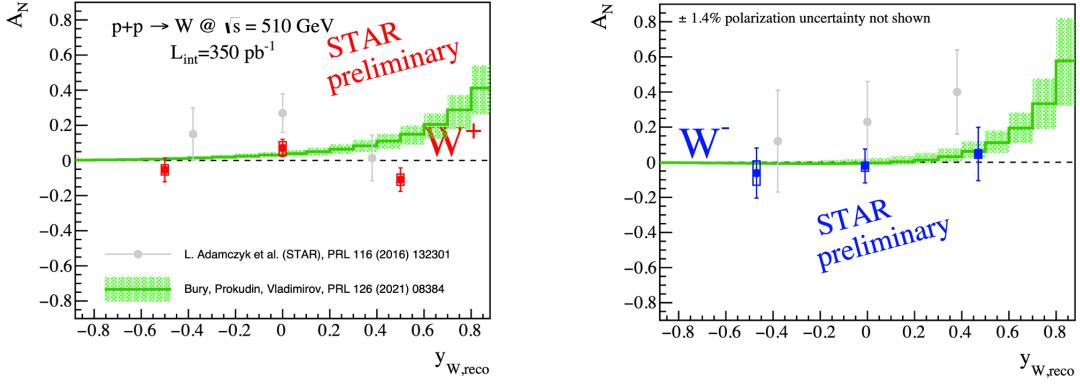
32 depends on the polarization of the proton, which affects the dijet opening angle. The asymmetry in  
 33 the dijet opening angle provides sensitivity to the spin-dependent  $k_T$  that characterizes the Sivvers  
 34 effect. Figure 2 shows the mean spin-dependent  $k_T$  as a function of the combined  $\eta$  of both jets  
 35 in the dijet, where  $\eta^{total} \propto \ln(x_1/x_2)$ . Jet charge tagging combined with unfolding is used to  
 36 determine the quark flavor.  $u$  quarks tend to produce more positively charged particles relative  
 37 to the more negatively charged particles produced by  $d$  quarks, which is utilized to bin the data  
 38 in enhanced  $u$  or  $d$  quark fractions. Parton fractions from simulation allow for measuring the  
 39 individual parton spin-dependent  $\langle k_T \rangle$ . The results show that the  $d$ -quark  $\langle k_T \rangle$  is twice as large as  
 40 the  $u$ -quark  $\langle k_T \rangle$  and in the opposite direction, while the  $\langle k_T \rangle$  for gluon and sea quarks combined is  
 41 consistent with zero. This STAR result shows, for the first time, evidence of non-zero Sivvers effect  
 42 in dijet production from  $pp$  collisions.



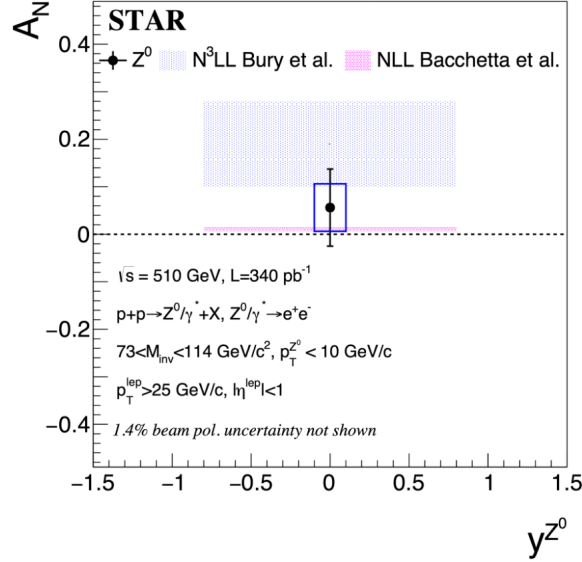
**Figure 2:** STAR results of the mean spin-dependent  $k_T$  vs.  $\eta^{total}$  from dijet production [12].

43 The Sivvers TMD function can be accessed by studying the left and right asymmetry of  $W^\pm$   
 44 boson production with respect to the spin of the proton. STAR performs this measurement using  $pp$   
 45 collisions at a center-of-mass energy of 510 GeV. The recoil against the  $W^\pm$  is used to reconstruct  
 46 the  $p_T$  of the bosons, which avoids having to reconstruct the elusive neutrino. The current STAR  
 47 preliminary results shown in Fig. 3 are consistent with model predictions from [13] and will have  
 48 the biggest impact on the high- $x$  region of the quark TMD Sivvers function. Here,  $Q^2 = M_W^2 \sim$   
 49  $6500 \text{ GeV}^2$ . STAR also measured the  $Z^0 A_N$  [14], and the result agrees with the two theoretical  
 50 models [13, 15] shown in Fig. 4. However, due to the limited statistics, no conclusive statement  
 51 can be drawn regarding the sign-change hypothesis of the Sivvers function. This result will allow  
 52 for the extraction of the Sivvers TMD PDF, especially for valence quarks in the region of  $x \geq 0.1$ .  
 53 These new  $W^\pm/Z^0 A_N$  measurements offer a significant statistical improvement compared to the  
 54 first  $W^\pm/Z^0 A_N$  STAR measurements [16].

55 Previous STAR results investigating the large forward  $A_N$  using electromagnetic jets (EM-jets)  
 56 and identified  $\pi^0$  in EM-jets [17] suggested that a diffractive process could contribute to the large  
 57 observed forward  $A_N$ . Figure 5 shows recent preliminary results by the STAR collaboration for the  
 58 contribution of a single-diffractive process to the observed large forward  $A_N$  using EM-jets from  
 59  $pp$  collisions at 200 GeV. The rapidity gap events refer to events where no activity is detected in the  
 60 pseudorapidity range of  $-5 < \eta < -2$ , which significantly suppresses the non-diffractive events.

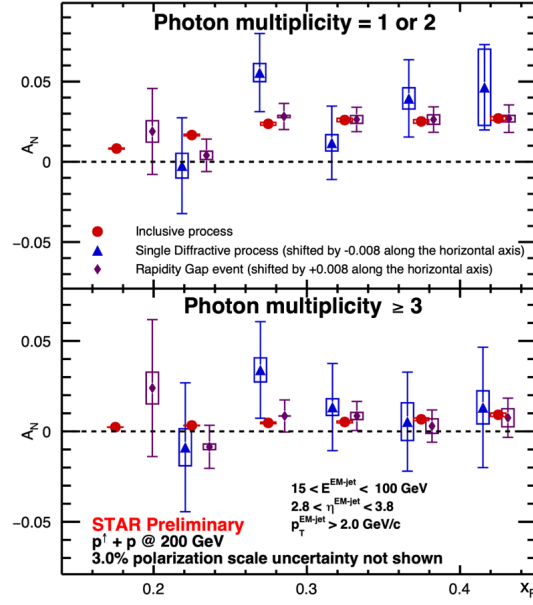


**Figure 3:** STAR results of  $W^\pm A_N$  as a function of the reconstructed boson's rapidity. Left(Right) shows the asymmetry for  $W^{+(-)}$

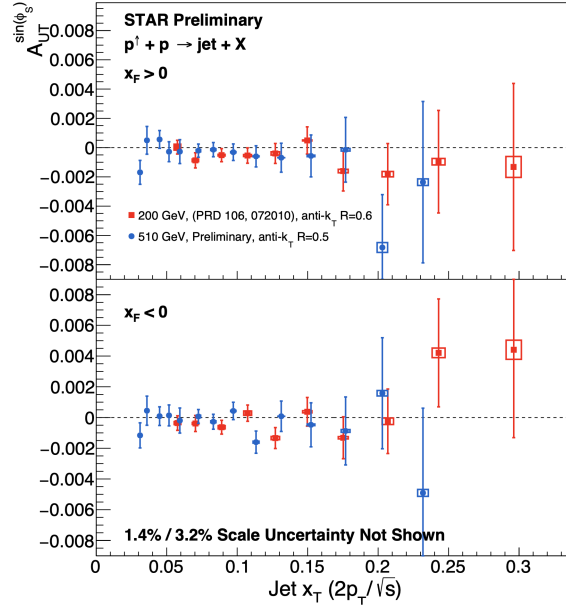


**Figure 4:** STAR results of the  $Z^0 A_N$  as a function of the rapidity of the  $Z^0$  [14].

61 When compared to the inclusive and rapidity gap events, the single diffractive events show similar  
 62  $A_N$  values. Therefore, the current results do not provide evidence in favor of a diffractive process  
 63 having a large contribution to the observed large forward  $A_N$ . STAR has also investigated the  
 64 inclusive jet asymmetry at mid-rapidity, which is sensitive to the twist-3 correlators associated with  
 65 the gluon Sivers function. Figure 6 shows the inclusive jet asymmetry as a function of jet  $x_T$  in two  
 66 different  $x_F$  bins. This new result is compared to the published results at center-of-mass energy of  
 67 200 GeV [11], and both beam energy results are consistent with zero for the measured asymmetry.  
 68 Additionally, the preliminary new results at  $\sqrt{s} = 510$  GeV extend the measurement to lower jet  $x_T$   
 69 values.



**Figure 5:** STAR results of the forward EM-jet  $A_N$  as a function of  $x_F$  in two photon multiplicity bins.



**Figure 6:** STAR results of the mid-rapidity jet asymmetry as a function of jet  $x_T$  in two  $x_F$  bins.

### 70 3. Collins Effect

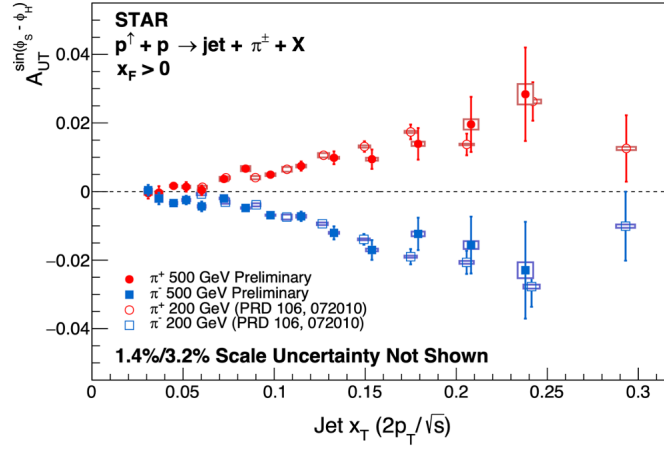
71 In  $pp$  collisions, the Collins effect describes the relationship between the initial state collinear  
 72 transversity PDF and the final state Collins FF [9, 18, 19]. This effect manifests itself as an azimuthal  
 73 modulation of final-state hadrons with respect to the parent jet axis [20, 21]. The relative difference

74 of the spin-depended cross section for this process is given by [21]:

$$\frac{d\sigma^\uparrow(\phi_S, \phi_H) - d\sigma^\downarrow(\phi_S, \phi_H)}{d\sigma^\uparrow(\phi_S, \phi_H) + d\sigma^\downarrow(\phi_S, \phi_H)} \propto A_{UT}^{\sin(\phi_S)} \sin(\phi_S) + A_{UT}^{\sin(\phi_S - \phi_H)} \sin(\phi_S - \phi_H) \quad (1)$$

$$+ A_{UT}^{\sin(\phi_S - 2\phi_H)} \sin(\phi_S - 2\phi_H) + \dots$$

75 where the  $A_{UT}^{\sin(\phi_S - \phi_H)}$  asymmetry amplitude is sensitive to the transversity PDF convoluted with  
 76 the Collins FF. STAR has recently made a preliminary measurement of the Collins asymmetry  
 77 in polarized  $pp$  collisions at a center-of-mass energy of 510 GeV and compared it to previously  
 78 published results at 200 GeV [11]. Figure 7 shows that the asymmetries from both beam energies  
 79 agree well with each other and indicate little, if any, energy dependence. It is worth noting that the  
 80  $Q^2$  values differ by a factor of 6 between the 200 and 510 GeV results.

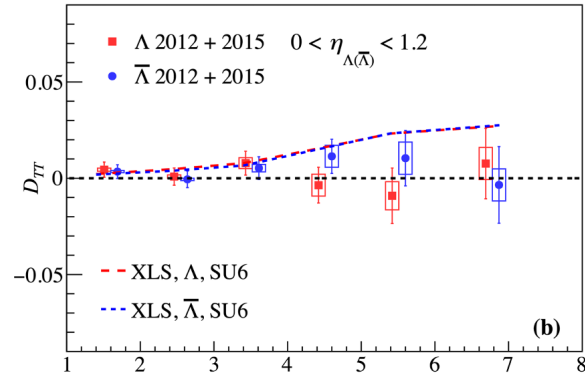


**Figure 7:** A comparison between recent preliminary measurement of the Collins asymmetry in  $pp$  collisions at a center-of-mass energy of 510 GeV and previously published STAR results of the Collins asymmetry in  $pp$  collisions at a center-of-mass energy of 200 GeV [11].

81 STAR measured the  $\Lambda(\bar{\Lambda})$  hyperon transverse spin transfer ( $D_{TT}$ ), which gives access to the  
 82 (anti-)strange quark transversity PDF in the proton [22]. Figure 8 shows  $D_{TT}$  as a function of the  
 83 hyperon transverse momentum ( $p_{T,\Lambda(\bar{\Lambda})}$ ), where the  $D_{TT}$  values for both  $\Lambda$  and  $\bar{\Lambda}$  are consistent  
 84 with each other within uncertainties and are also consistent with zero. Measurement of the di-pion  
 85 asymmetry at both 200 and 510 GeV center-of-mass energies have been performed at STAR, where  
 86 the asymmetry gives access to the collinear transversity PDF. The di-pion cross section has also  
 87 been measured at 200 GeV. Both the asymmetry and the cross-section measurements can pave the  
 88 way to a model-independent extraction of the transversity PDF. For more details, see Ref. [23].

## 89 4. Outlook

90 The forward upgrade was installed and commissioned at STAR before 2022. This upgrade ex-  
 91 tends the pseudorapidity range of the forward tracking and electromagnetic and hadronic calorimetry  
 92 to  $2.5 < \eta < 4$ , which enables new measurement capabilities at STAR, such as  $h^\pm$ ,  $\gamma$ ,  $\pi^0$ , jets, and  
 93 hadrons in jet. It also allows sensitivity to quarks up to  $x \sim 0.5$  and gluons down to  $x \sim 0.001$ .



**Figure 8:** STAR result for the  $\Lambda$  and  $\bar{\Lambda}$  hyperon spin transfer at 200 GeV center-of-mass energy [22].

94 Additionally, the forward upgrade combined with the midrapidity STAR capabilities complement  
 95 the future Electron-Ion Collider kinematics. It also bridges the region between mid-rapidity STAR  
 96 and Semi-Inclusive Deep Inelastic Scattering, which is beneficial for future studies, such as the  
 97 Collins effect.

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