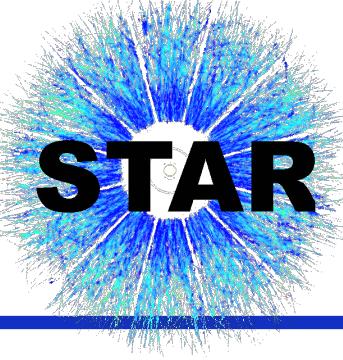


# Recent Results on Cumulants of Net-Particle Distributions in Au+Au Collisions at STAR

Toshihiro Nonaka for the STAR Collaboration  
University of Tsukuba  
Central China Normal University





# Outline

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## ✓ Introduction

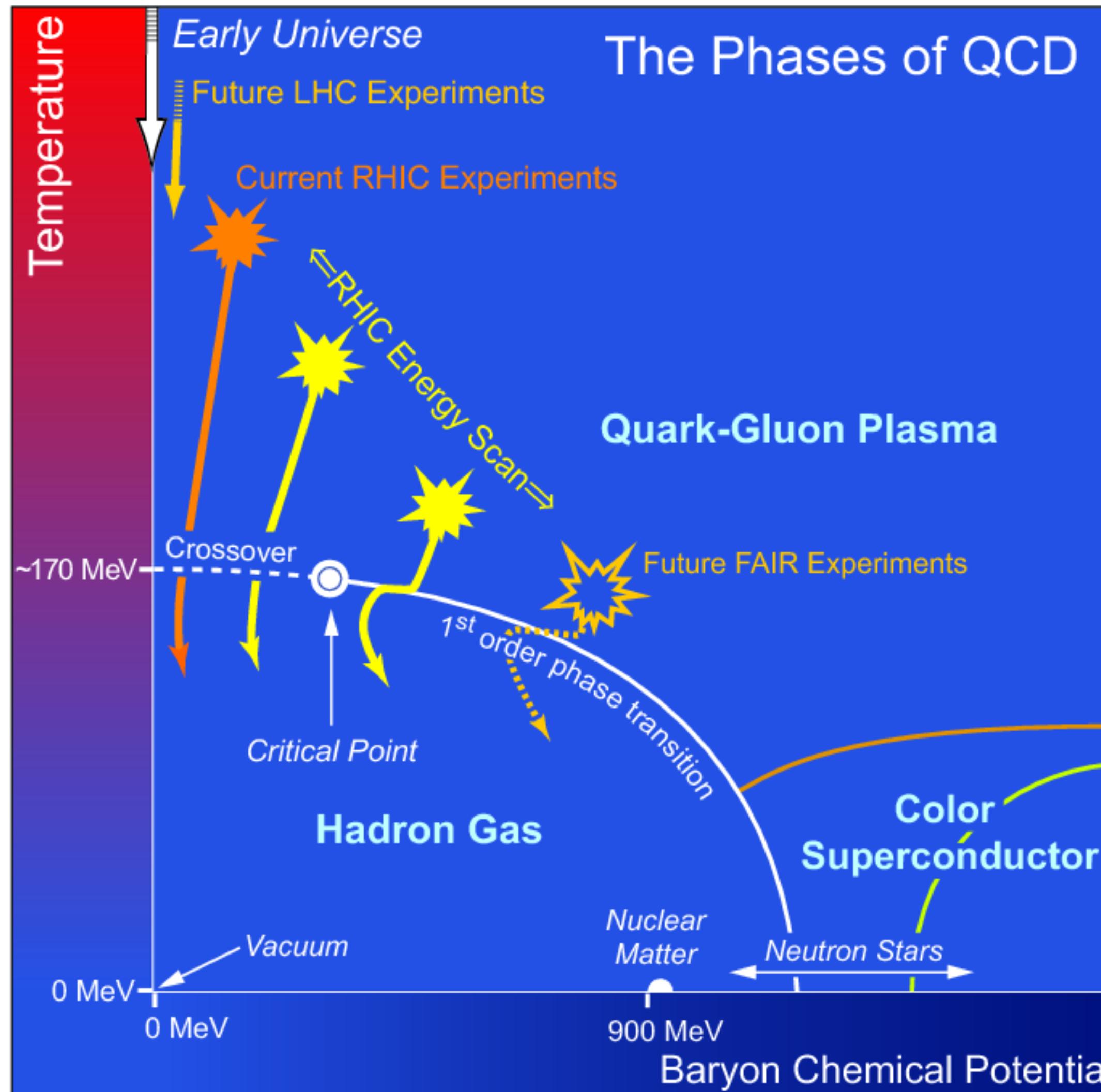
- Observables
- Analysis methods

## ✓ Experimental results

- Net- $\Lambda$  cumulants
- Off-diagonal cumulants
- Sixth-order cumulants

## ✓ Non-binomial efficiencies

# *QCD phase diagram*

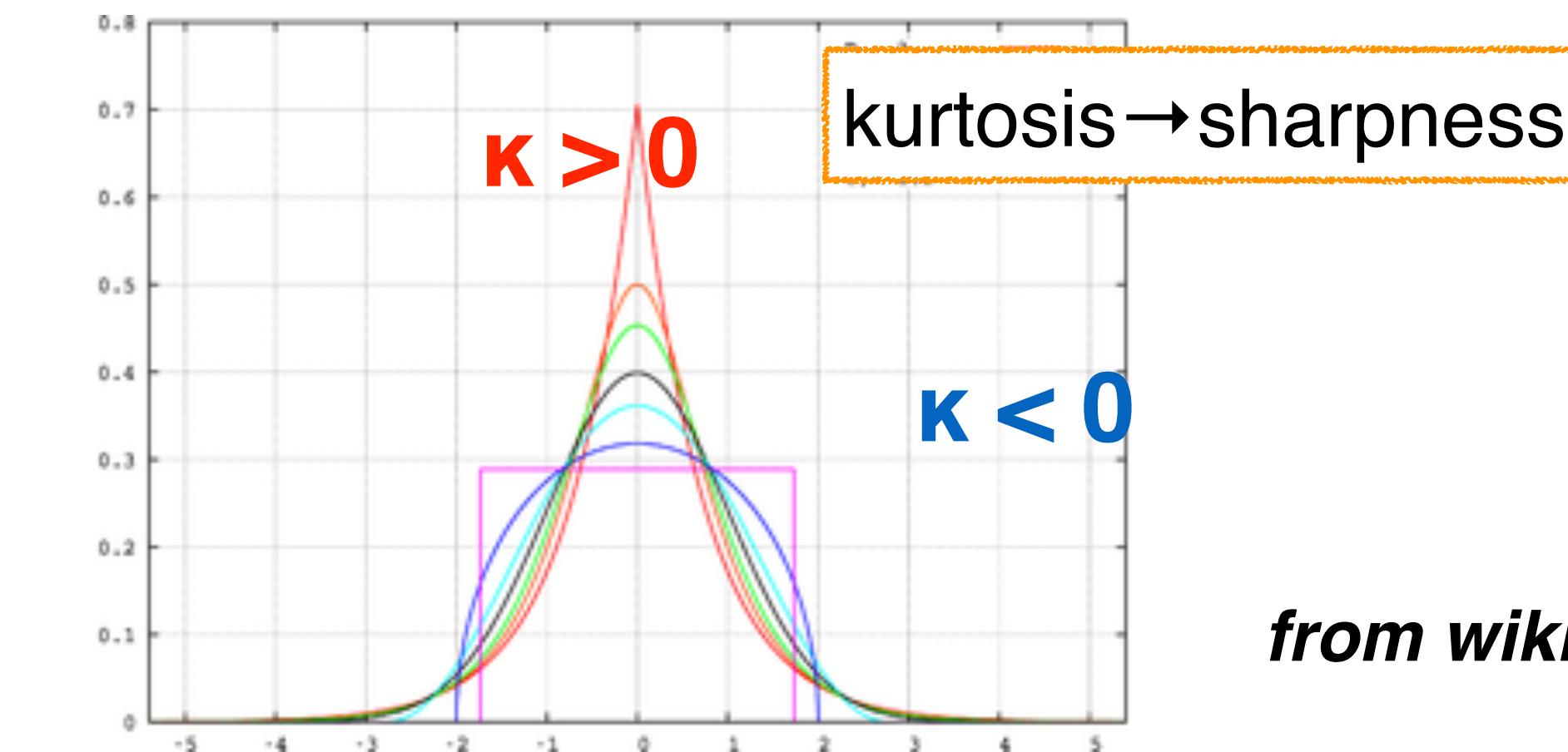
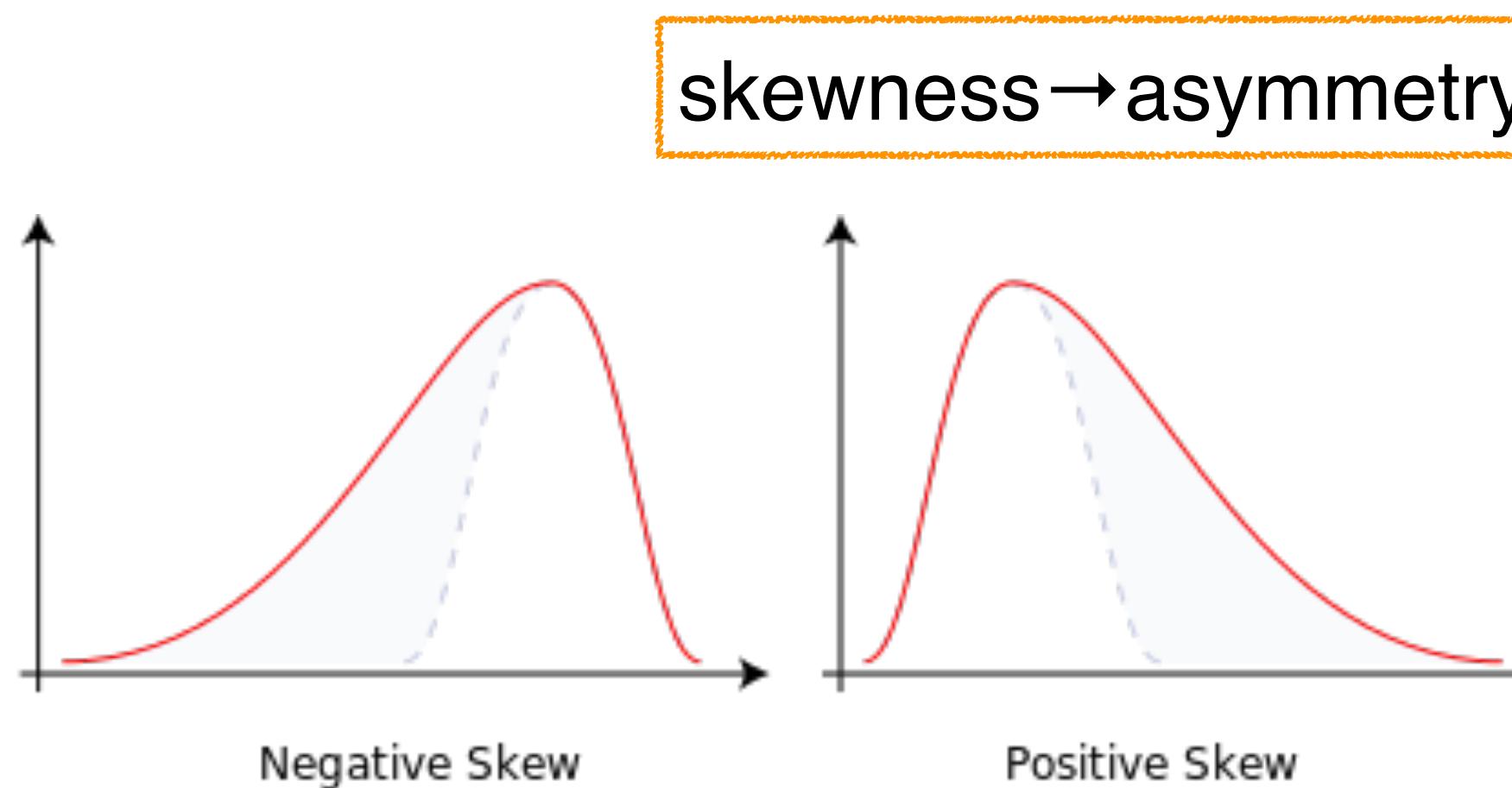


- ✓ Higher-order fluctuations of net-particle distributions.
- Crossover at  $\mu_B=0$
  - 1st-order phase transition at large  $\mu_B$ ?
  - Critical point?

# Higher-order fluctuation

- ♦ Moments and cumulants are mathematical measures of “shape” of a distribution which probe the fluctuation of observables.

- ✓ Moments: mean ( $M$ ), standard deviation ( $\sigma$ ), skewness ( $S$ ) and kurtosis ( $\kappa$ ).
- ✓  $S$  and  $\kappa$  are non-gaussian fluctuations.



from wikipedia

- ✓ Cumulant  $\Leftrightarrow$  Moment

$$\langle \delta N \rangle = N - \langle N \rangle$$

$$C_1 = M = \langle N \rangle$$

$$C_2 = \sigma^2 = \langle (\delta N)^2 \rangle$$

$$C_3 = S\sigma^3 = \langle (\delta N)^3 \rangle$$

$$C_4 = \kappa\sigma^4 = \langle (\delta N)^4 \rangle - 3\langle (\delta N)^2 \rangle^2$$

- ✓ Cumulant : additivity

$$C_n(X + Y) = C_n(X) + C_n(Y)$$

→ proportional to volume

# Existing analysis methods

- ✓ Centrality bin width averaging is done for the reduction of the initial volume fluctuation.
- ✓ Calculate the cumulants at each value of the multiplicity used for centrality, then weighted-average these in each centrality bin.

- X.Luo, J. Xu, B. Mohanty and N. Xu. *J. Phys. G* 40, 105104(2013)

$$C_n = \frac{\sum_{r=N_1}^{N_2} n_r C_n^r}{\sum_{r=N_1}^{N_2} n_r} = \sum_{r=N_1}^{N_2} \omega_r C_n^r \quad \omega_r = n_r / \sum_{r=N_1}^{N_2} n_r$$

$N_1, N_2$  : lowest and highest multiplicity bin in the centrality  
 $n_r$  : # of events in rth multiplicity bin

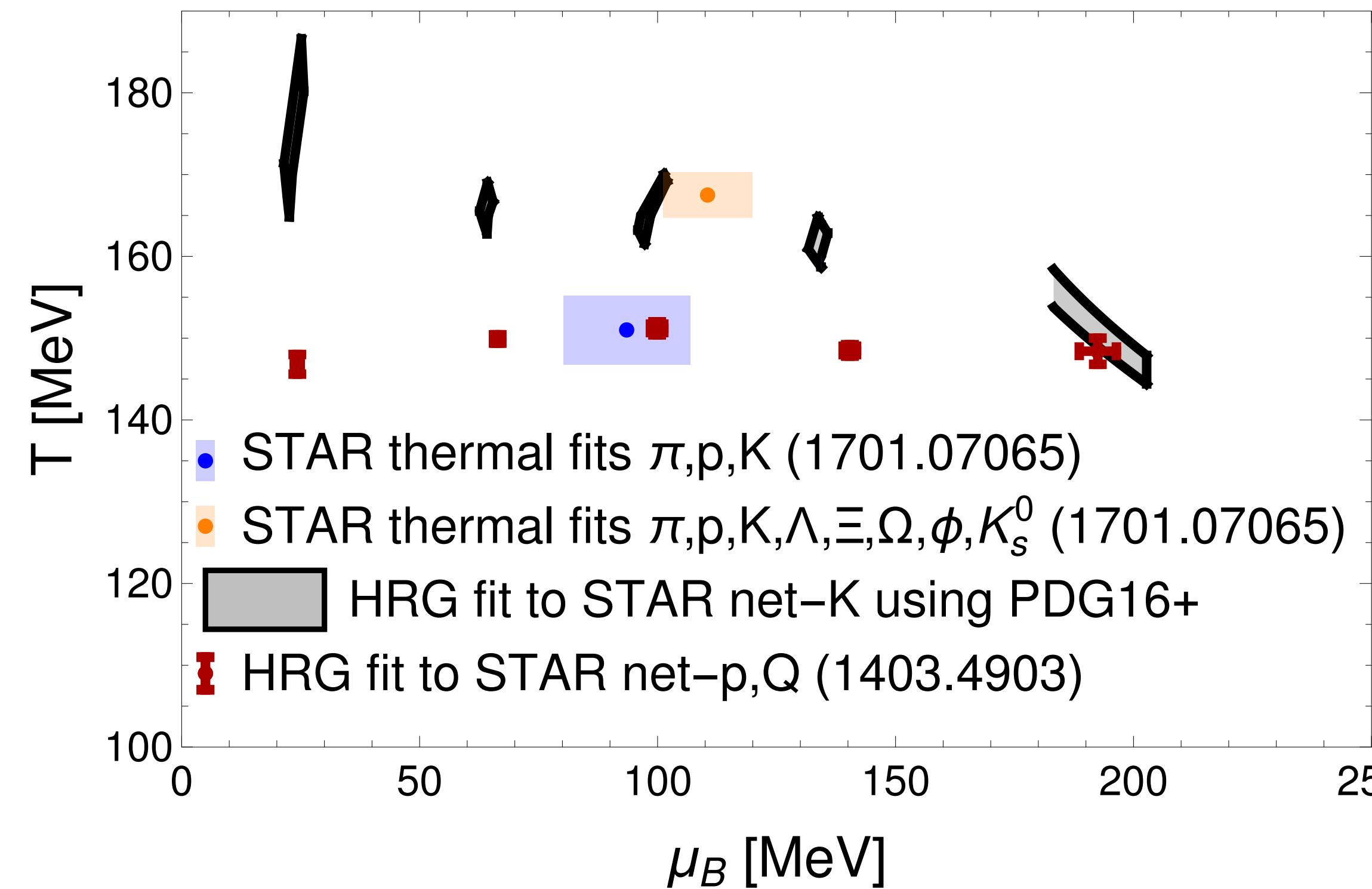
- ✓ Efficiency correction on cumulants have been done assuming the binomial efficiencies.

- M. Kitazawa : PRC.86.024904, M. Kitazawa and M. Asakawa : PRC.86.024904
- A. Bzdak and V. Koch : PRC.86.044904, PRC.91.027901, X. Luo : PRC.91.034907
- T. Nonaka et al : PRC.94.034909, T. Nonaka, M. Kitazawa, S. Esumi : PRC.95.064912

$$B_{p,N}(n) = \frac{N!}{n!(N-n)!} p^n (1-p)^n$$

# Net- $\Lambda$ cumulants

- ✓ Strange hadrons freeze-out earlier than light flavor hadrons?
- ✓ Net- $\Lambda$  cumulants might provide additional constraints on freeze-out conditions.



J. Noronha-Hostler, C. Ratti, P. Parotto,  
R. Bellwied, arXiv:1805.00088

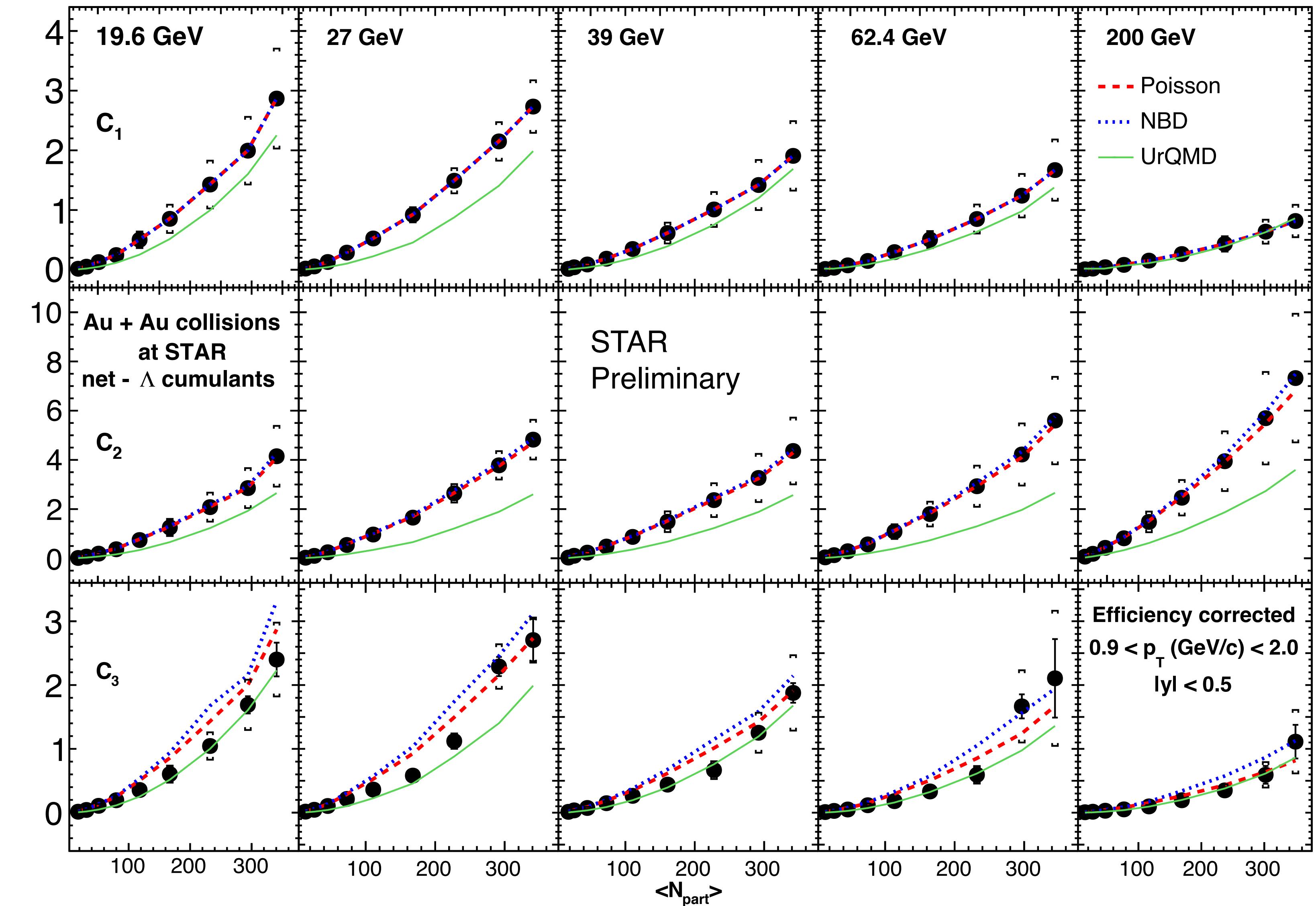
# Net- $\Lambda$ cumulants

See N. Kulathunga, Poster #528

✓ Consistent with Poisson/NBD baselines.

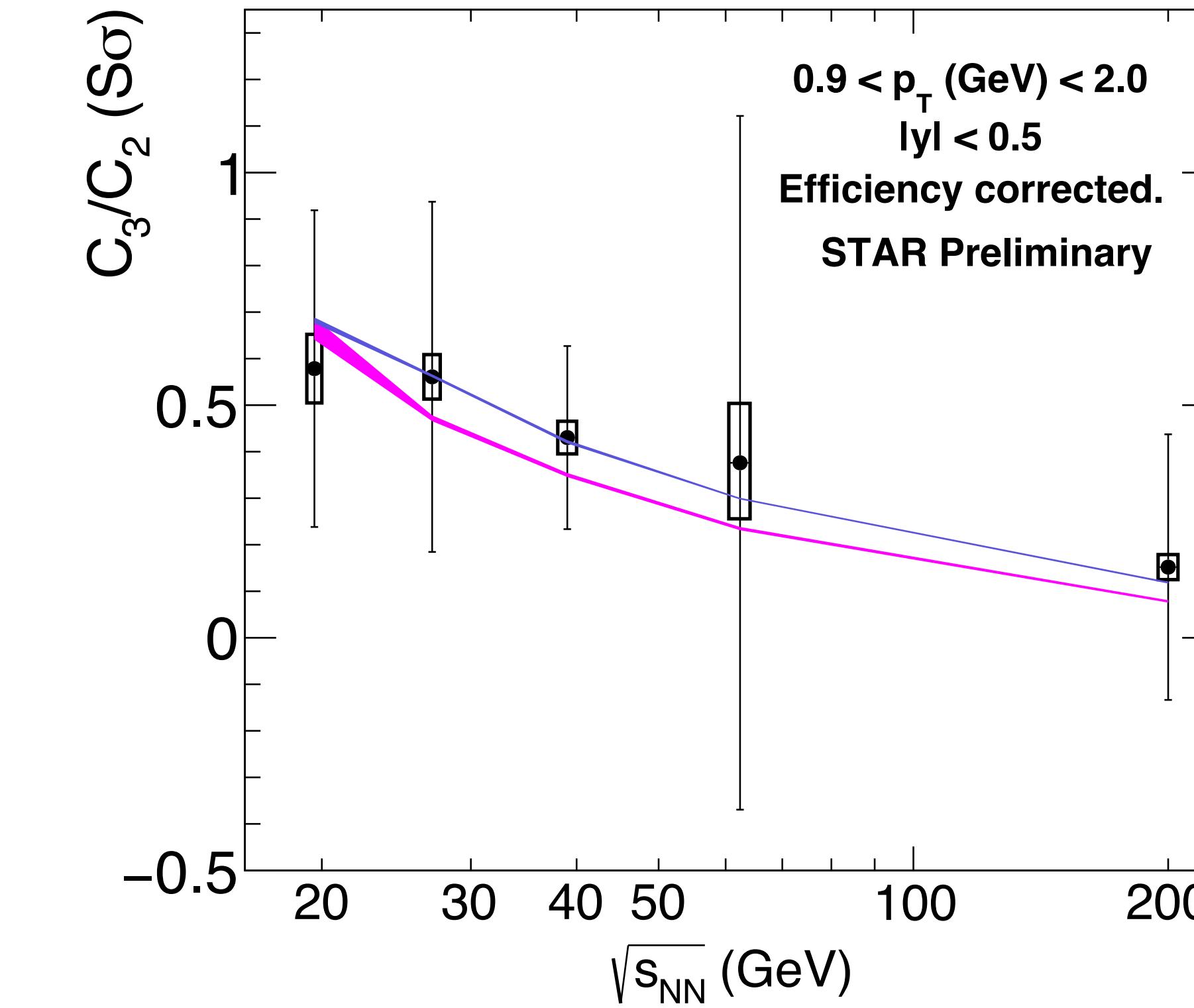
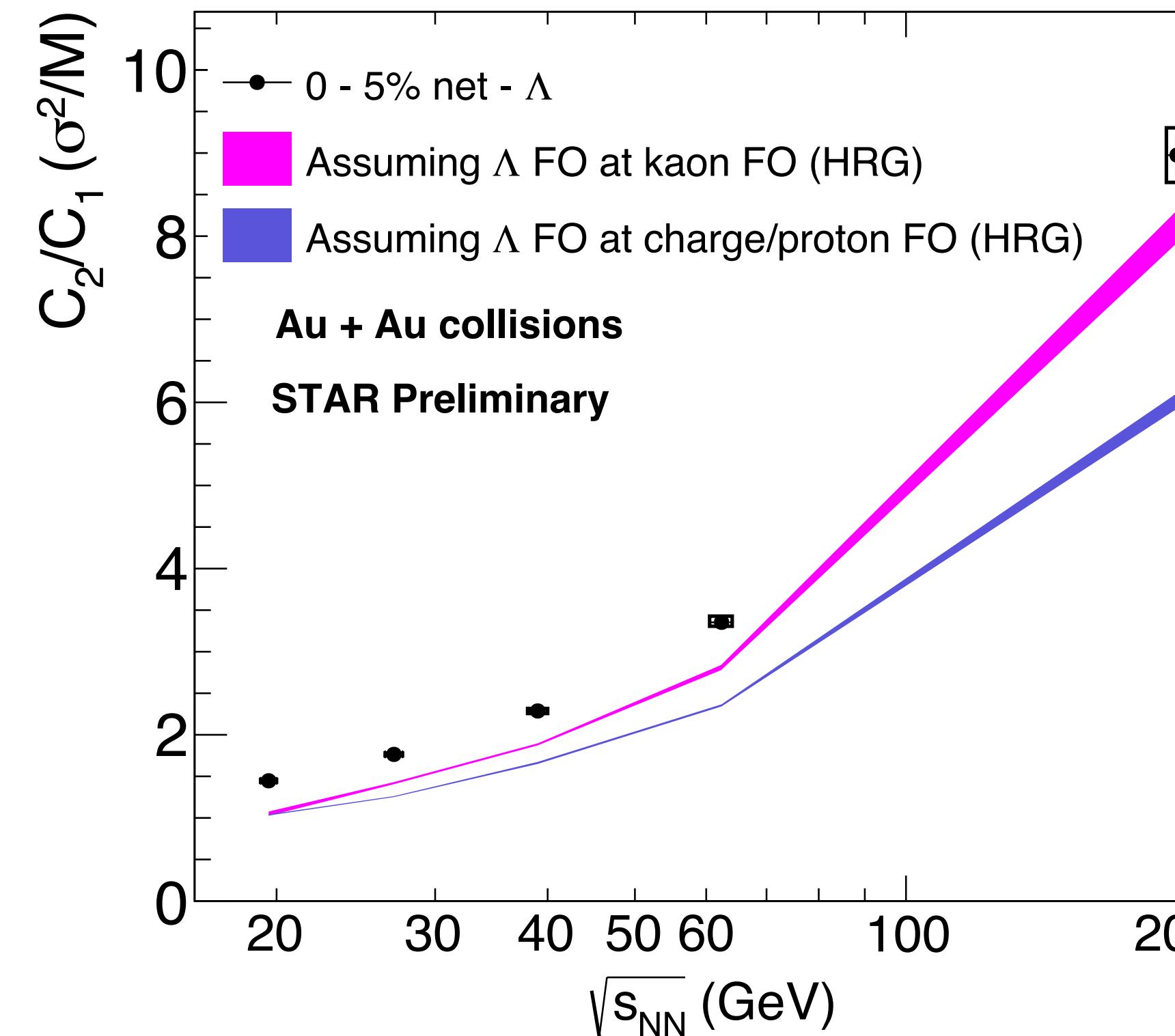
✓  $C_1$  and  $C_2$  are above UrQMD results.

✓  $C_3$  shows better agreement with UrQMD.



# Net- $\Lambda$ cumulants

- ✓  $C_2/C_1$  is close to HRG results (same kinematic range) with kaon freeze-out condition, and far away from those of charge and proton.
- ✓ The error on  $C_3/C_2$  is presently too large to provide a meaningful constraint on the HRG model.



# The 2nd-order off-diagonal cumulants

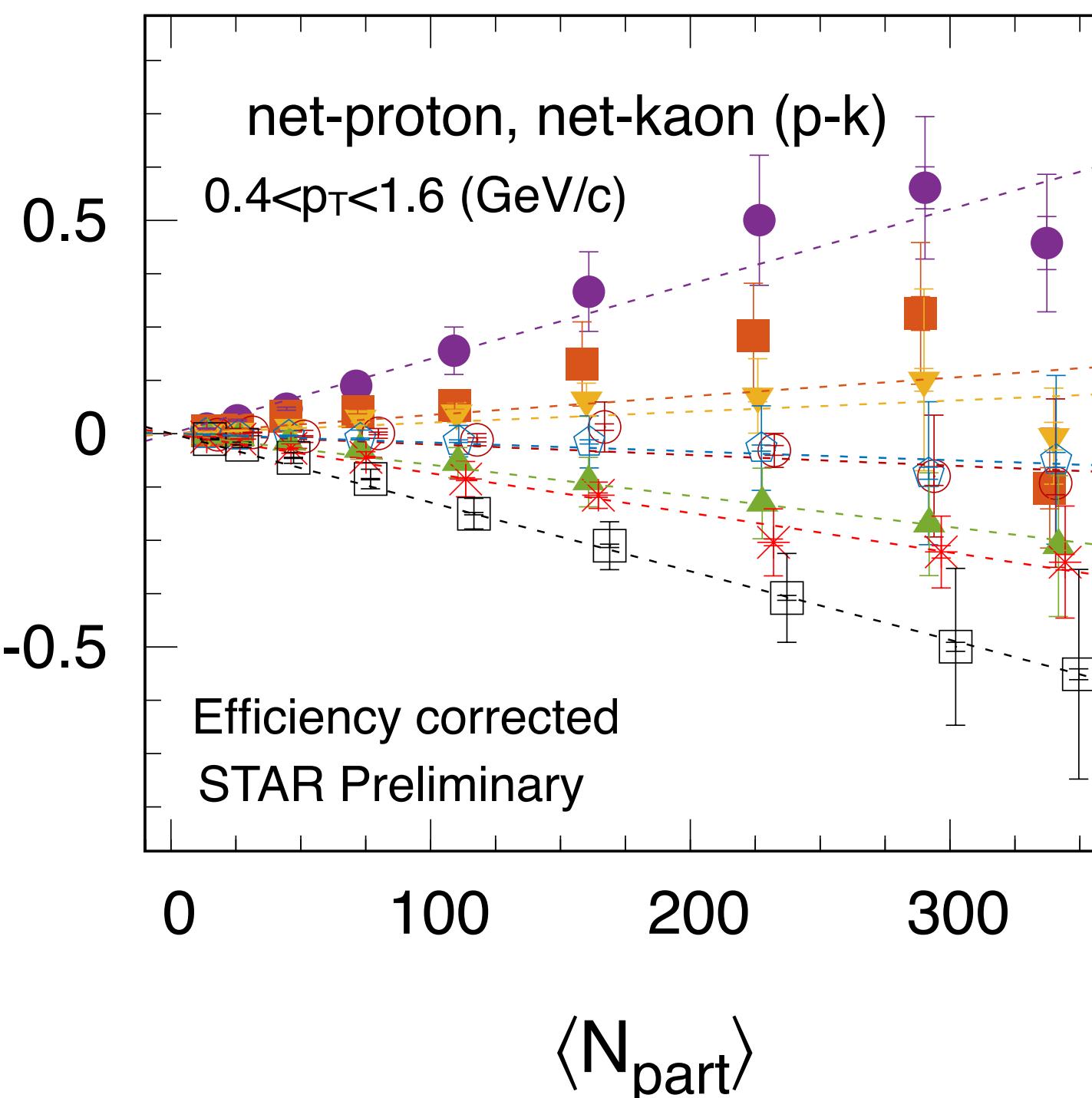
Off-diagonal cumulants of conserved charges will provide additional constraints on the freeze-out conditions.

$$\begin{pmatrix} \sigma_Q^2 & \sigma_{Q,p}^{1,1} & \sigma_{Q,k}^{1,1} \\ \sigma_{p,Q}^{1,1} & \sigma_p^2 & \sigma_{p,k}^{1,1} \\ \sigma_{k,Q}^{1,1} & \sigma_{k,p}^{1,1} & \sigma_k^2 \end{pmatrix}$$

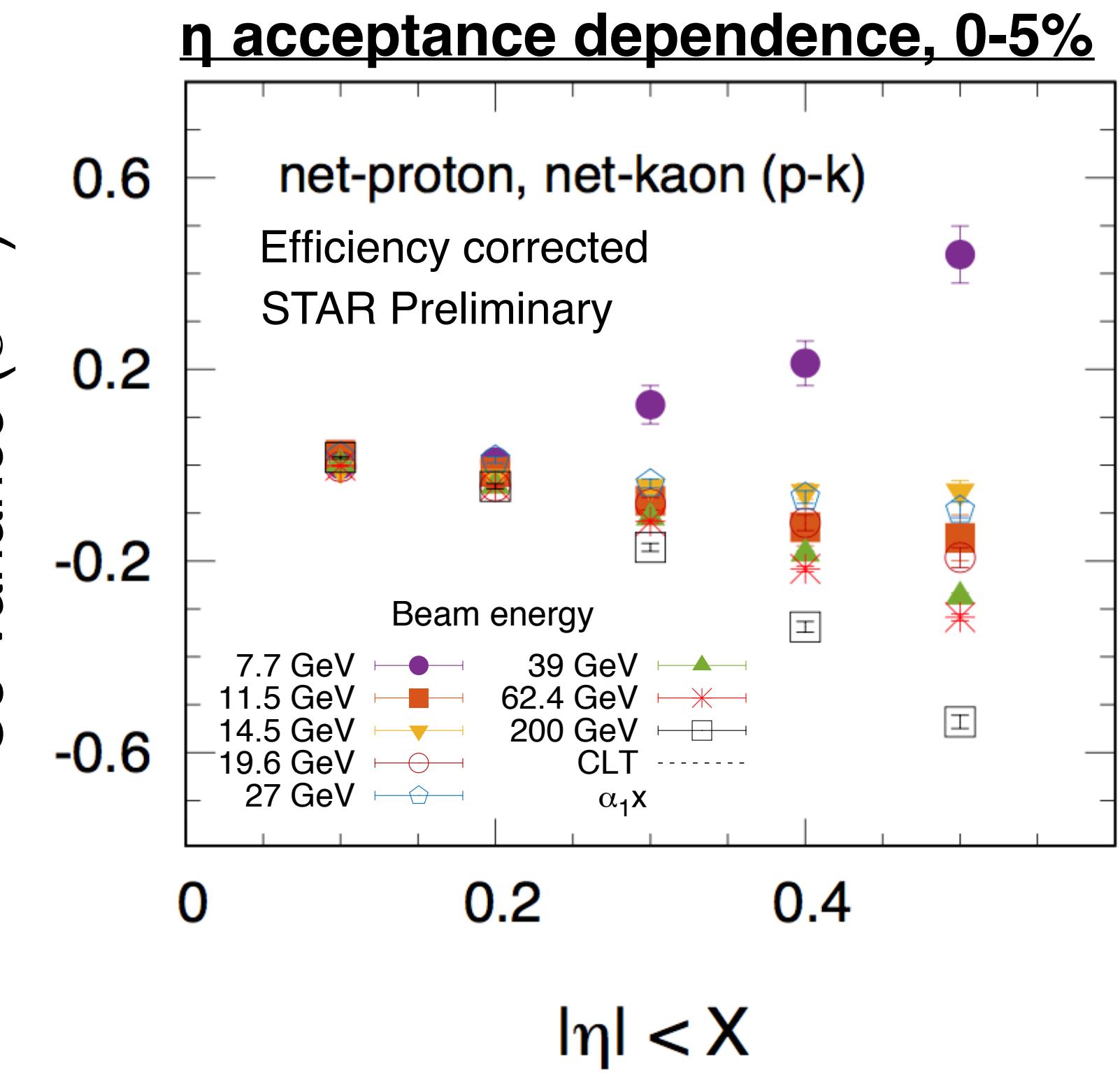
$$\sigma_{x,y}^2 = \langle xy \rangle - \langle x \rangle \langle y \rangle$$

$$C_{x,y} = \frac{\sigma_{x,y}^{1,1}}{\sigma_y^2}$$

Co-variance ( $\sigma^{1,1}$ )



Co-variance ( $\sigma^{1,1}$ )

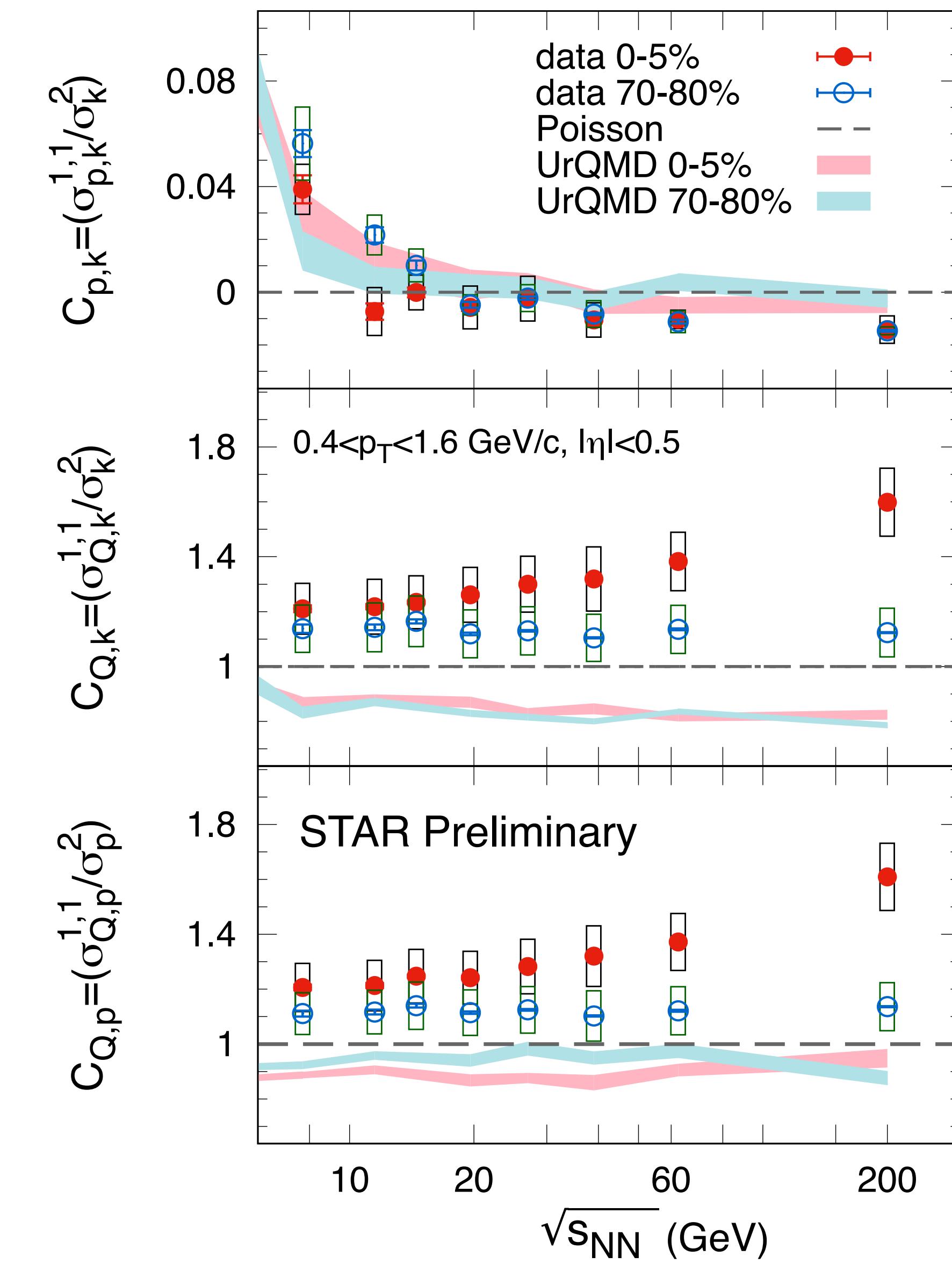


- A. Majumder and B. Muller, *Phys. Rev. C* 74 (2006)
- A. Bazavov et al. *Phys. Rev. D* 86 (2012) 034509
- A. Chatterjee et al. *J. Phys. G: Nucl. Part. Phys.* 43 (2016) 125103
- Z. Yang et al. *Phys. Rev. C* 95 014914 (2017)

# The 2nd-order off-diagonal cumulants

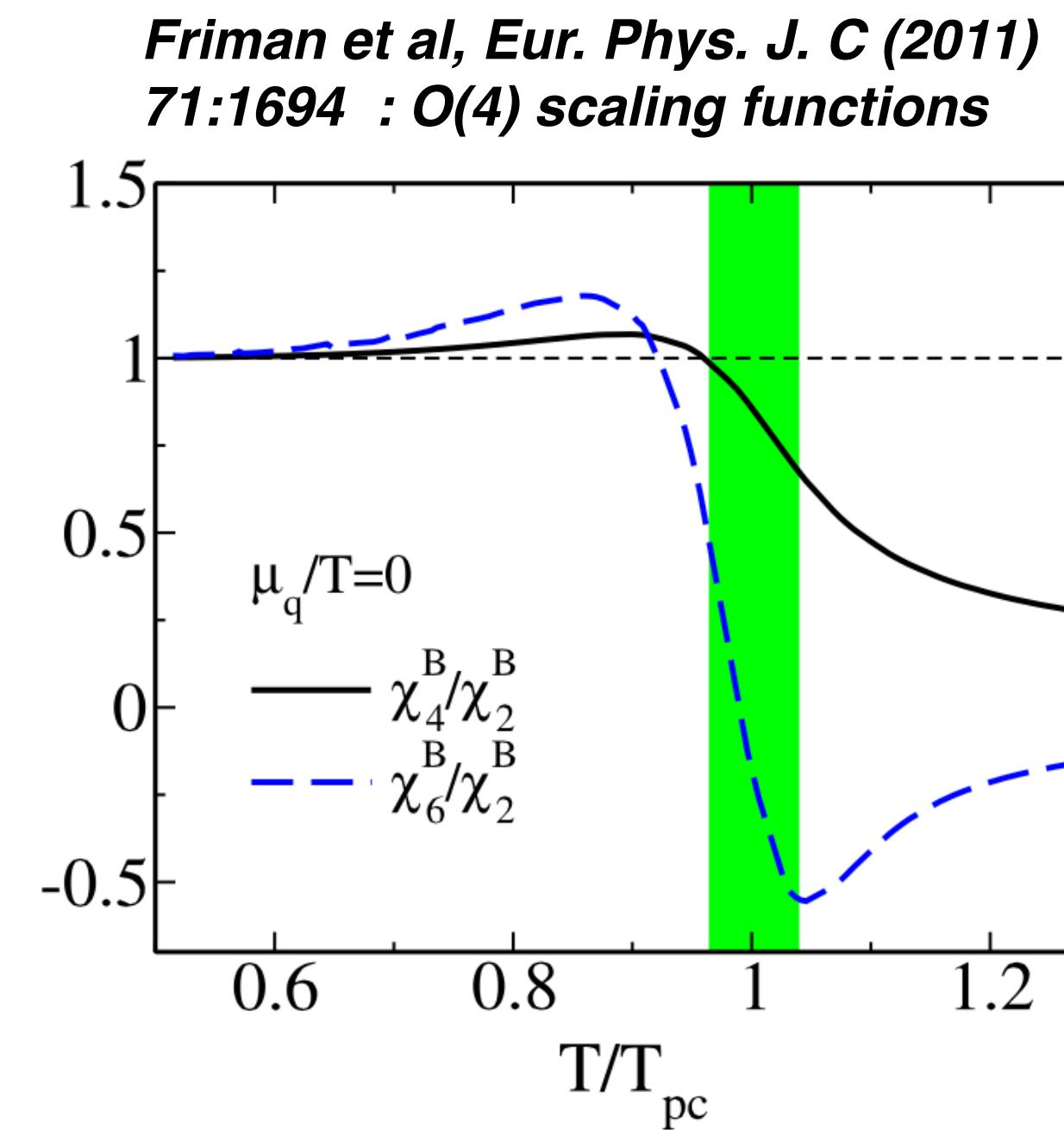
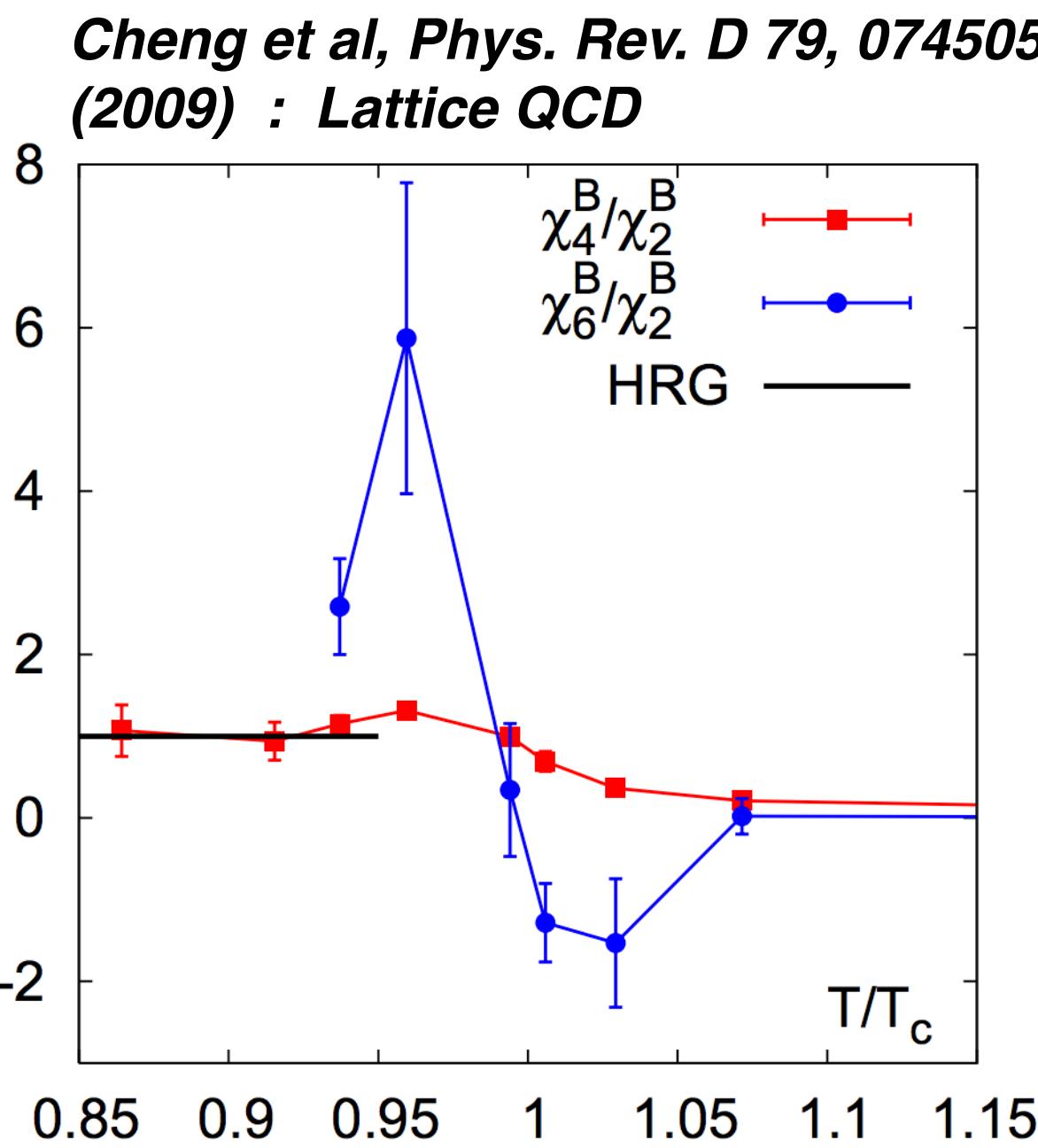
- ✓ Normalized p-k correlation is positive at low energies and negative at high energies, which are also consistent with UrQMD.
- ✓ Significant excess is observed in Q-k and Q-p with respect to the Poisson baseline and UrQMD.
- ✓ This excess increases with the beam energy in central collisions compared to peripheral collisions.

See A. Chatterjee, Poster #534



# Net-charge sixth-order cumulant

- ✓ There isn't yet any direct experimental evidence for the smooth crossover at  $\mu_B \sim 0$ .
- ✓ Sixth-order cumulants of net-charge and net-baryon distributions are predicted to be negative if the chemical freeze-out is close enough to the phase transition.



*C.Schmidt, Prog.Theor.Phys.Supp.186,563–566(2010)*  
*Cheng et al, Phys. Rev. D 79, 074505 (2009)*  
*Friman et al, Eur. Phys. J. C (2011) 71:1694*

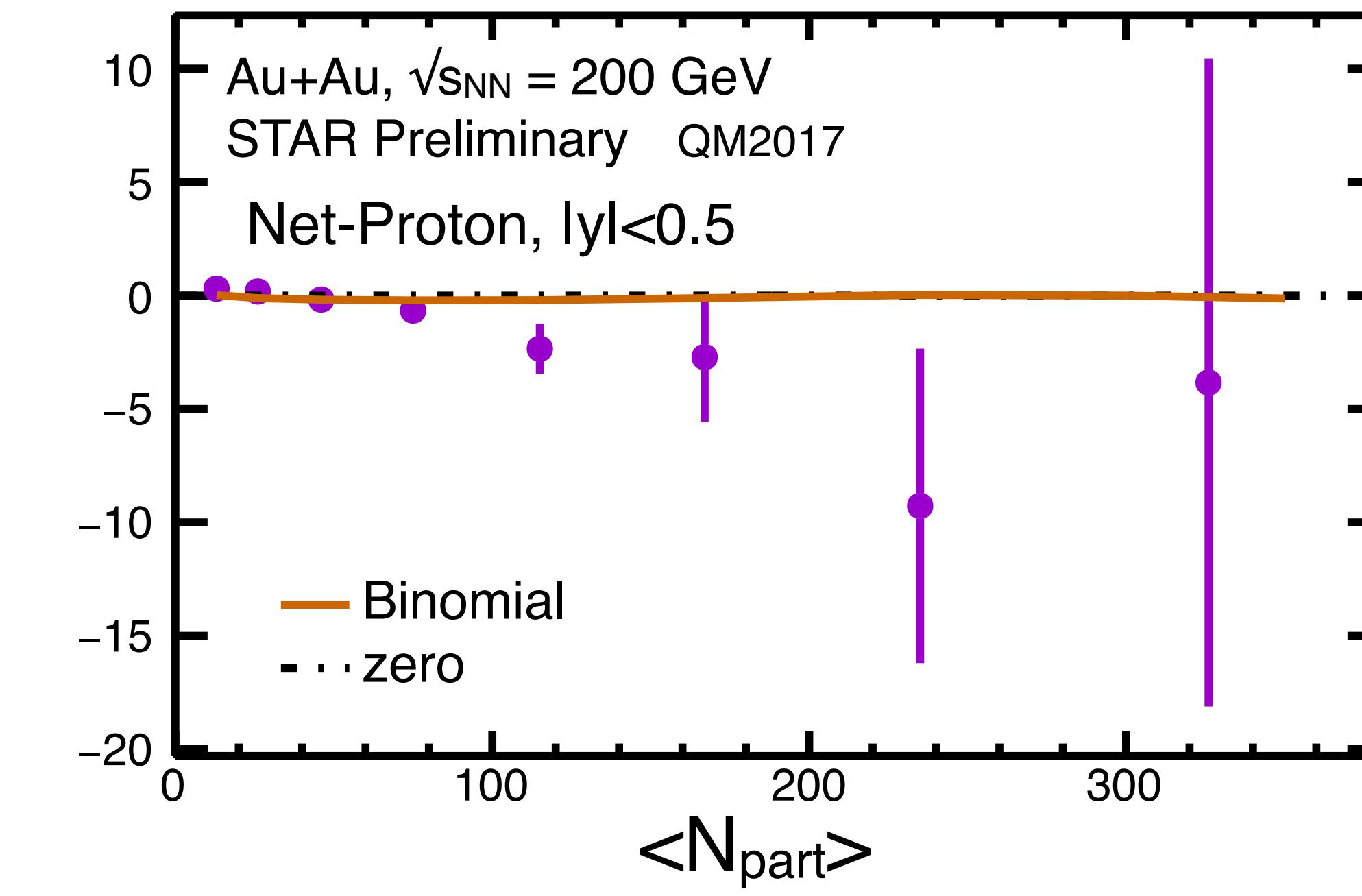
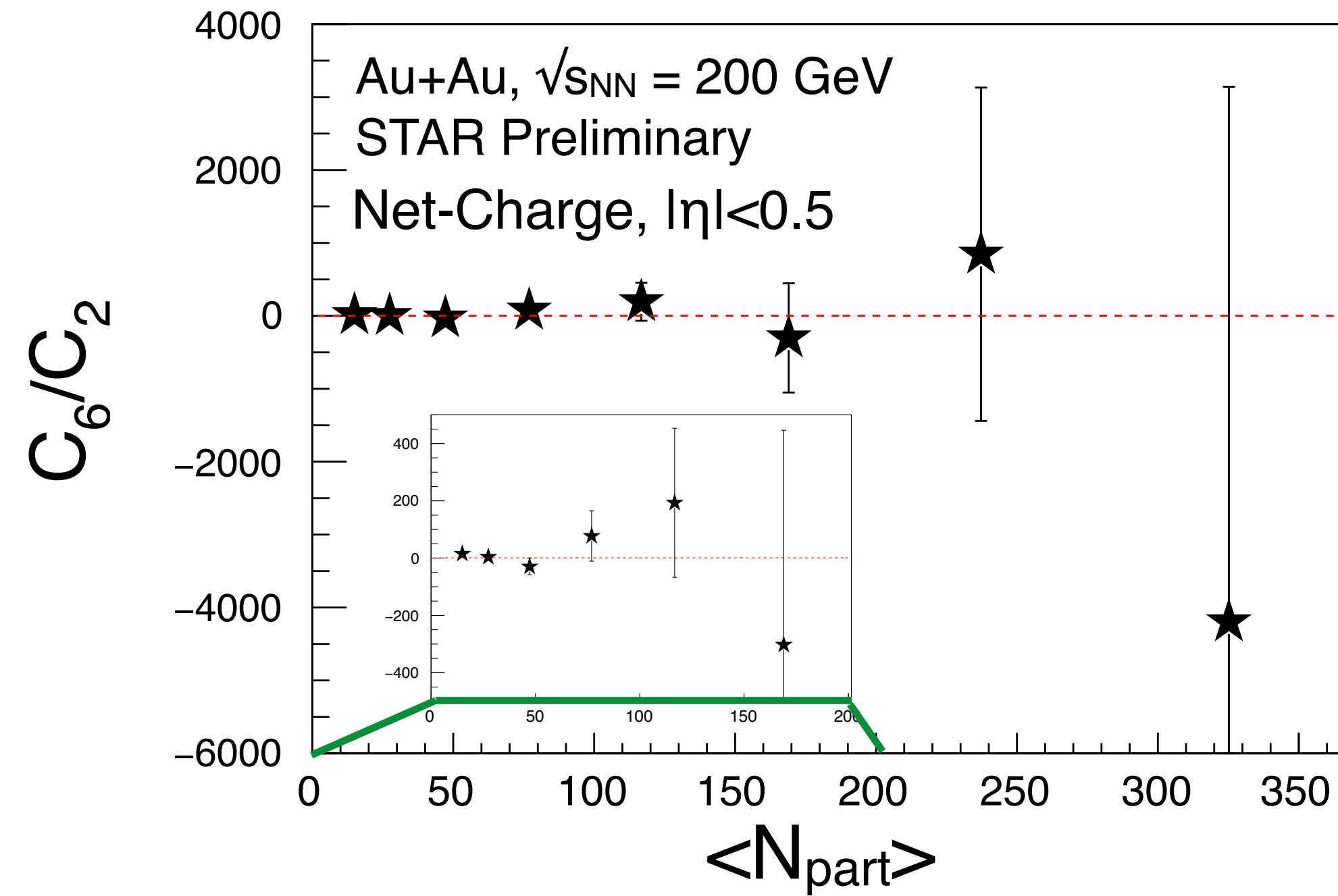
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Freeze-out conditions	$\chi_4^B/\chi_2^B$	$\chi_6^B/\chi_2^B$	$\chi_4^Q/\chi_2^Q$	$\chi_6^Q/\chi_2^Q$
HRG	1	1	~2	~10
QCD:				
$T^{\text{freeze}}/T_{pc} \lesssim 0.9$	$\gtrsim 1$	$\gtrsim 1$	$\gtrsim 1$	$\sim 2$
QCD:				
$T^{\text{freeze}}/T_{pc} \simeq 1$	$\sim 0.5$	$< 0$	$\sim 1$	$< 0$

**Predicted scenario for this measurement**

# Net-charge sixth order cumulant

- ✓ The first result of  $C_6/C_2$  of net-charge.
- ✓ Net-charge has much larger errors than net-proton because the error strongly depends on the standard deviation. 
$$\text{error}(C_r) \propto \frac{\sigma^r}{\sqrt{N_{\text{eve}}}}$$
- ✓ Results of net-charge  $C_6/C_2$  are consistent with zero within large statistical errors.
- ✓ Negative values are observed in net-proton  $C_6/C_2$  systematically from peripheral to central collisions.

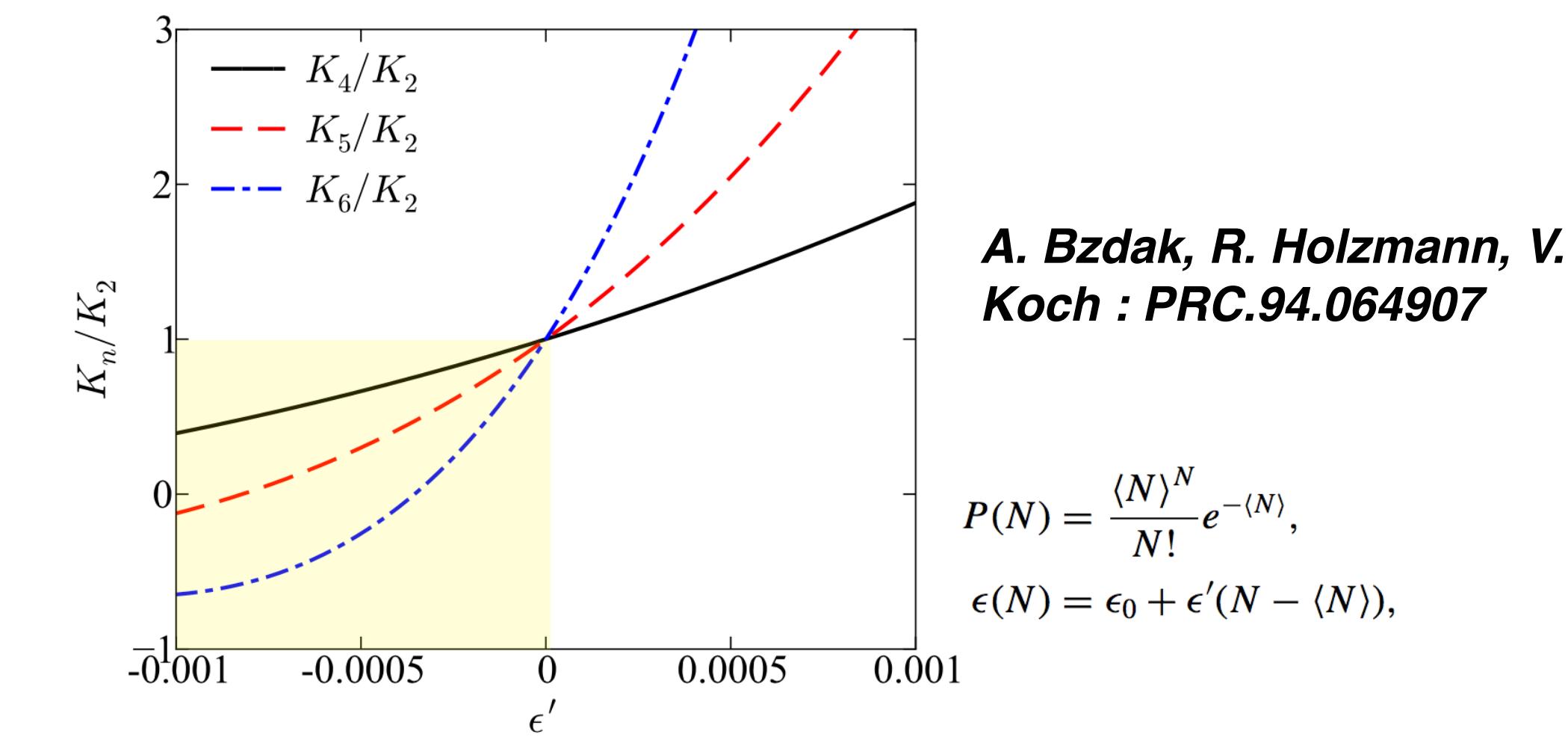
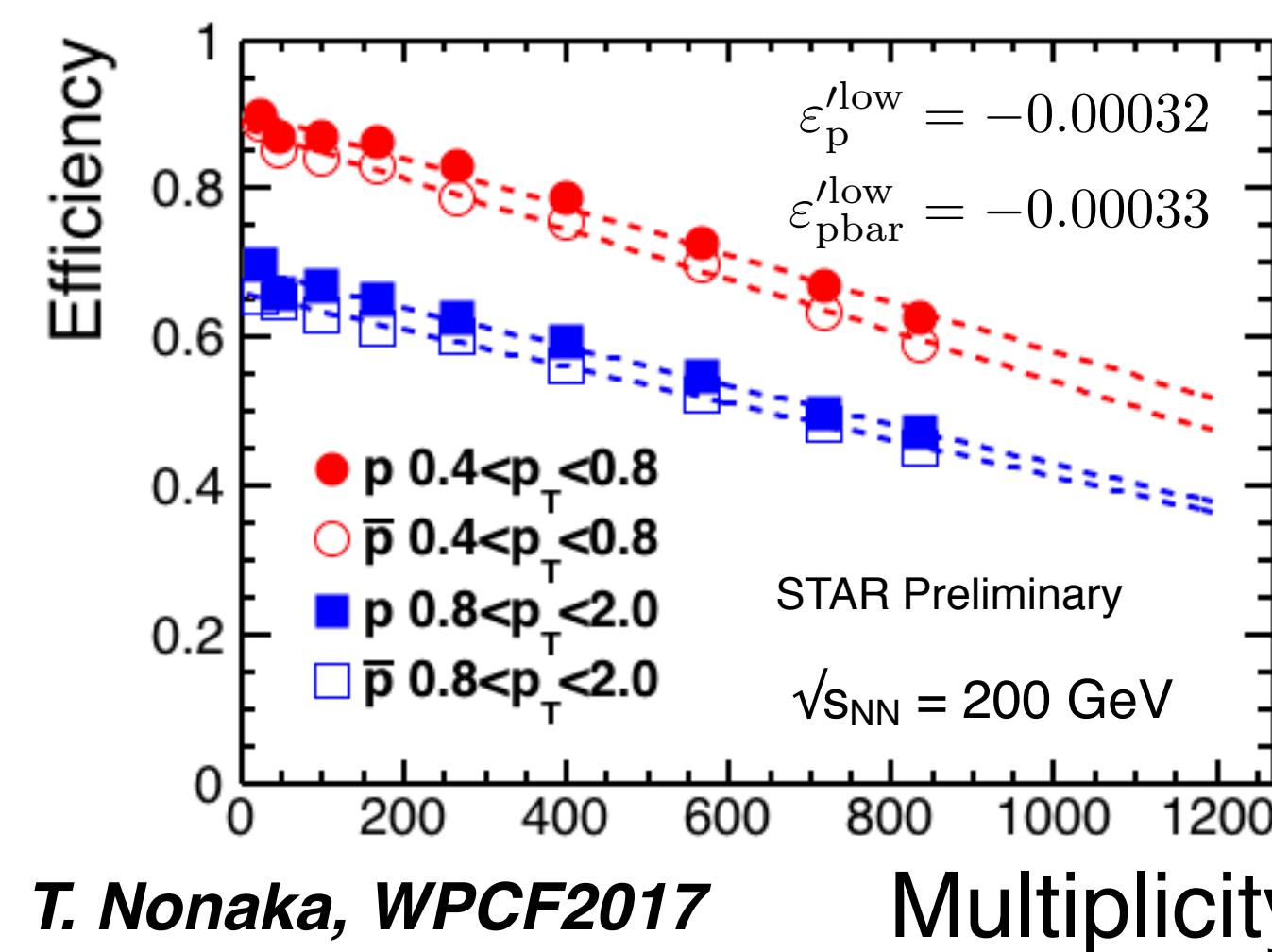


## 1. Experimental effects

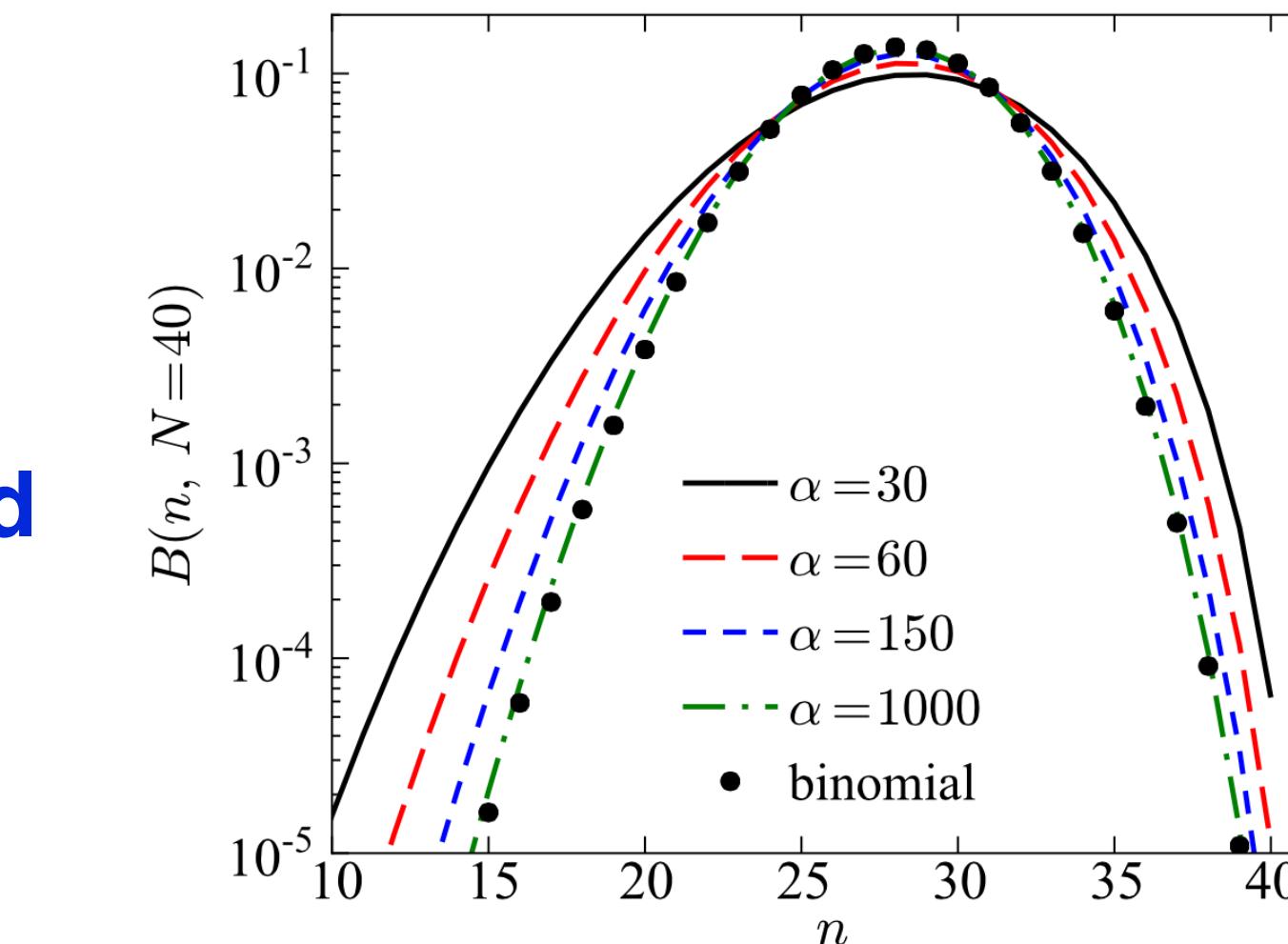
- ✓ The detector efficiency may not be binomial, which would be due to the particle mis-identification, track splitting/merging effects, and many other reasons.

## 2. Multiplicity dependent efficiency

- ✓ Residual dependence of efficiency inside one multiplicity bin (for centrality) needs to be taken into account.



A. Bzdak, R. Holzmann, V. Koch : PRC.94.064907



→ One example of non-binomial distribution, Beta-binomial, is wider distribution than binomial

# Non-binomial efficiencies

- ✓ We performed MC simulations by embedding protons and antiprotons, e.g.,  $N_p=60$  and  $N_{p\bar{p}}=15$  (which would be an extreme number), and see whether those particles can be reconstructed or not.

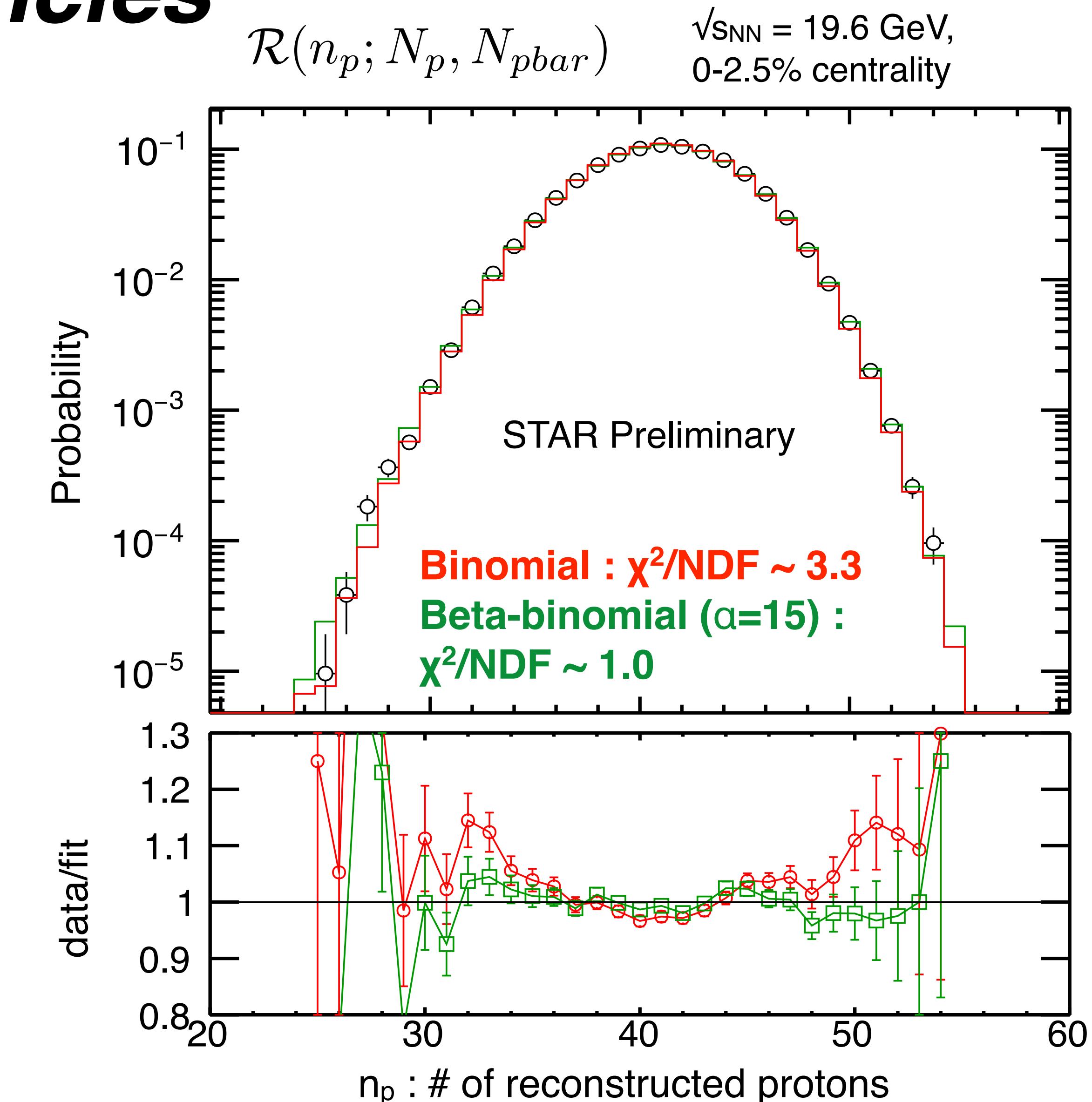
- ✓ The response matrix is close to the beta-binomial distribution, which is wider than binomial.

→ “Urn model” for beta-binomial distribution, where the parameter  $\alpha$  controls the deviation from binomial.

$N_w$  : white balls,  $N_b$ : black balls,  $\varepsilon$ : efficiency

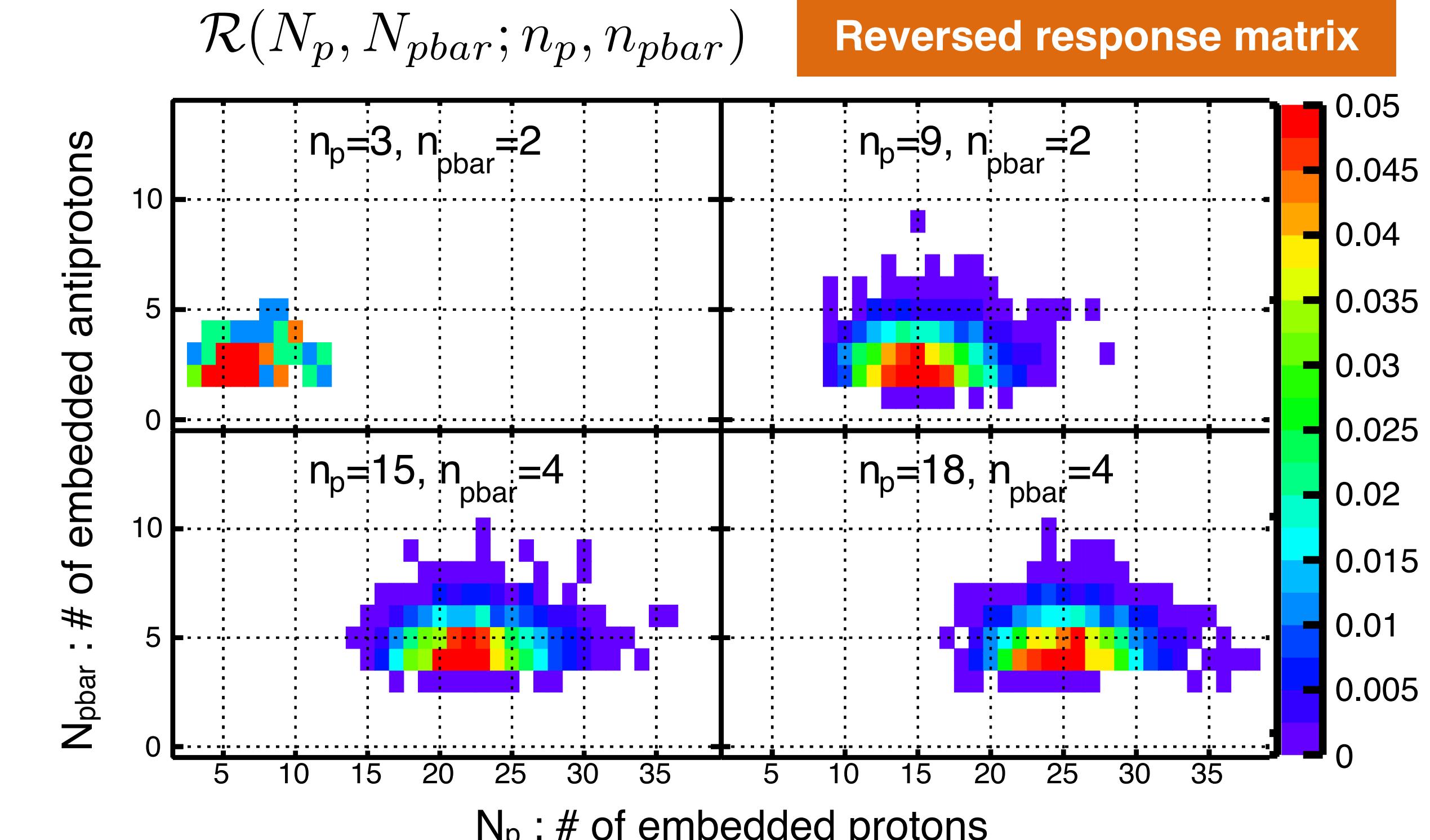
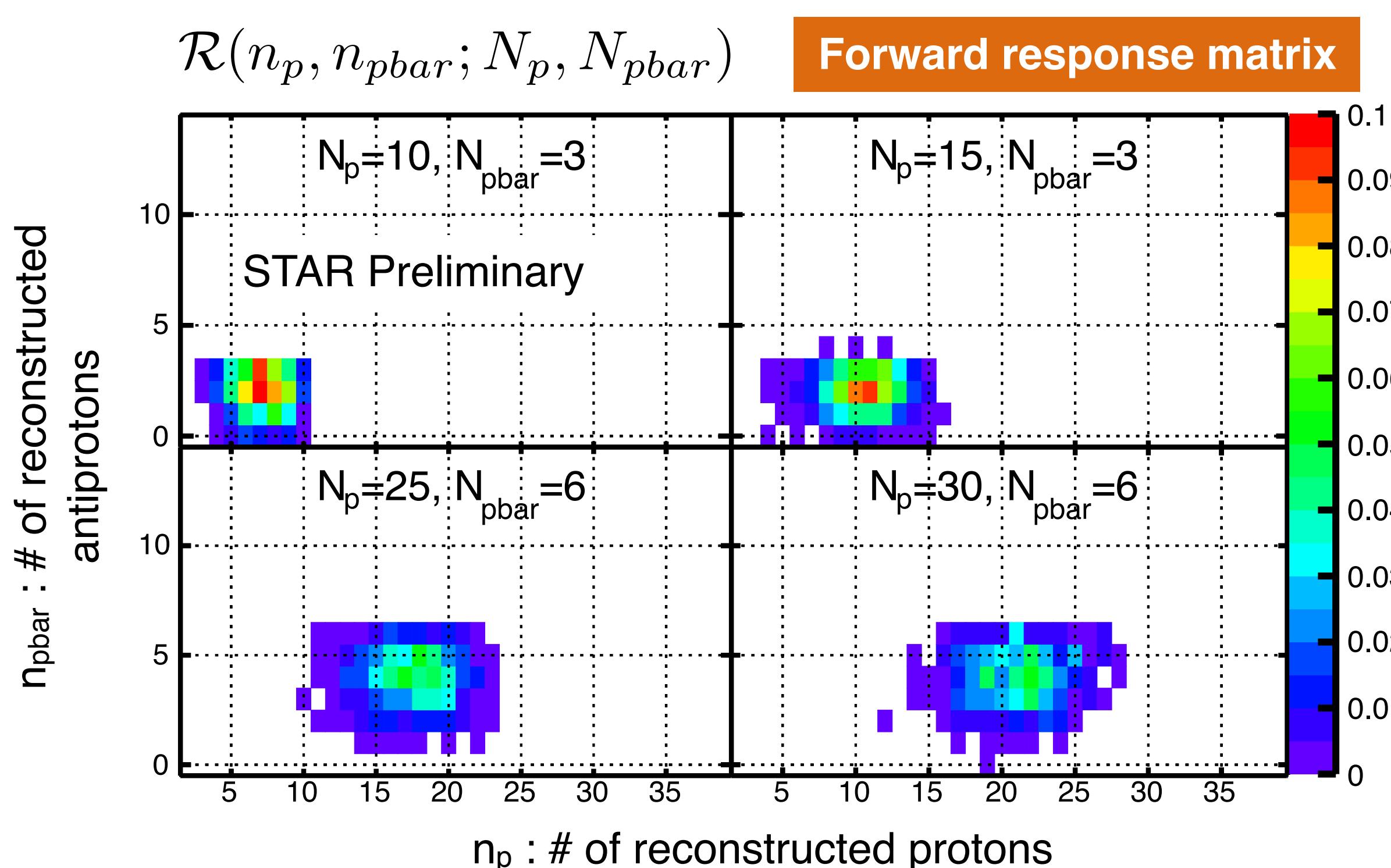
$$N_w = \alpha N_p \quad \varepsilon = N_w / (N_w + N_b)$$

See T. Nonaka, Poster #453



# Response matrices

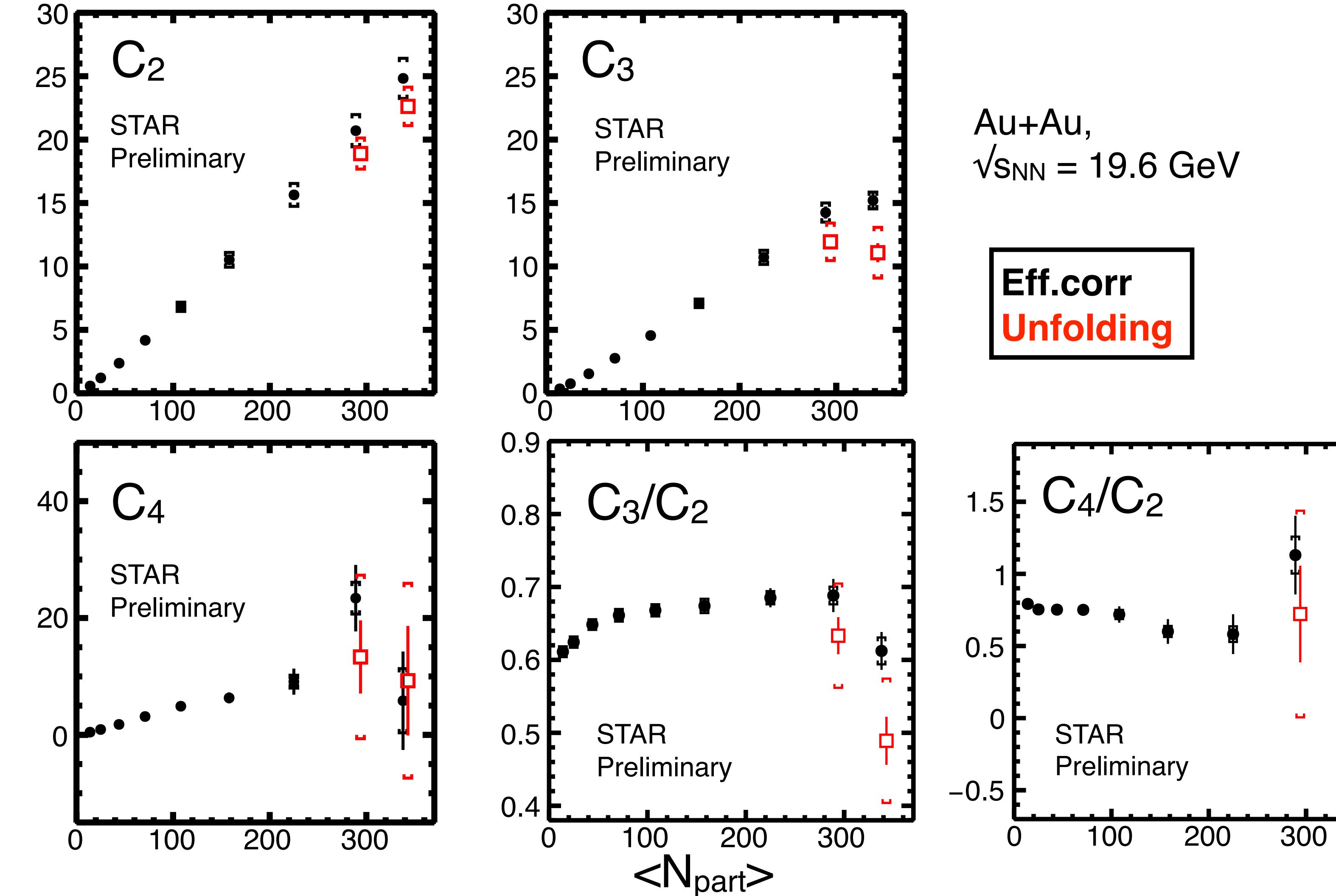
- ✓ The deviation from binomial would depend on the # of embedded protons and antiprotons.
- ✓ 4-D response matrices are determined by embedding simulation, which can be directly used for unfolding in order to reconstruct the distribution itself.



$\sqrt{s_{NN}} = 19.6 \text{ GeV}, 0\text{-}5\% \text{ centrality}, |y| < 0.5,$   
 $0.4 < p_T < 2.0, \text{ embedding simulation}$

See T. Nonaka, Poster #453

# Results of unfolding



- ✓ For unfolding, 2.5% centrality width averaging has been done.
- ✓ Systematic suppression is observed for  $C_2$  and  $C_3$  with respect to the results of efficiency correction assuming binomial efficiencies.
- ✓  $C_4$ ,  $C_3/C_2$  and  $C_4/C_2$  are consistent within large systematic uncertainties limited by embedding samples.

See T. Nonaka, Poster #453

1. Net- $\Lambda$  cumulants up to 3rd-order
  - Consistent with Poisson/NBD baselines.
  - The result of  $C_2/C_1$  is closer to those of HRG with kaon freeze-out condition rather than light flavor hadrons.
2. Second-order off-diagonal cumulants
  - Q-k and Q-p correlations are in excess of the UrQMD results.
3. Sixth-order cumulant of net-charge and net-proton
  - Negative value is observed (although with extremely large uncertainties) in consistency with expectations from O(4) scaling functions.
4. Influence of non-binomial efficiencies
  - One example of the response matrix is tested by embedding simulation, which is closer to beta-binomial than binomial.
  - Unfolding has been applied at  $\sqrt{s_{NN}} = 19.6$  GeV in central collisions, where results show systematic suppression for  $C_2$  and  $C_3$  compared to the efficiency correction assuming binomial efficiencies, while  $C_4$ ,  $C_3/C_2$  and  $C_4/C_2$  are consistent within large systematic uncertainties limited by embedding samples.

Thank you for your attention

# Back up

# Centrality definition

✓ In order to avoid the auto-correlation, the centrality is defined by using different particle species or acceptance.

- Net-proton
- Net- $\Lambda$

Charged particles excluding  
(anti)protons in  $|\eta| < 1.0$

See : Phys. Rev. Lett. 112, 032302(2014)

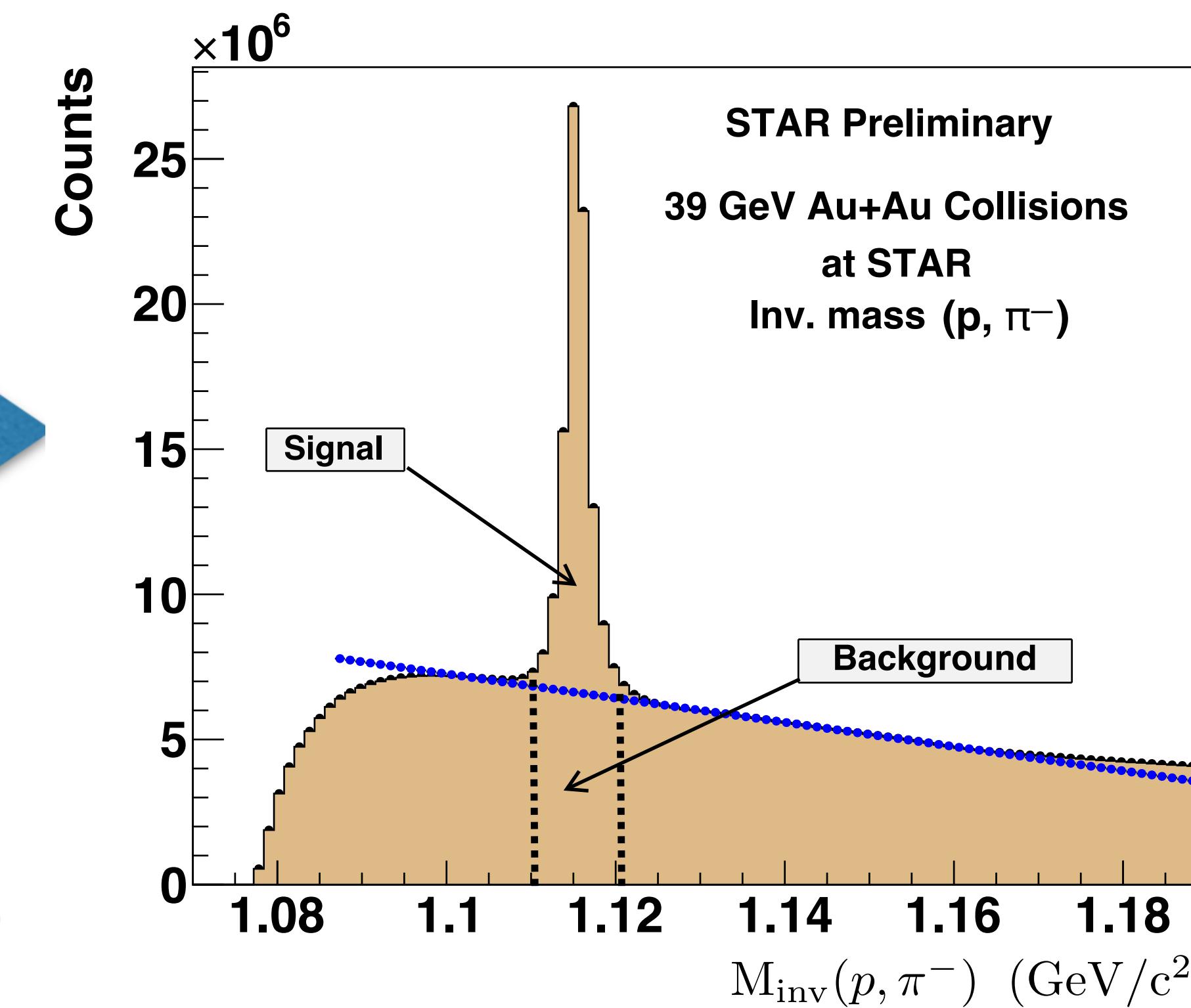
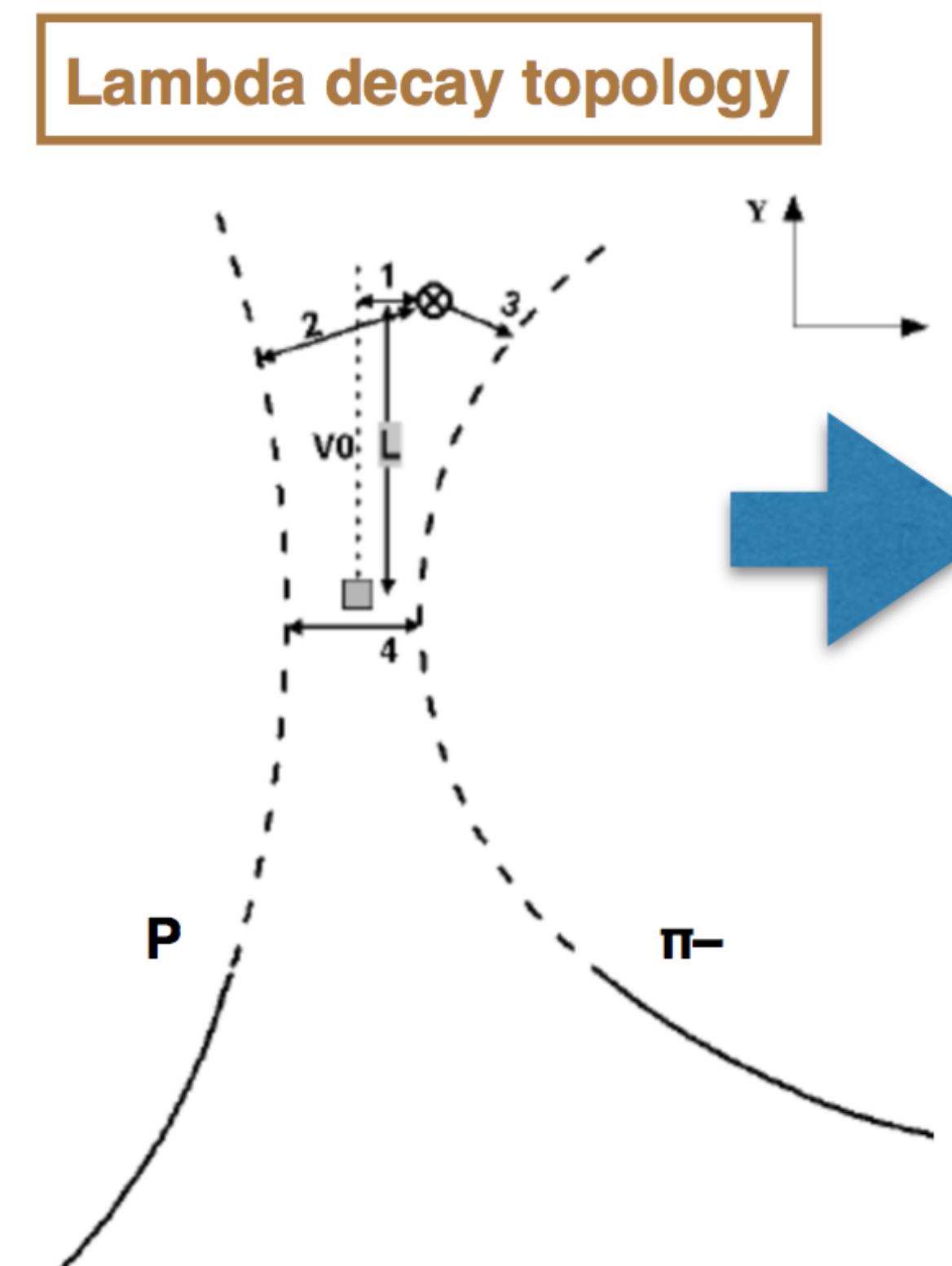
- Net-charge
- Off-diagonal ( $\pi$ ,  $K$ ,  $p$ )

Charged particles excluding  
(anti)protons in  $|\eta| > 0.5$

See : Phys. Rev. Lett. 113, 092301(2014)

# Lambda reconstruction

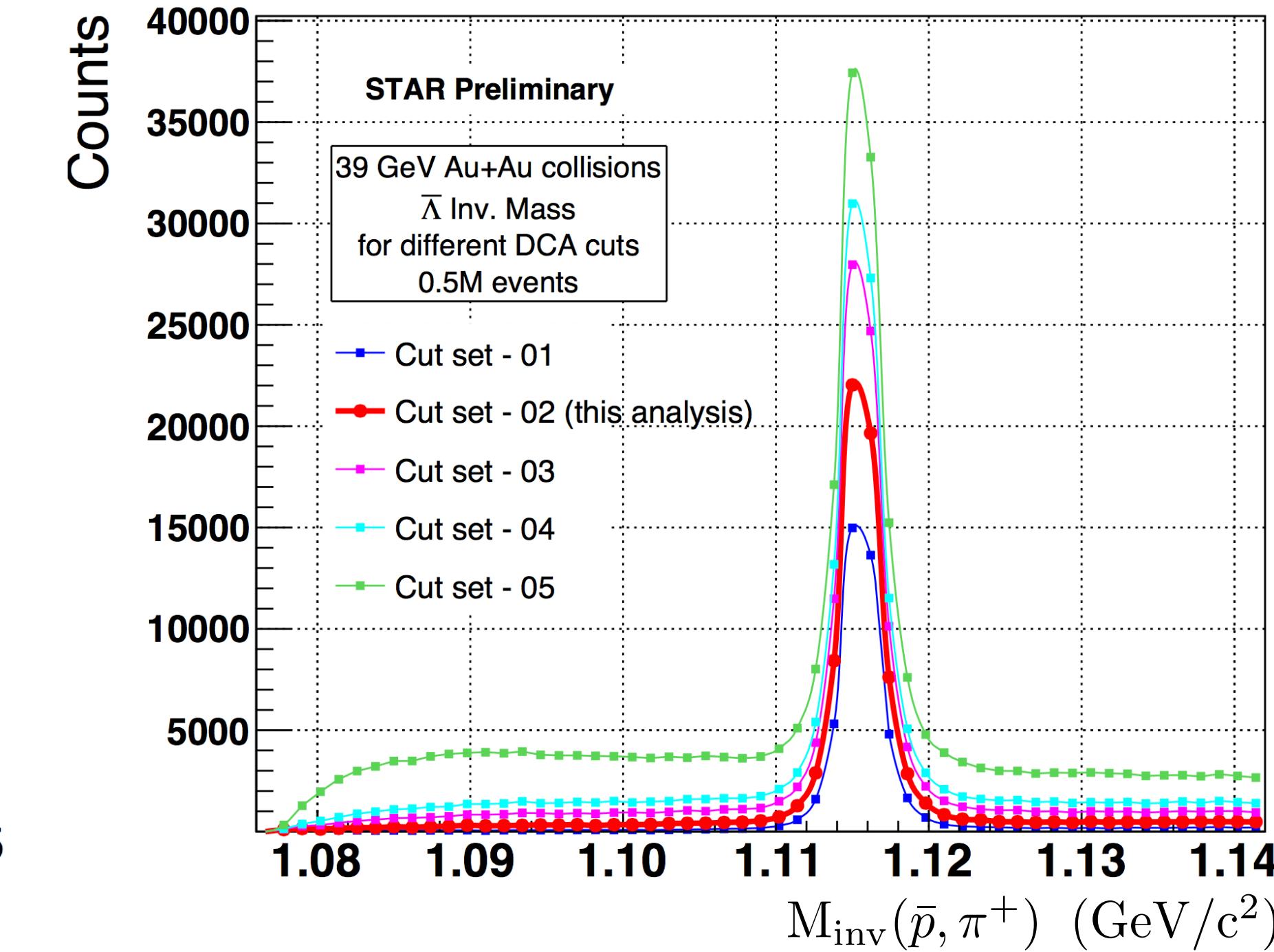
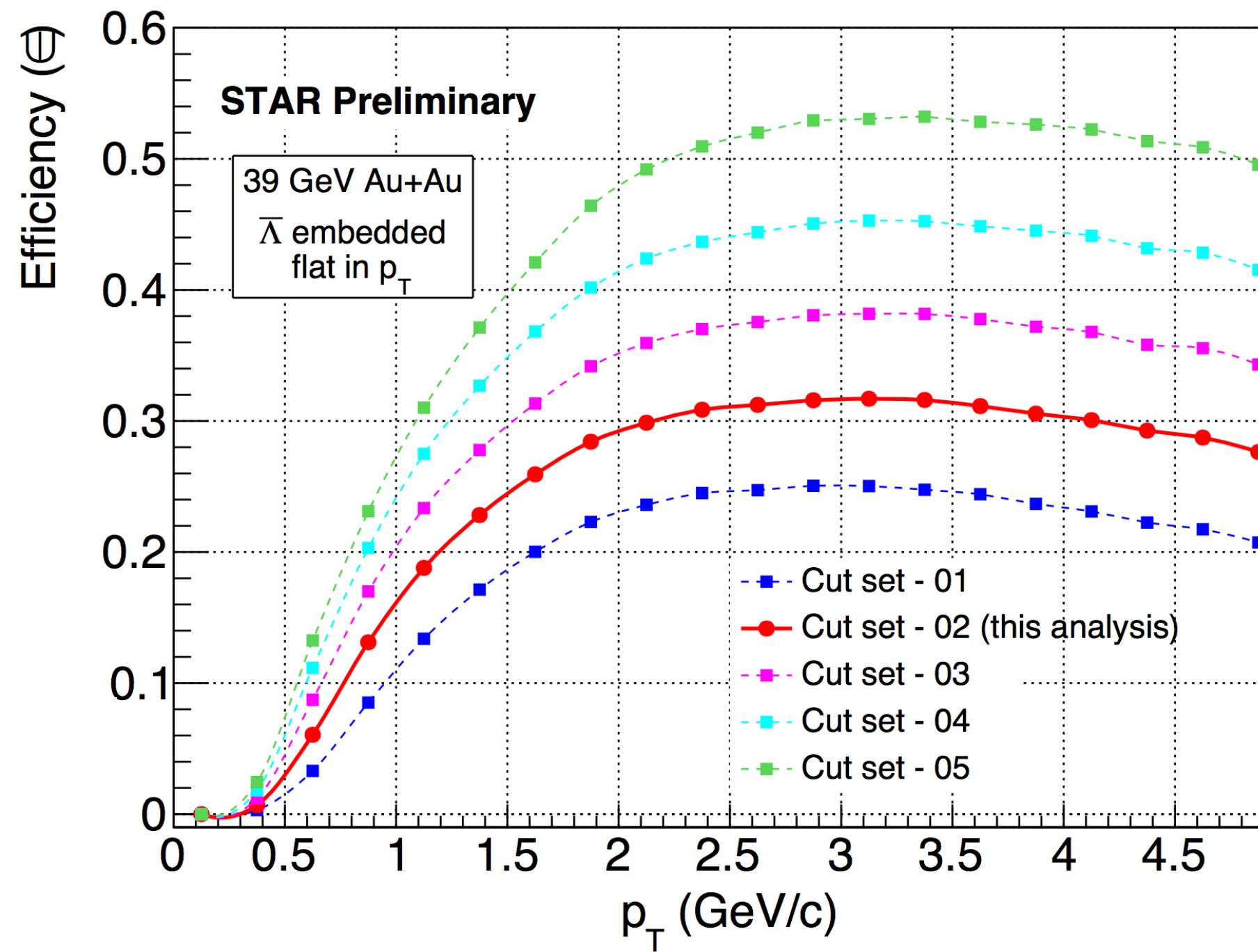
✓ Lambda is reconstructed event-by-event based on the decay topology.



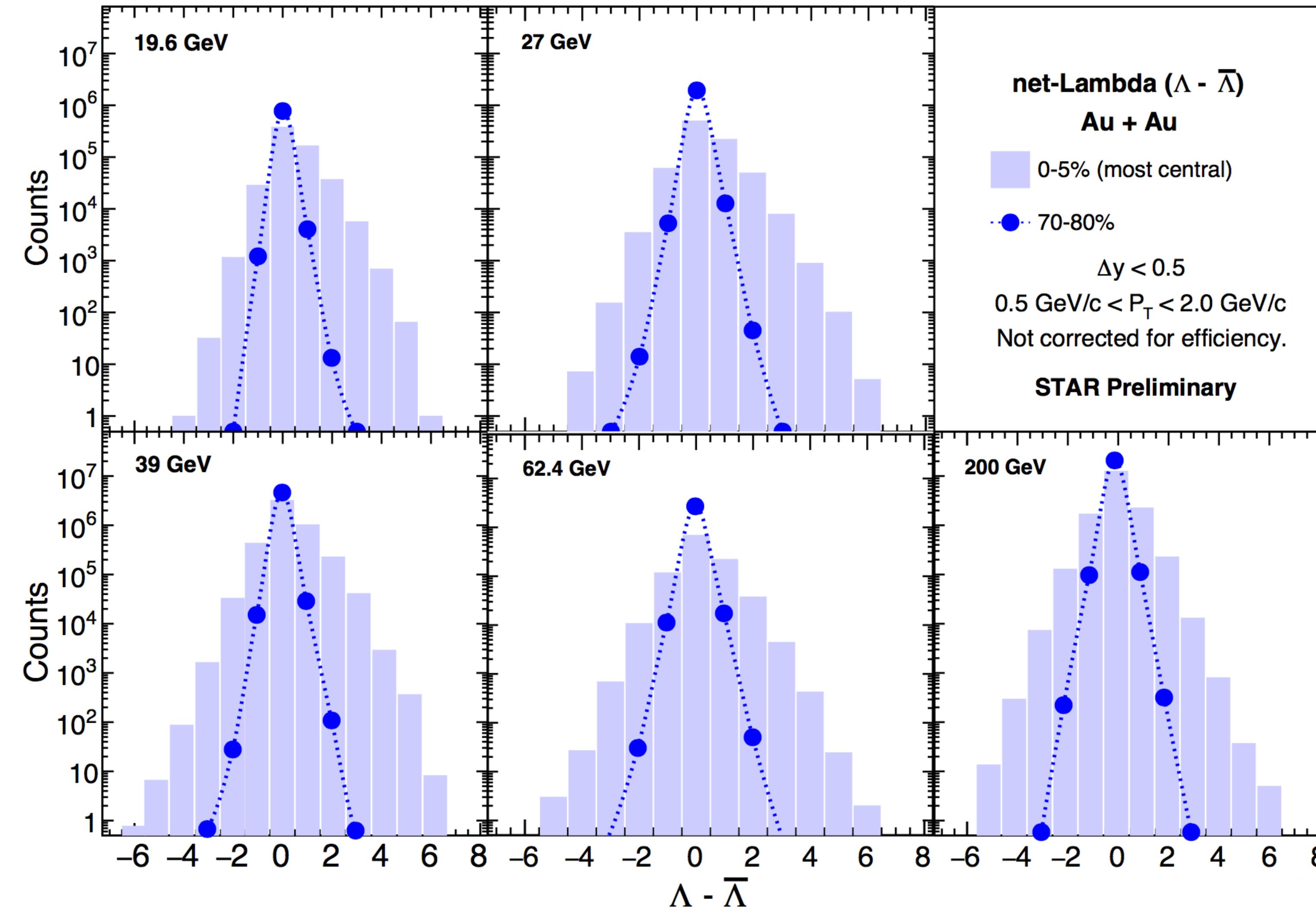
# Lambda reconstruction

✓ The geometrical cuts were varied in order to obtain high pure (>90%) sample.

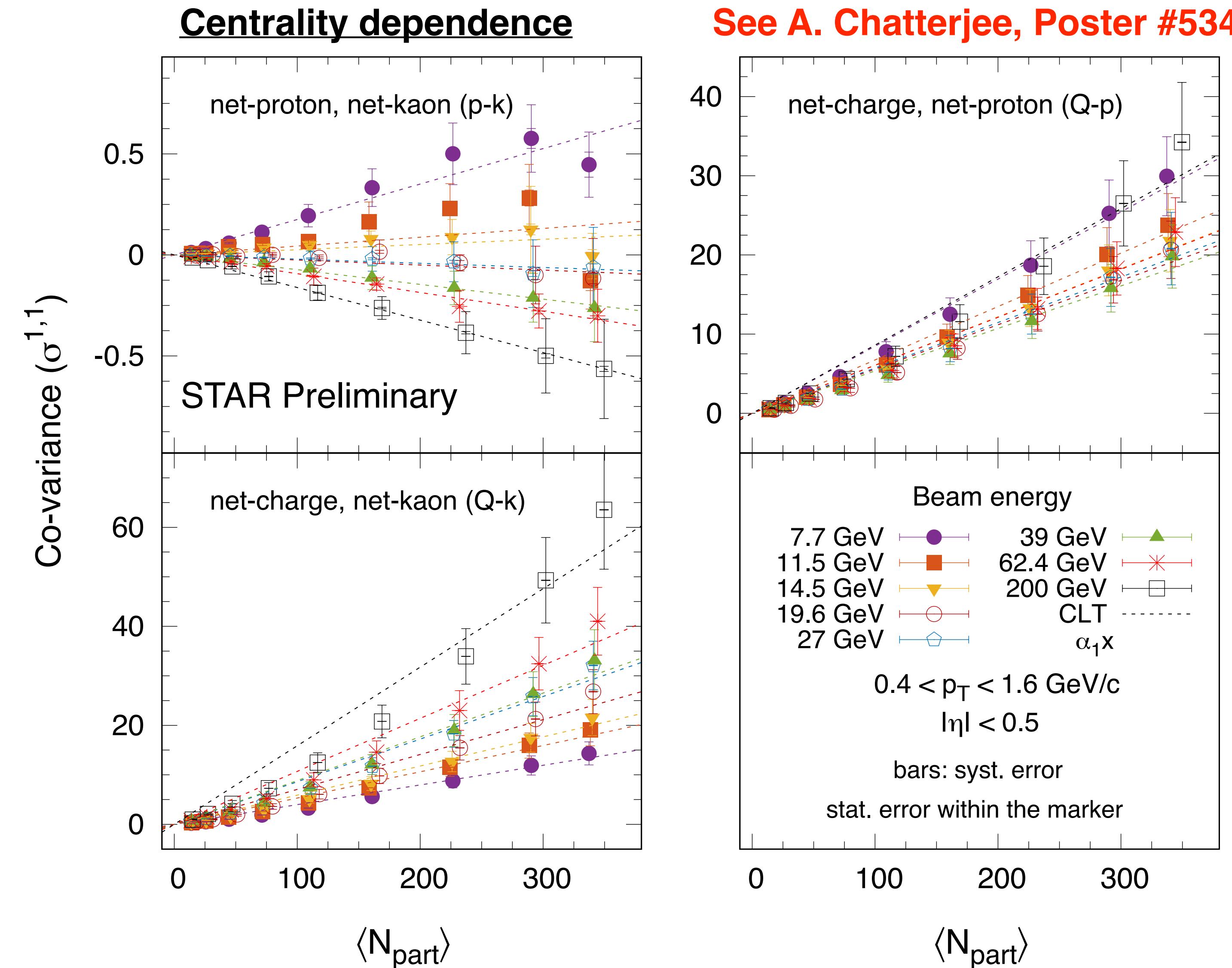
	Cut Set #1	Cut Set #2	Cut Set #3	Cut Set #4	Cut Set #5
DCA of V0 to PV	< 0.35	< 0.5	< 0.65	< 0.8	< 0.95
DCA of P to PV	> 0.6	> 0.5	> 0.4	> 0.3	> 0.2
DCA of pi- to PV	> 1.75	> 1.5	> 1.25	> 1.0	> 0.75
DCA of P to pi-	< 0.5	< 0.6	< 0.7	< 0.8	< 0.9
Background	3196	9819	22908	34184	82161
Signal	108654	157856	196537	213468	253431
Sig/Background	33.9969	16.0766	8.5794	6.2448	3.0845
Purity%	97.1426%	94.144%	89.5609%	86.1968%	75.5176%



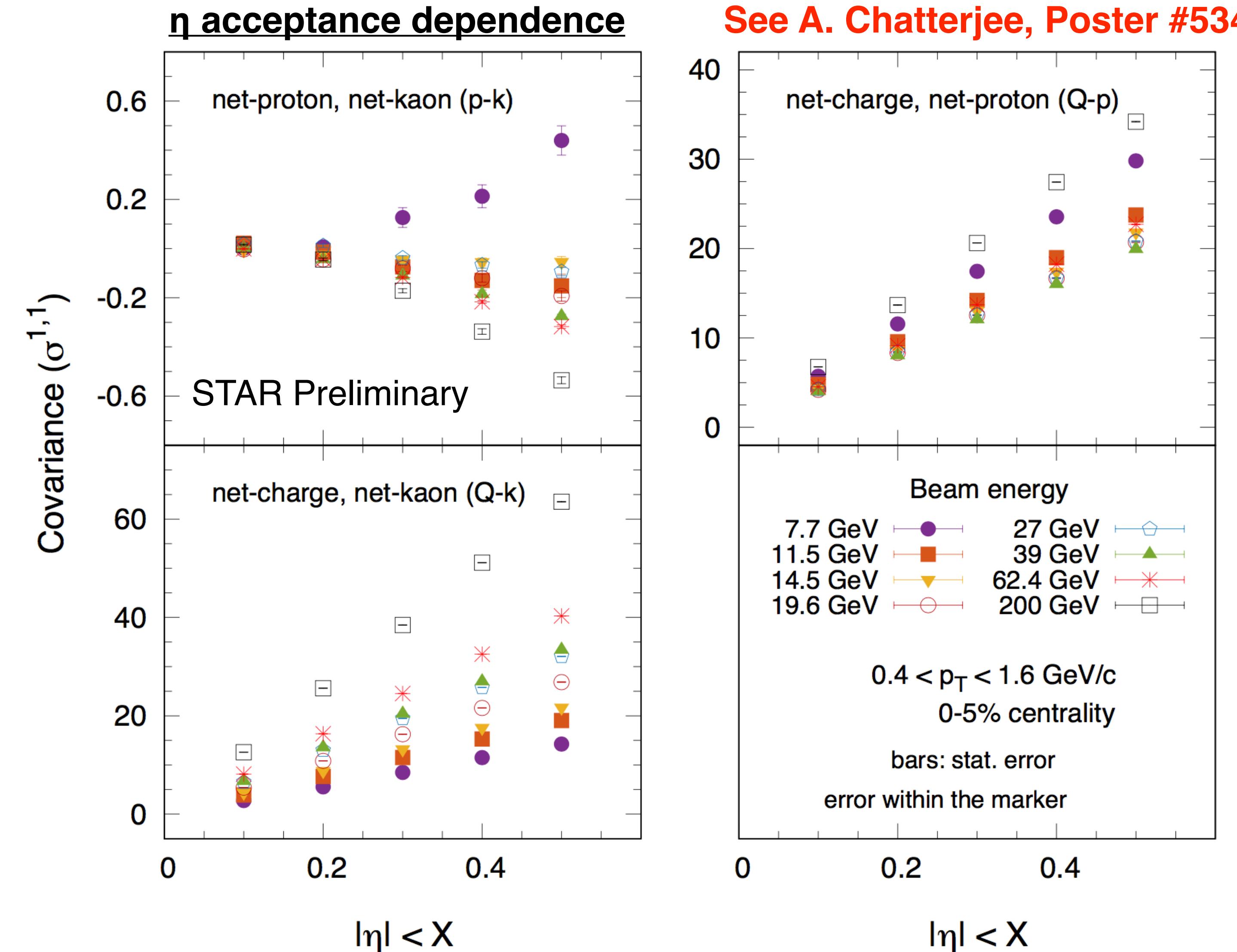
# Net-lambda distribution



# 2nd-order off-diagonal cumulants

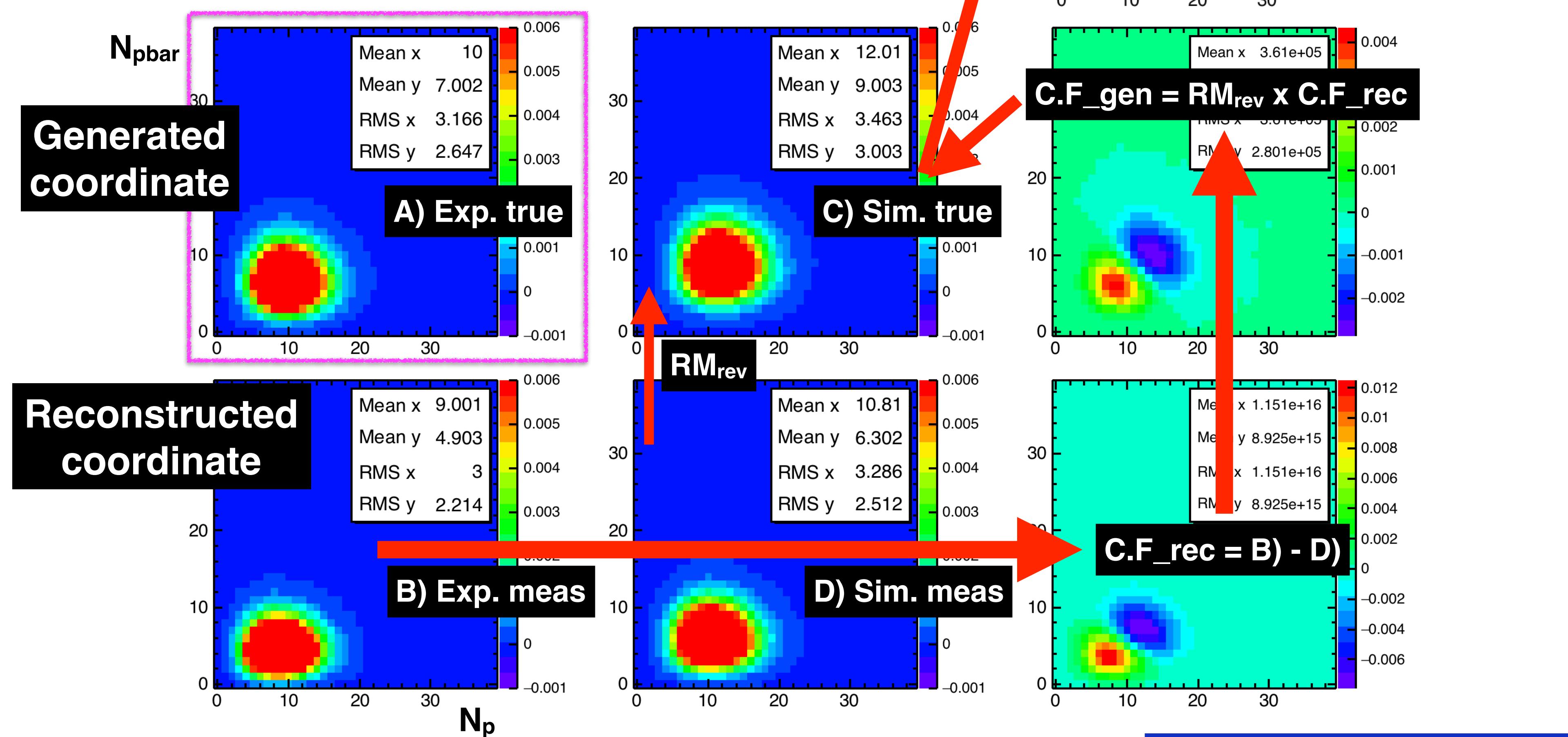


# 2nd-order off-diagonal cumulants



# Unfolding methodology

✓ Difference between exp.meas and sim.meas is applied to sim.true to get the corrected distribution, which is repeated until cumulants converge.

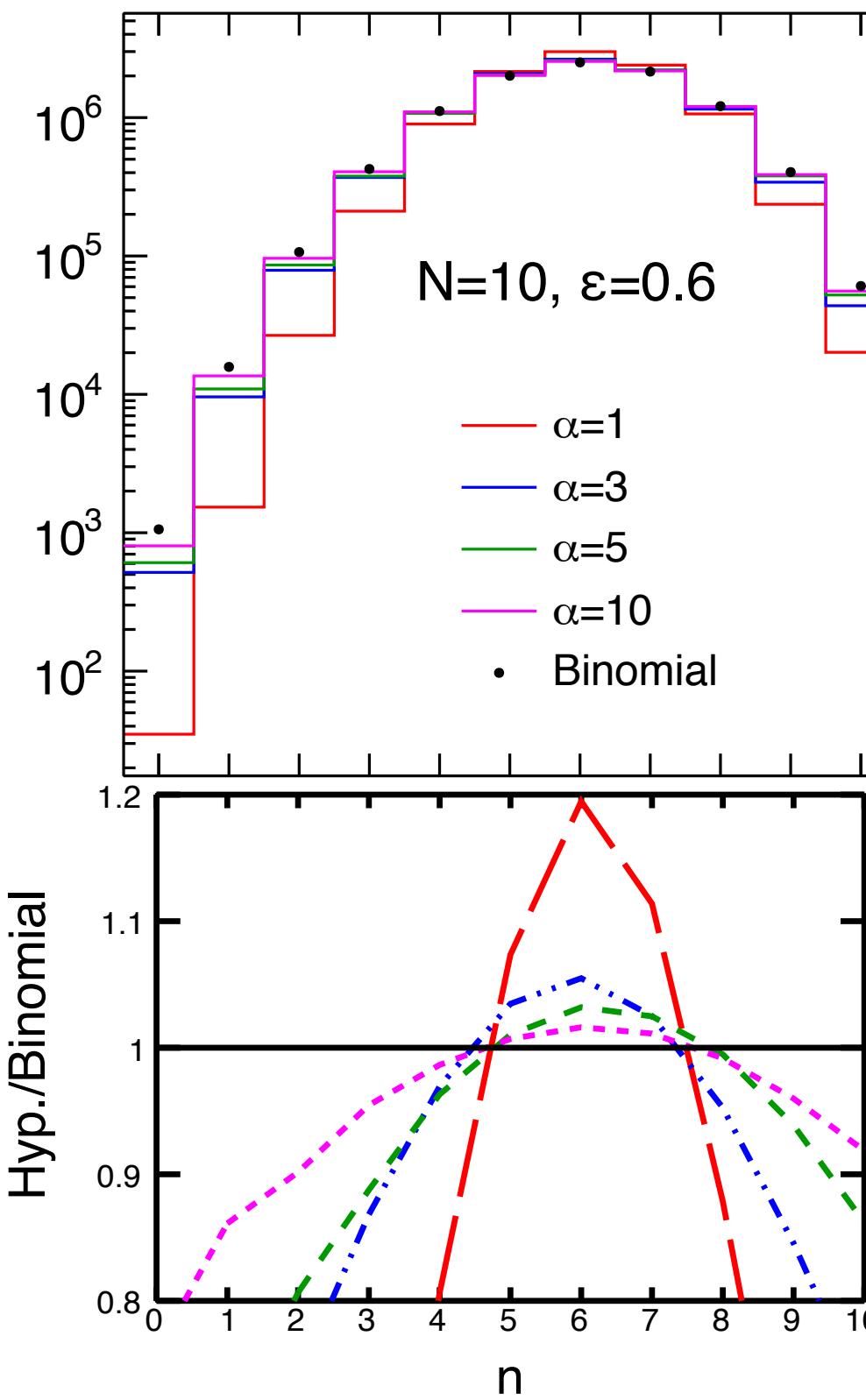


# Test with non-binomial distributions

- ✓ The beta-binomial and hypergeometric distributions can be defined by the urn model, in which the parameter  $\alpha$  controls the deviation from the binomial distribution.

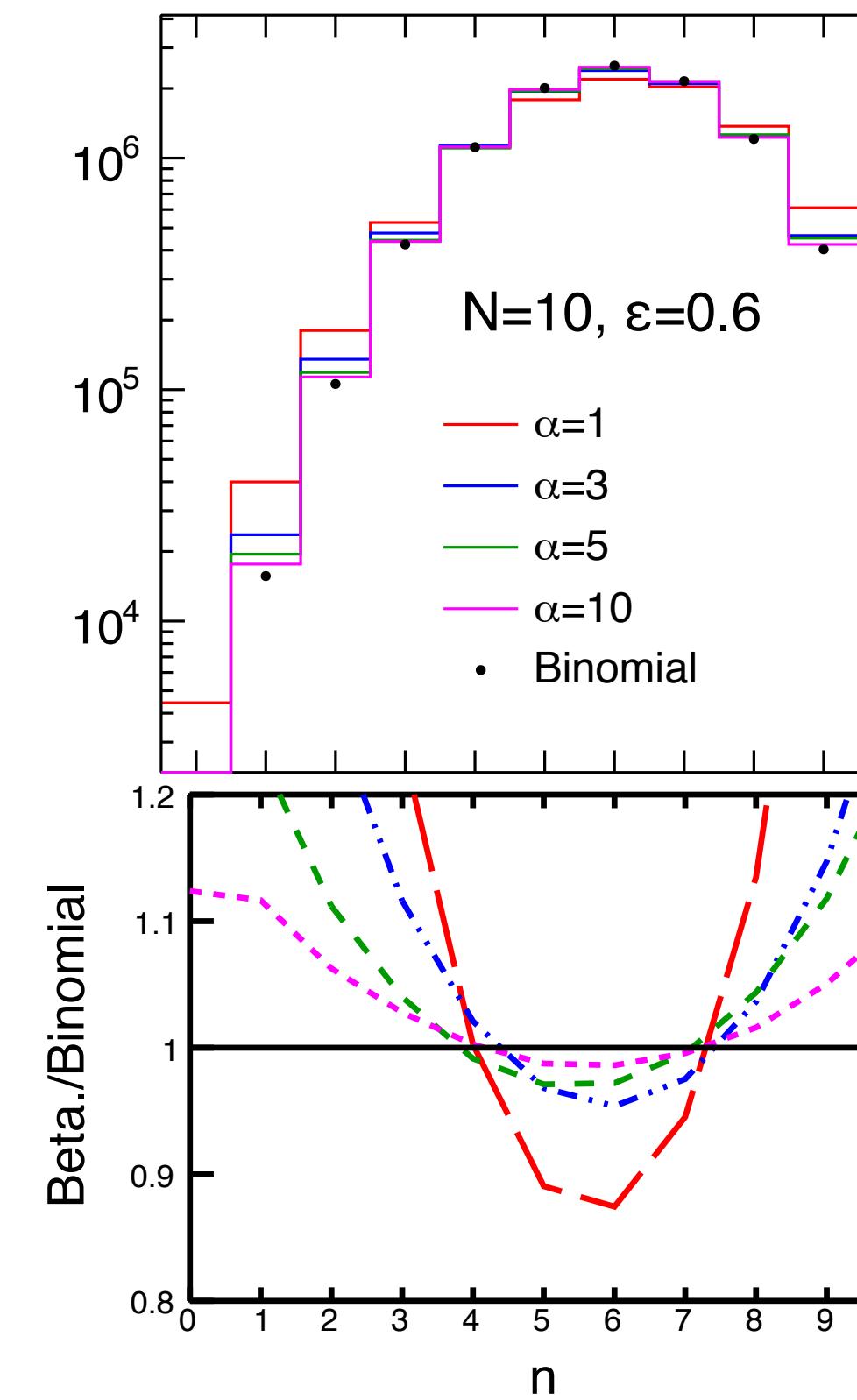
## Hypergeometric distribution

Draw a ball from urn, if it is white, count particle. This is repeated **without replacement**.



## Beta-binomial distribution

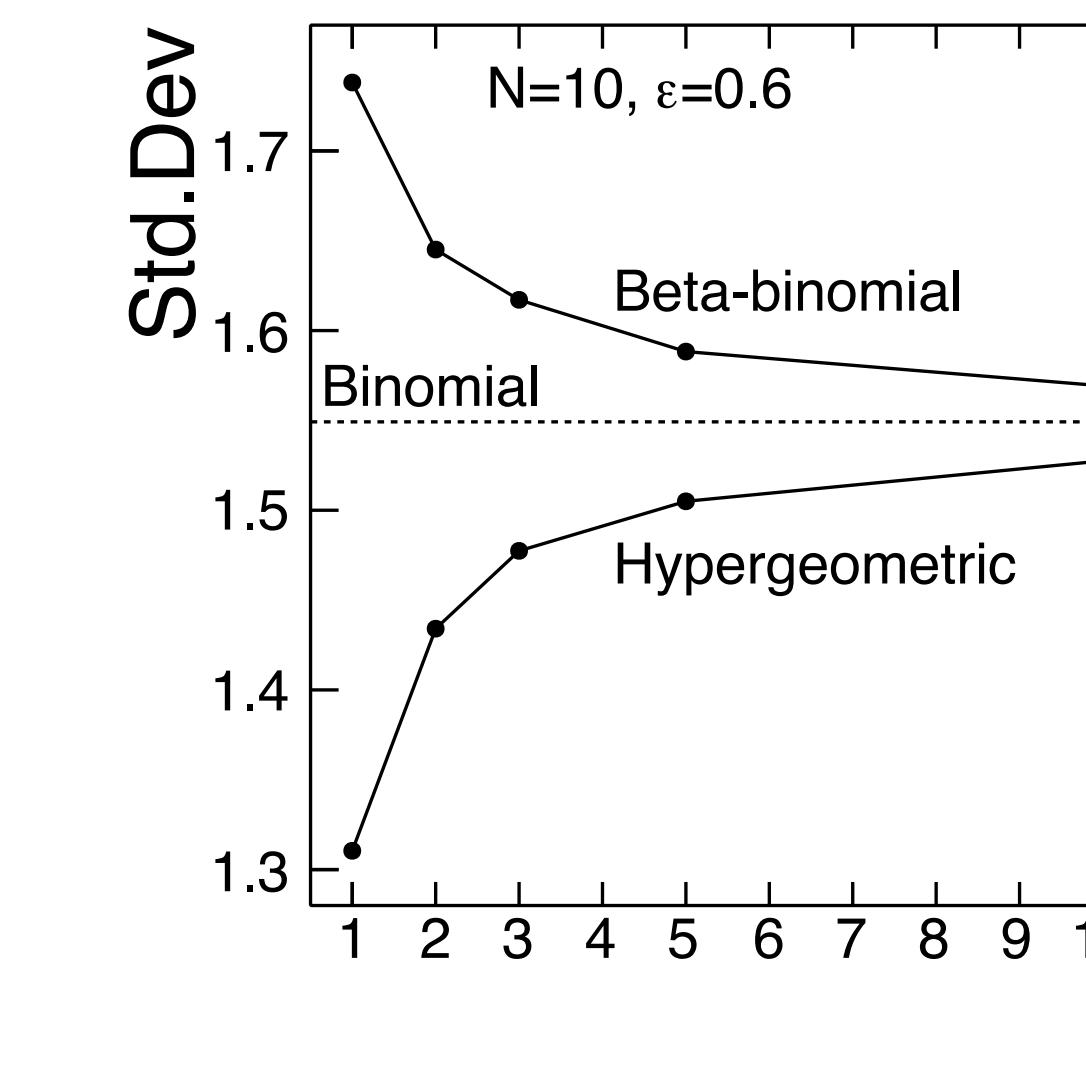
Draw a ball from urn, if it is white, count particle. And **return two white balls to urn** (similar for black balls).



$N_w$  : white balls,  $N_b$ : black balls,  $\varepsilon$ : efficiency

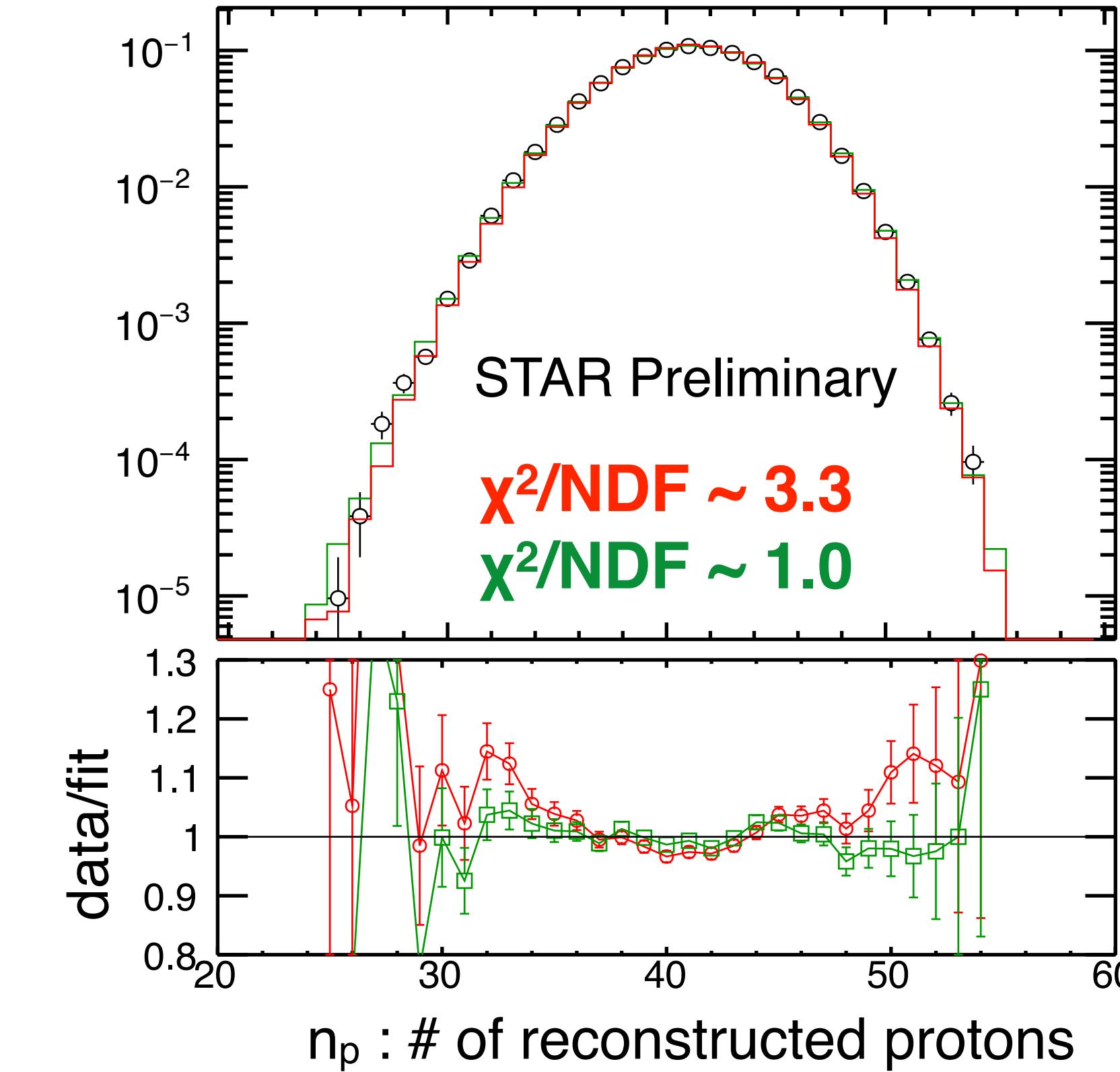
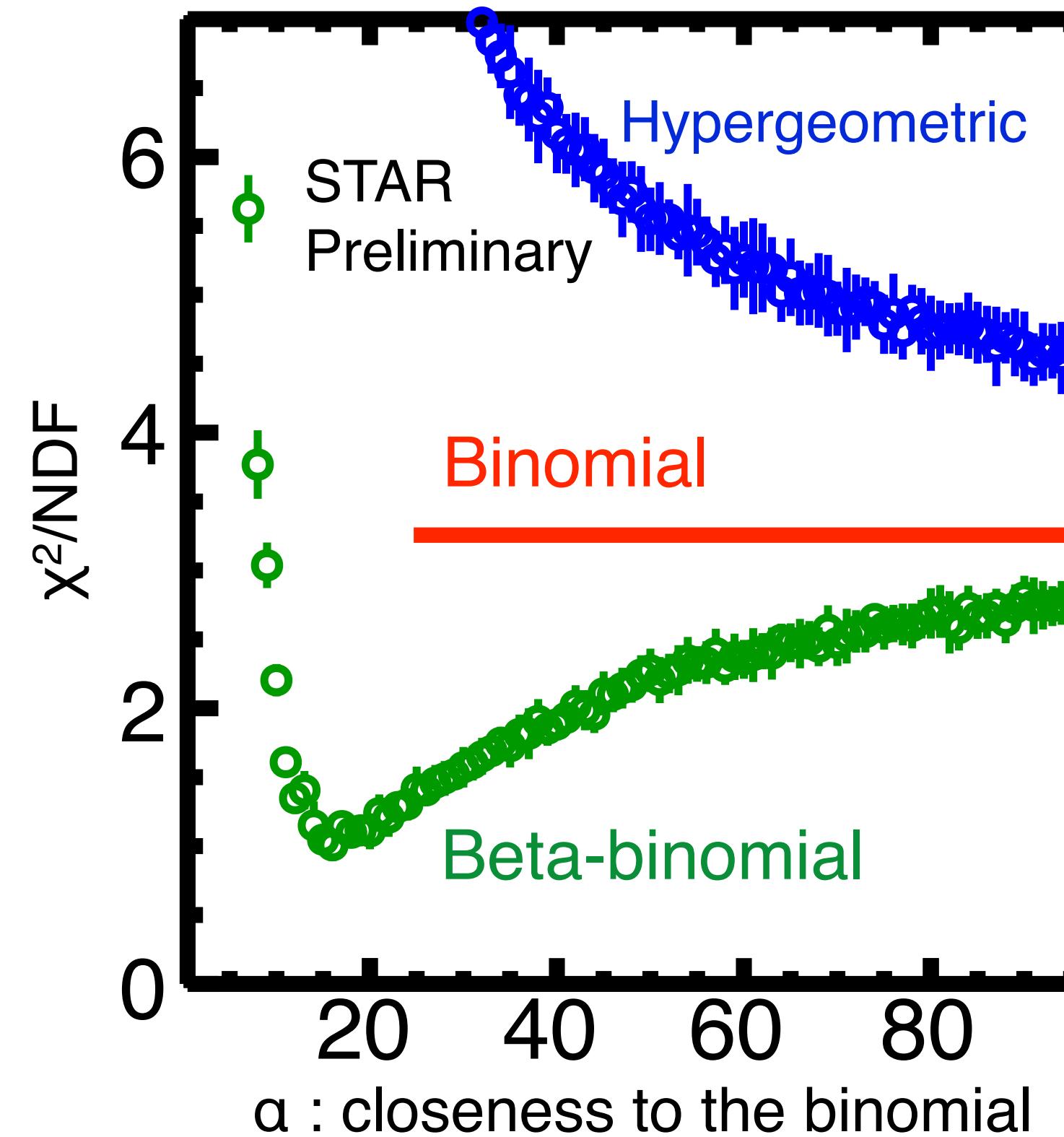
$$N_w = \alpha N \quad \varepsilon = N_w / (N_w + N_b)$$

- ✓ Smaller  $\alpha$  for **Hypergeometric distribution**, becomes **narrower** than binomial distribution.
- ✓ Smaller  $\alpha$  for **Beta-binomial distribution**, becomes **wider** than binomial distribution.
- ✓ Both non-binomial distributions become close to the binomial with large  $\alpha$ .



# Response matrix from embedding simulation

- ✓ Embed 60 protons and 15 antiprotons into the real data (which would be the extreme number), and see whether those particles can be reconstructed or not.
- ✓ The response matrix is wider than the binomial, and it is close to the beta-binomial distribution.
- ✓ More details can be found in the poster #453.



# Test with non-binomial distributions

- ✓ The beta-binomial distribution is wider than binomial, which can be defined by the urn model.
- ✓ The parameter  $\alpha$  controls the deviation from the binomial distribution.

$N_w$  : white balls,  $N_b$ : black balls,  $\varepsilon$ : efficiency

$$N_w = \alpha N \quad \varepsilon = N_w / (N_w + N_b)$$

