# Reconstruction of D<sup>0</sup> meson in d+Au collisions at $\sqrt{s_{ m NN}} = 200 \,\,{ m GeV}$ by the STAR experiment

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Abstract. Owing to their large masses, charm quarks are predominantly produced through initial hard scatterings in heavy-ion collisions. Therefore, they can serve as penetrating probes to study the intrinsic properties of the hot medium created in heavy-ion collisions. However, Cold Nuclear Matter effects can also affect the charm quark production in nuclear collisions with respect to p+p collisions. These effects can be measured in small collision systems such as d/p+Au.

In these proceedings,  $D^0$  meson reconstruction in d+Au collisions at  $\sqrt{s_{\rm NN}} =$ 200 GeV at the STAR experiment is described. Thanks to the excellent impact parameter resolution provided by the Heavy Flavor Tracker detector,  $D^0(\overline{D^0})$ mesons are topologically reconstructed from their hadronic decay channel  $D^0(\overline{D^0}) \rightarrow$  $K^-\pi^+(K^+\pi^-)$ . The Boosted Decision Trees machine learning algorithm from the TMVA package is applied in order to improve signal/background separation.

# 22 1. Introduction

In ultrarelativistic collisions of heavy ions, hot and dense nuclear matter, quark-23 gluon plasma (QGP), could be created [1]. Since heavy-flavor (charm and beauty) 24 quarks are produced in hard scatterings at the early stage of such collisions [2], 25 they experience the entire evolution of the system including the QGP phase. At 26 Relativistic Heavy Ion Collider (RHIC), strong suppression of open charm mesons 27 at high transverse momentum  $(p_{\rm T})$  in the 0–10% most central gold-gold (Au+Au) 28 collisions was measured [3], indicating substantial energy loss of charm quarks in the hot 29 medium. In addition, it was measured that charm quarks exhibit collective behavior [4], 30 that reflects the degree of thermalization of charm quarks in the medium and carries 31 information about the transport properties of the QGP. 32

However, for more detailed study of the QGP effects on produced particles, quantitative understanding of the effects of the heavy nuclei in the initial stages of collisions is needed. These so-called Cold Nuclear Matter effects includes mainly <sup>36</sup> modification of parton distribution functions of nucleons in colliding nuclei [5, 6], <sup>37</sup> multiple scatterings of the partons by the dense target and parton scatterings in the

<sup>38</sup> nucleus, resulting in their energy loss and to the broadening of the transverse momentum

 $_{39}$  (Cronin effect) [7, 8].

CNM effects are investigated in the asymmetric collisions of protons or deuterons 40 with nuclei. At Large Hadron Collider (LHC) in CERN, CNM effects on D<sup>0</sup> production 41 were studied in proton-lead (p+Pb) collisions. ALICE experiment measured, that 42  $D^0$  production in such events is not significantly modified compared to proton-proton 43 collisions [9]. However, CMS collaboration measured significant collective behavior 44 (large elliptic flow  $v_2$ ) of D<sup>0</sup> mesons in p+Pb collisions at  $\sqrt{s_{\rm NN}} = 8.16$  TeV [10]. 45 At RHIC, CNM effects are accessible via proton-gold (p+Au) and deuteron-gold 46 (d+Au) collisions. Energy density in such collisions is expected to be too low to 47 create thermalized medium, nevertheless the dense nuclear environment alters colliding 48 nucleons. 49

Reconstruction of open charm D<sup>0</sup> mesons described in these proceedings was done in 50 data from d+Au collisions at  $\sqrt{s_{\rm NN}} = 200$  GeV. These were measured by the Solenoidal 51 Tracker at RHIC (STAR), situated in Brookhaven National Laboratory (BNL) in the 52 USA. Topological reconstruction of the hadronic decay of  $D^0$  meson to  $K^-$  and  $\pi^+$  with 53 branching ratio  $3.89 \pm 0.04 \%$  [11] is used. Shown results are for combined D<sup>0</sup> and  $\overline{D^0}$ 54 mesons, thus both unlike-sign combinations of pion and kaon ( $K^-\pi^+$  and  $K^+\pi^-$ ) are 55 considered to be correct charge combinations. Furthermore, the Boosted Decision Trees 56 (BDT) machine learning algorithm from the Toolkit for Multivariate Data Analysis 57 (TMVA) package [12] is applied in order to improve separation of signal and background 58  $K\pi$  pairs. 59

## <sup>60</sup> 2. Experimental setup - STAR detector

<sup>61</sup> STAR consists of multiple subdetectors, that are able to track and identify charged <sup>62</sup> particles down to very low  $p_{\rm T}$  at mid-rapidity ( $|\eta| < 1$ ) with the full azimuthal coverage. <sup>63</sup> STAR's main tracking sub-system is the Time Projection Chamber (TPC) [13], a gaseous <sup>64</sup> detector that identifies particles via specific energy loss in it and determines momentum <sup>65</sup> from the curvature of their trajectories in the 0.5 Tesla solenoidal field.

<sup>66</sup> Another detector, that was developed to improve the particle identification <sup>67</sup> capability for tracks with momenta between 0.6 and 3 GeV/c, is the Time of Flight <sup>68</sup> (TOF) [14]. It measures the velocity of a particle,  $\beta$ , by measuring the time interval <sup>69</sup> that the particle needs to reach the TOF from the point of the collision. Time of <sup>70</sup> a collision is detected with fast Vertex Position Detectors (VPD) [15], that detects <sup>71</sup> particles produced in forward directions.

For the analysis presented in these proceedings, the Heavy Flavor Tracker (HFT) [16] detector has a great importance. It is the high-precision silicon vertex detector installed at the center of the STAR for data taking in years 2014–2016. It greatly improves the track pointing resolution and enables the topological reconstruction <sup>76</sup> of the secondary vertices of open charm hadron decays through hadronic channels. It

<sup>77</sup> consists of three silicon detectors - the PIXEL made of two layers of Monolithic Active
<sup>78</sup> Pixel Sensors, Intermediate Silicon Tracker (IST) and Silicon Strip Detector (SSD). The

<sup>78</sup> Pixel Sensors, Intermediate Silicon Tracker (IST) and Silicon Strip Detector (SSD). The <sup>79</sup> HFT achieves excellent distance of the closest approach (DCA) resolution, e.g. 30 μm

for kaons at transverse momentum  $p_{\rm T} = 1.5 \text{ GeV}/c$  [16].

## <sup>81</sup> 3. Event and track selection

In 2016, approximately 350 million of d+Au minimum bias collisions at  $\sqrt{s_{\rm NN}}$  = 82 Only events that are well reconstructed in the HFT 200 GeV were recorded. 83 geometric acceptance are accepted for this analysis. This is assured by requiring 84 that the reconstructed position of the primary vertex in the beam direction from the 85 center of detector  $(V_z)$  is less than 6 cm. To reduce pile-up from multiple events, 86 events with correlated primary vertices reconstructed by the TPC and by the VPD 87  $(|V_{z,\text{TPC}} - V_{z,\text{VPD}}| < 6 \text{ cm})$  are further analyzed. 88

For this analysis, reconstructed tracks with pseudorapidity  $|\eta| < 1$  and  $p_{\rm T} >$ 0.15 GeV/c are used. They are also required to have at least 15 measured points in the TPC out of maximum 45, hits in both layers of PIXEL detector and at least one hit in IST or SSD layers of the HFT. Particles are identified using the specific energy loss dE/dx in the TPC. Deviation of the measured energy loss  $dE/dx|_{\rm meas}$  from the expected  $dE/dx|_{\rm exp}$  is calculated for each track as

$$n\sigma = \frac{1}{R} \ln \frac{\mathrm{d}E/\mathrm{d}x|_{\mathrm{meas}}}{\mathrm{d}E/\mathrm{d}x|_{\mathrm{exp}}},\tag{1}$$

where R is the  $\ln (dE/dx)$  resolution of the TPC. Pions are selected with the condition  $|n\sigma| < 3$  and kaons  $|n\sigma| < 2$ . Furthermore, if a track has a matched hit in the TOF, its measured velocity  $\beta_{\text{meas}}$  is compared to the expected  $\beta_{\text{exp}}$  and the track is required to fulfill  $|1/\beta_{\text{meas}} - 1/\beta_{\text{exp}}| < 0.03$  in order to be used in the analysis.

### <sup>100</sup> 4. Topological reconstruction of open charm mesons

STAR equipped with the HFT is able to track charged particles with great precision and 101 thanks to this, topological properties of  $D^0$  meson decay are used in its reconstruction. 102 In the analysis, firstly all pions and kaons are combined into pairs. Then, properties 103 of this pairs are studied in order to study whether they come from  $D^0$  meson decay. 104 Figure 1 shows schematic decay of  $D^0$  meson together with topological variables.  $D^0$ 105 is created in the place of collision, primary vertex, and decays in the secondary vertex 106 into the pair of daughter particles (kaon and pion). Position of the secondary vertex 107 is calculated as the point of the closest approach of these daughter tracks. Topological 108 variables used in this analysis are: 109

- DCA between reconstructed daughter particles  $(DCA_{12})$ ,
- decay length of D<sup>0</sup> meson candidate, calculated as distance between primary and secondary vertex,



Figure 1. Schematic representation of  $D^0$  meson decay and its topological variables: distances of the closest approach of kaon and pion to primary vertex (DCA<sub>K</sub> and DCA<sub> $\pi$ </sub>) and between them (DCA<sub>12</sub>),  $D^0$  meson DCA to primary vertex (DCA<sub>D0</sub>) and pointing angle  $\theta$  between reconstructed  $D^0$  momentum ( $\vec{P}$ ) and decay length vector.

- kaon and pion DCA to the primary vertex (DCA<sub>K</sub> and DCA<sub> $\pi$ </sub>),
- $D^0$  meson DCA to the primary vertex (DCA<sub>D0</sub>),
- pointing angle  $\theta$  between reconstructed D<sup>0</sup> momentum and decay length vector,
- angle between reconstructed  $D^0$  momentum and kaon momentum.

# <sup>117</sup> 5. Machine learning algorithm training

Topological properties of the pairs are used in the Boosted Decision Trees algorithm 118 to isolate  $D^0$  candidates in data. In this machine learning algorithm classifiers are not 119 individual variables, but a set of binary structured decision trees constructed in the 120 training phase of the algorithm. In the algorithm application phase, every pair is tested 121 by the set of trees in order to classify it as signal or background. The decision of trees 122 is then projected to the individual number - BDT response, that have values from -1 123 (background-like) to 1 (signal-like). In the presented analysis, BDT is trained separately 124 in three pair (D<sup>0</sup>)  $p_{\rm T}$  intervals: 1–2, 2–3, 3–5 GeV/c. 125

For the algorithm training, the samples of signal and background pairs are needed as the input. Signal sample are D<sup>0</sup> decays generated with PYTHIA. Momenta and DCA of daughter particles from these decays are smeared in accordance with the detector response. Background sample for training are wrong(like)-sign pairs of kaons and pions (K<sup>-</sup> $\pi^{-}$  and K<sup>+</sup> $\pi^{+}$ ) from recorded data. In the training part of the algorithm, input pairs are divided to training and test samples. After the algorithm is trained and decisions trees are constructed, BDT response is calculated for all training and test pairs. Its



Figure 2. Boosted Decision Trees response distributions for signal and background pairs with transverse momentum  $2 < p_{\rm T} < 3 \text{ GeV}/c$ , for both test and training samples.

distribution is shown in Fig. 2. It can be seen that the signal and background are clearly separated. In addition, since the shapes of BDT response for training and test samples are the same, the algorithm is not overtrained.

Signal and background efficiencies ( $\epsilon_S$ ,  $\epsilon_B$  respectively) together with their purities and signal significance  $\Sigma$  after application of different cuts on the BDT response for pairs with  $2 < p_T < 3 \text{ GeV}/c$  are shown in Fig. 3. Signal significance is defined as

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$$\Sigma = \frac{N_{\rm S}\epsilon_S}{\sqrt{N_{\rm S}\epsilon_S + N_{\rm B}\epsilon_B}},\tag{2}$$

where  $N_{\rm S}$  and  $N_{\rm B}$  are estimates of number of signal and background pairs before BDT application.  $N_{\rm S}$  is estimated using D<sup>0</sup> invariant yield measured in p+p collisions [17] and the detector reconstruction efficiency.  $N_{\rm B}$  is evaluated from the number of wrong(like)sign pairs in the data.

# <sup>144</sup> 6. BDT application on data

After the machine learning method is trained, it is applied on both correct(unlike)-145 sign pairs and wrong(like)-sign pairs from the data and BDT response is calculated for 146 every pair. Invariant mass distributions for pairs that fulfill the cut on BDT response 147 are further used to evaluate the significance of signal in data. Background  $(N_{B,data})$  is 148 estimated via wrong-sign pairs and then subtracted from the correct-sign combinations. 149 Resulting invariant mass distributions of correct-sign pairs are fitted by the combination 150 of a Gaussian function for signal and a linear function for the residual background.  $D^0$ 151 raw yield (Y) is extracted using the bin-counting method in the  $\pm 3\sigma$  region around 152



Figure 3. Evaluation of BDT response cuts performance for pairs with transverse momentum  $2 < p_{\rm T} < 3 \text{ GeV}/c$ .

the mean of the fitted Gaussian function with residual background subtracted. Finally, signal significance in data  $\Sigma_{\text{data}}$  is calculated as

$$\Sigma_{\rm data} = \frac{Y}{\sqrt{Y + 2N_{\rm B,data}}}.$$
(3)

<sup>156</sup> Multiple BDT response cuts are applied on data and significances are calculated <sup>157</sup> for all of them. Resulting distributions for all tested pair  $p_{\rm T}$  intervals are in Fig. 4. <sup>158</sup> In this plot, vertical lines show the BDT response cuts, where significance calculated <sup>159</sup> from BDT training (Eq. 2) is maximal in three tested D<sup>0</sup>  $p_{\rm T}$  bins. It can be seen, that <sup>160</sup> the BDT response cuts with maximum significance in data are consistent with those <sup>161</sup> calculated in the BDT algorithm training (Fig. 3). Finally, signal significance higher <sup>162</sup> than 6 is achieved in all of the tested  $p_{\rm T}$  intervals.

### 163 7. Summary

Measurements of open charm mesons are important not only in heavy-ion collision, where QGP is created, but also in the asymmetric small systems, such as d+Au collisions, where CNM effects are investigated.

At STAR, D<sup>0</sup> mesons are reconstructed in d+Au collisions at  $\sqrt{s_{\rm NN}} = 200$  GeV. Thanks to the HFT, topological reconstruction of hadronic decay channel is used. Furthermore, extraction of the D<sup>0</sup> signal has been optimized using the TMVA Boosted Decision Trees method. This machine learning method significantly helps to improve the D<sup>0</sup> meson measurement.

Evaluations of the efficiency corrections on  $D^0$  raw yields and systematic



Figure 4. Significance scan of cut on BDT response on data. Vertical lines show BDT response cuts with maximum significance calculated from BDT training.

- uncertainties are under way, to determine the invariant yield and nuclear modification
- factor of  $D^0$  mesons in d+Au collisions.

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