Strange Hadron($K^0_S$, $\Lambda$ and $\Xi$) Production in d+Au Collisions at $\sqrt{s_{NN}} = 200$ GeV at RHIC

A dissertation submitted in partial satisfaction
of the requirements for the degree
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by

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Open charm yields in d+Au collisions at $\sqrt{s_{NN}} = 200$ GeV

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Strange Hadron($K_S^0, \Lambda$ and $\Xi$) Production in d+Au Collisions at $\sqrt{s_{NN}} = 200$ GeV at RHIC

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The study of identified particles from deuteron(d)+gold(Au) collisions provide a crucial reference to investigate nuclear effects observed in Au+Au collisions where a thermalized partonic state - Quark Gluon Plasma (QGP) - is thought to have been created.

The measurements of transverse mass($m_T$) and momentum($p_T$) spectra at mid-rapidity ($|y| < 1$) for the identified strange hardons: $K_S^0$, $\Lambda + \bar{\Lambda}$ and $\Xi^- + \Xi^+$ from d+Au collisions are presented. The measured $p_T$ covers $0.4 < p_T < 6.0$ GeV/c for $K_S^0$ and $\Lambda + \bar{\Lambda}$ and $0.6 < p_T < 5.0$ GeV/c for $\Xi^- + \Xi^+$. These particles were reconstructed from the topological characteristics of their weak decays in the STAR Time Projection Chamber(TPC).

The $m_T$ spectra of these particles are well described by a double exponential function which can be understood by two component models: soft(thermal) hadron production at low $m_T$ and hard hadron production at high $m_T$. The integrated yields ($dN/dy$) and mean $p_T$ ($< p_T >$) of these particles are calculated from the fit functions for different centralities. The $dN/dy$ normalized to
the number of participants ($N_{\text{part}}$) increase with $N_{\text{part}}$. The $\Lambda(\bar{\Lambda})$ $dN/dy$ values at the mid-rapidity and forward rapidity regions agree with the EPOS model calculations.

The measured $\Lambda/K^0_S$ ratios show the greatest baryon enhancement at $p_T \sim 2$ GeV/$c$ in d+Au collisions. The strangeness enhancement going from d+Au to Au+Au collisions grows with the number of strange quark in a hadron. The magnitude of the enhancement is in the same order as the SPS measurement.

The nuclear modification factors $R_{CP}$ normalized to binary collisions indicate that the Cronin effect in d+Au collisions has a distinct particle type dependence. The $R_{CP}$ ratios show a distinct baryon versus meson dependence: the $R_{CP}$ for $\Xi^- + \Xi^+$ follows that for $\Lambda + \bar{\Lambda}$ while the $R_{CP}$ for the $\phi$ is close to that for the $K^0_S$. The mechanism based on initial hadron or parton multiple scattering is not sufficient to explain this particle type dependence. Hadronization processes through multi-parton dynamics such as coalescence and recombination models are likely to be important for explaining baryon enhancement and the Cronin effect in high-energy d+Au collisions.
CHAPTER 1

Introduction

Relativistic heavy ion collisions provide a valuable tool to study the properties of nuclear matter at high temperature and energy density [Qua04]. In these collisions, atomic nuclei (heavy-ions) moving at nearly the speed of light collide and deposit a large amount of energy in a small region of space where the temperature and density is comparable with that existed in the early universe approximately one microsecond after the Big Bang (the starting point of Universe). At such extreme conditions, it is believed that chiral symmetry described in Quantum ChromoDynamics (QCD) will be restored and a new phase of nuclear matter, called Quark-Gluon Plasma (QGP) may exist. In this chapter, we will discuss the physical phenomena of relativistic heavy ion physics and introduce the topics relevant to the thesis research.

1.1 Quarks-Gluons, Hadrons and QCD

In the QCD framework, all matter is made of quarks, leptons, like electrons and positrons, and particles mediating interactions, like photons, gluons and gravitons. Quarks are the basic building block for hadrons. Hadrons are subdivided into two classes, baryons carrying three quarks or antiquarks and mesons carrying one quark and one antiquark. Baryons include nucleons and a large number of
other particles, like Λ and Ξ which we will discuss in more detail later. Mesons include pions, kaons, φ and so on. There are six different types, or flavors, of quarks: \( u \) (up), \( d \) (down), \( s \) (strange), \( c \) (charm), \( t \) (top), \( b \) (beauty or bottom). Nucleons only carry the lightest quarks \( u \) and \( d \). The hadrons carrying strange quarks are called strange hadrons. Quarks have another important degree of freedom, known as color, just as electric charge to electrons. A color is assigned to each quark, for example, \( R \) (red), \( G \) (green) and \( B \) (blue). All hadrons are colorless objects. The forces between colored quarks are called strong interactions which is well described by Quantum ChromoDynamics (QCD). According to QCD, gluons are the carriers of strong interactions between quarks, just as photons are the carriers of electromagnetic force in Quantum ElectroDynamics (QED).

For electromagnetic forces the coupling constant \( \alpha = \frac{1}{137} \) is less than unity and an elegant mathematical method using perturbation calculations have been well established. The strong interaction, however, with a coupling constant \( \alpha_s \) larger than one at low energy, can not be calculated as a series expansion. Fortunately, thanks to the discovery of the theory known as asymptotic freedom whose discoverers were awarded the Nobel Prize in Physics in 2004, the strong force between quarks becomes smaller as the distance gets shorter [Pol73, GW73]. Hence perturbative QCD (pQCD) is applicable to short distance (\( \sim fm^3 \)) or high momentum transfer process. At larger distances, the force between quarks becomes stronger [Won94] and a quark cannot be removed from a hadron: quarks are confined. In a scattering involving a high momentum transfer (hard process), the quarks move within nucleons (neutron and proton), essentially as free, non-interacting particles, and it allows physicists to calculate cross sections of the collision based on pQCD. Over the past decades, many experiments have been done on hard processes to test the validity of pQCD calculation based on asymptotic freedom. Fig. 1.1 shows differential cross-sections as a function of
Inclusive differential cross-sections for single jet production as a function of the jet transverse energy ($E_T$) in proton (anti)proton collisions. Curves are next leading order (NLO) pQCD predictions for $p\bar{p}$ at center-of-mass energy $\sqrt{s}=630$ GeV and 1.8 TeV. For the high $E_T$ domain, pQCD calculations are in good agreement with experimental observations.

1.2 Bag Model, Lattice QCD and QGP

Although the pQCD has been well developed and verified by experiments as shown in Fig. 1.1, it fails to explain the large-distance or small-momentum transfer behaviors of quark-gluon systems, like the equilibrium phases and phase transition, since the coupling constant $\alpha_s$ goes above one under these conditions.

A useful phenomenological approach, called Bag Model, sheds light on some
nonperturbative properties of the system [CJJ74, DD83]. It assumes that the total kinetic energy of quarks in a hadron is counterbalanced by an inward bag pressure $B$. As the temperature increases, the total kinetic energy of quarks may exceed the bag pressure $B$, and the bag breaks up and quarks do not belong to any individual hadron. Quarks are deconfined and can move in a larger volume. That nuclear matter can turn into a new phase of a quark gluon soup at high temperature or net baryon density.

Whereas the Bag Model only provides a qualitative understanding of the new phases of quark matter, lattice QCD, a nonperturbative approach, gives a quantitative description on quark-gluon systems by applying QCD on discrete lattices of space-time coordinates. Lattice QCD is a large-scale numerical simulation of quantum chromodynamics and relies on computers to calculate the path integrals. In principle, lattice QCD can be used for many physics regimes where pQCD is not valid. However, its performance is limited by computer memory and speed. The main result from the lattice calculation is presented in Fig. 1.2 [Kar02]. The energy density $\epsilon$ (scaled by $T^4$), reflecting the number of degrees of freedom in the system, rapidly increases at the critical temperature $T_C$. This rise corresponds to a phase transition from the hadronic matter at low temperature to a new state at high temperature where quarks and gluons do not belong to individual nucleons. This new state is called the Quark-Gluon Plasma (QGP). The magnitude of the critical temperature $T_C$ depends on the number of quarks in a hadron used in the computation. The typical $T_C$ is in the order of 150 - 200 MeV. Lattice QCD calculations also indicate that the order of the phase transition depends on the strange quark masses [Got87].

This deconfined quark matter, QGP, is believed by most scientists to exist in the early universe about one microsecond after the Big Bang when the universe,
Figure 1.2: The energy density as a function of the system temperature ($T$) from lattice QCD calculations. A phase transition occurs when $T$ reaches the critical temperature ($T_C$). The system transfers from hadronic matter to Quark-Gluon Plasma (QGP) where quarks and gluons are deconfined.

much smaller in space than the one at present, was at an extremely high temperature and hadrons were not yet formed. In laboratories such conditions for the QGP can be created through relativistic heavy-ion collisions. In fact, not only the high temperature but also the high baryon density can lead to a condition for the QGP formation. In current universe, we expect to observe this new state of matter at the center of neutron stars where the mass density can be as much as $10^{16} - 10^{17}$ gm/cm$^3$ [CP75]. The QCD phase diagram [BGS98] (Figure 1.3) shows that QGP exists in nature with high baryon density or in high energy heavy ion collision experiments where the temperature is extremely high. We will only discuss heavy ion collisions in this thesis.
Figure 1.3: The phase diagram of nuclear matter. The possible new phase QGP can be observed through enhancing the temperature (in heavy ion collisions) or the baryon density (in neutron stars). The chemical density $\mu_B$ is a variable reflecting the baryon density. The two lines mark the location of the expected phase boundary at its level of uncertainty.

1.3 Heavy ion collisions

Motivated by the lattice QCD prediction for the existence of the QGP, scientists have studied heavy ion collisions since the 1980’s to search for experimental evidences of a quark hadron phase transition by building high energy accelerators, such as the Alternating Gradient Synchrotron (AGS) at Brookhaven, USA and the SPS at CERN, the largest nuclear research center in Europe.

The QGP is thought to be a thermodynamically equilibrated deconfined quarks and gluons and its behaviors reflect the bulk properties of a hot dense many-body system. Ideally, the QGP should be studied in a static system with infinite number of quarks and gluons. In practice gold (the number of nucleons = 197) and lead (the number of nucleons = 207) are two good candidates for heavy
ion collisions due to their easiness to find and operate. Gold(Au) has been used at the AGS with a center-of-mass(CM) collision energy of $\sqrt{s_{NN}} = 4.7$ GeV, and Lead(Pb) at the SPS with a CM energy of $\sqrt{s_{NN}} = 17.4$ GeV. Both CM energies were limited since the beams collided with fixed targets. The results from these two experiments proved that a direct observation of the predicted phase transition was difficult.

The Relativistic Heavy-Ion Collider (RHIC), built at Brookhaven National Laboratory, Long Island, USA, was designed to search for the new phase of nuclear matter, thought to be prevalent in the early universe, by colliding two beams of atomic nuclei(Au) at 99.95 percent the speed of light. The full collision energy at RHIC is up to $\sqrt{s_{NN}} = 200$ GeV, 10 times greater than the AGS and SPS. As shown in Fig. 1.3 higher temperature and less net baryon (the difference of baryon numbers and anti-baryon numbers) density can be reached in the collisions at RHIC than those at the AGS and SPS, and thus new physics may be revealed at RHIC. The colliding nuclei are called heavy ions because electrons are stripped away and the nuclei carry positive charges during the collisions. Unlike AGS and SPS, RHIC is the first machine in the world that is capable of colliding two high energy heavy ion beams moving in opposite directions. The RHIC complex will be discussed in more detail in Chapter 2.

Experimental data were taken at RHIC from Au+Au collisions at $\sqrt{s_{NN}} = 130$ GeV in 2000. In 2001, RHIC was running with full energy of $\sqrt{s_{NN}} = 200$ GeV in Au+Au collisions. Many physics results have been obtained from the first two year runs. Some of the observations at RHIC were fitted well by the theoretical predictions for the formation of a quark-gluon plasma (QGP) state [Ada05a, Adc05]. However, to distinguish the origin of phenomena from various theoretical models all of which seem to fit the experimental data, more
crosscheck needs to be done not only in Au+Au but also d+Au and p+p collisions.

1.4 Nucleus-Nucleus Collision Dynamics

A high energy head-on nucleus-nucleus collision can be viewed in the laboratory frame as two thin disks approaching each other at nearly the speed of light because of the Lorentz contraction effect in the moving direction. While a precise theoretical description of the collision dynamics is difficult to find, it is generally believed the ultra-relativistic heavy-ion collision has four stages of the evolution as shown in Fig. 1.4: pre-equilibrium parton cascade, an equilibrated QGP, hadronization and freeze-out of hadrons. After the collision of two nuclei (Au at RHIC) at \((z,t)=(0,0)\), the energy density may be sufficiently high for the formation of deconfined quarks and gluons, a cascade of colliding partons. This partonic state initially may not be in thermal equilibrium, but the interaction between partons eventually bring the system into a local equilibrium at the proper time \(\tau_0\) when the QGP is formed. Then the QGP expands and its temperature drops down with increasing time. The hadronization process takes place at a time in the order of \(\sim 10\tau_0\). This stage involves the formation of hadrons and hadrons continue to interact with each other. As the system expanding further, the interactions between the hadrons stop and the hadrons stream out of the collision region and the temperature falls below the freeze-out point.

Experimentally, since the direct probe of the QGP and hadronization process is not possible, the measurement of the particles in the final stage is the only way for us to study the formation and evolution of the QGP at the early stages. The initial energy density \(\epsilon\) right after the collision can be determined according to the produced particles [Bjo83].
$\epsilon = \frac{m_T}{A} \frac{dN}{dy} \bigg|_{y=0}.$

(1.1)

where $m_T = \sqrt{m^2 + p_T^2}$ is the transverse mass of the particles produced, $m$ and $p_T$ are the mass and the transverse momentum of the particles, respectively. $A$ is the overlapping area of two colliding nuclei and $\frac{dN}{dy} \bigg|_{y=0}$ is the number of hadrons per unit rapidity(see Appendix A). The $\tau_0$, the proper time of thermalizing initial partons, may depend on the colliding beam energy and is believed to be on the order of 1 fm/c [Bjo83]. For Au+Au collisions at RHIC, most produced particles are pions. The energy density $\epsilon$ is about 4.6 GeV/fm$^3$ [Zaj02] for Au+Au collision at $\sqrt{s_{NN}} = 130$ GeV and 5.0 GeV/fm$^3$ [DE03] for $\sqrt{s_{NN}} = 200$ GeV at RHIC. These estimates of the energy density exceed the critical density for the QGP formation, $\sim 1.0$ GeV/fm$^3$, calculated from lattice QCD.

It is a big challenge for scientists to determine the thermally equilibrated QGP by studying the properties of the particles produced after the hadronization. We
need to carefully distinguish the observations dominated by the QGP from those
dominated by other nuclear effects.

1.5 Collision Geometry

Different from proton-proton collisions, nuclear collisions can be reliably classified
according to their centrality - a variable measuring the degree of overlapping be-
tween two colliding nuclei. Centrality is closely related to the impact parameter
\( b \) that is defined as the transverse distance between the centers of two colliding
nuclei in a nucleus-nucleus collision as illustrated in Figure 1.5 where deuteron-
nucleus collision geometry is also included (the deuteron contains a proton and a
neutron). Although \( b \) cannot be directly measured in experiments, it has been
widely used in theoretical models. \( N_{\text{part}} \) is another critical parameter in nuclear
reactions and is a function of \( b \). Roughly speaking, a head-on collision with a
small \( b \) involves more colliding nucleons, thus a large \( N_{\text{part}} \). For the most central
collisions, the impact parameter \( b = 0 \) and \( N_{\text{part}} \approx A + A \). The probability of
this kind of collisions is very small, therefore corresponding to a top percentage
(a few %) of centrality. Another similar collision parameter, \( N_{\text{binary}} \), represents
the number of equivalent nucleon-nucleon collisions in a nucleus-nucleus collision.
\( N_{\text{part}} \) and \( N_{\text{binary}} \) can be obtained from model calculations. At STAR, the central-
ity is determined by measuring the charged particle multiplicity, \( N_{\text{ch}} \), for Au+Au
and d+Au collisions. Larger \( N_{\text{ch}} \) corresponds to more central collisions. For ex-
ample, in Au+Au 200 GeV collisions the centrality 0-5% at STAR means the top
5% events that have the averaged \( N_{\text{ch}} > 686 \) in middle rapidity region (|\( y \)| < 0.5).
Figure 1.5: Illustration of nuclear collision geometry and centrality for Au+Au collisions at RHIC STAR. The percentage numbers of centrality are not accurate and are only for illustration purpose.

1.6 Transverse Mass(Momentum) Spectra

In nuclear collisions, the most important observable is the cross section or the particle yield as a function of the transverse mass, \( m_T = \sqrt{m^2 + p_T^2} \), or the transverse momentum \( p_T \). While in high energy \( pp \) or \( ee \) collisions the differential invariant cross section \( E d^3\sigma/dp_x dp_y dp_z \) is usually used, in heavy-ion collisions people are more interested in the invariant transverse mass(momentum) spectrum at a specific rapidity region,

\[
\frac{d^2 N}{2\pi p_T \, dp_T \, dy}
\]

(1.2)
due to its convenience for measurements(see Appendix B for derivation). It is easy to prove that the magnitudes of transverse mass and momentum distribution are equal. The transverse mass(momentum) spectrum normalized to the number of events is equal to the differential invariant cross section over the total cross section.

The shape of particle \( m_T(p_T) \) spectrum depends on the mechanism for collision
dynamics and is used to test theoretical predictions. Figure 1.6 shows the $p_T$ spectra of $\Lambda(\bar{\Lambda})$, $\Xi(\bar{\Xi})$ and $\Omega^- + \bar{\Omega}^+$ at mid-rapidity as a function of centrality from Au+Au collisions at 200 GeV. The identical shape between strange and anti-strange hadrons suggests they have the same hadronization picture. The different shapes for different centralities indicate different space-time collision evolution as the impact parameter in collisions changes. By comparing the yield in central relative to peripheral collisions, we can determine some nuclear effects that exist in central collisions, but not in the peripheral.

![Figure 1.6](image)

**Figure 1.6**: Mid-rapidity $p_T$ spectra of $\Lambda$, $\Xi$ and $\Omega$ from Au+Au collisions at $\sqrt{s_{NN}} = 200$ GeV at RHIC (STAR collaboration) [Lon05b].

At low $p_T(< 2$ GeV/$c$) in nucleus-nucleus or $p(d)$-nucleus collisions, the spectrum can be well fitted by an exponential function in $m_T$

$$
\frac{d^2N}{2\pi m_T \, dm_T \, dy} \propto e^{-\frac{(m_T - m)^2}{\tau}}
$$

(1.3)

according to thermal models [RD80, RH81] in which a large body of thermal hadronic or patonic matter is thought to act as a source of produced particles.
The fit parameter $T$, usually called temperature, is directly related to the freeze-out temperature. A larger $T$ means an earlier freeze-out for a specific particle. The heavy multi-strange hadrons, like the $\Omega$ and charmed hadrons, are good particles for probing the QGP since they have large $T$ and thus carry early evolution information, probably at the stage of the chemical freeze-out. The hydrodynamic models have been successfully used to describe the collective flow behavior at the low $p_T$ region. The evolution of the flow is treated as an ideal fluid. The flow velocity can be obtained by fitting the spectrum with Blast wave function [Sch93].

However, at high $p_T (> 2 \text{ GeV}/c)$ both the thermal and hydrodynamic models fail and instead other pQCD based models implementing hard parton scattering, gluon shadowing and jet quenching (parton energy loss) are applied. These effects cause a harder (more flat) $p_T$ spectrum which is fitted by a power law function

$$C(1 + \frac{p_T}{p_0})^{-n} \quad (1.4)$$

In the heavy-ion collisions, any approach trying to reproduce the experimental data successfully has to deal with the dominant dynamical mechanism for the low $p_T$ (soft) and high $p_T$ (hard) region separately. This two component principle seems not only applicable to the Au+Au system but also to the d+Au system.

### 1.7 Nuclear Modification Factors, $R_{CP}$ and $R_{AA}$

While low $p_T$ hadron spectra reflect the behavior of hadronic matter at the hadronization stage, high $p_T$ hadron production is thought to probe the early stage of heavy-ion collisions. It is presumed that these high $p_T$ hadrons are created through large momentum transfer scatterings of partons which pass through dense matter and lose energy by induced gluon radiation at the early stage of the
system evolution in central Au+Au collisions at RHIC. [GP90].

It is believed that the QGP would be more likely to be created in central nucleus-nucleus collisions. In peripheral collisions, where the number of effective colliding nucleons is small, the created matter is not sufficiently large to reach thermalization. Nuclear effects can be investigated by measuring a nuclear modification factor, the particle yield ratio from the central to peripheral collisions:

\[
R_{CP}(p_T) = \frac{\left[ (dN/dp_T)/N_{binary} \right]^{central}}{\left[ (dN/dp_T)/N_{binary} \right]^{Peripheral}}
\]

where \( N_{binary} \) is the number of binary nucleon-nucleon collisions for each nuclear collision. In the absence of nuclear effects, \( R_{CP} \) is expected to be unity at high \( p_T \).

**Figure 1.7:** The nuclear modification parameter \( R_{CP} \) for charged hadrons in Au+Au collisions at \( \sqrt{s_{NN}}=200 \) GeV at RHIC [Ada03b].
Figure 1.7 shows the $R_{CP}$ ratios of the central yield from the top $0-5\%$ collision centrality to the peripheral yield from $40-60\%$ and $60-80\%$ collision centrality for charged hadrons from Au+Au collisions at $\sqrt{s_{NN}}=200$ GeV [Ada03b]. The $R_{CP}$ shows a strong suppression of particle yields at high $p_T$ for central compared with peripheral collisions. This is consistent with the creation of a dense matter causing the energy loss for high $p_T$ partons that may form jets when they experience multiple scattering in the medium. The final high $p_T$ hadrons from jet fragmentation are then suppressed [VG02b, Wan04b]. This phenomenon is known as jet quenching. However, the suppression may also arise from a consequence of parton saturation in Color Glass Condensate [Kha02]. This initial state effect exists also in d+Au collisions in which the jet quenching is negligible. Therefore, d+Au data will provide a crucial test for these two models.

Like $R_{CP}$, there is another similar modification parameter defined by taking the ratio of the particle yields in nuclear collisions and the particle yields in proton-proton collisions:

$$R_{AA}(p_T) = \frac{d^2N/dp_Td\eta}{T_AAd^2\sigma^{pp}/dp_Td\eta}$$

(1.6)

where $\eta$ is the pseudo-rapidity and $T_{AA} = \langle N_{binary} \rangle \sigma_{NN}^{incl}$. $R_{AA}$ takes $pp$ collisions as a reference instead of peripheral collisions in $R_{CP}$, providing a better reference since nuclear effects in $pp$ collisions are completely negligible. However, the ratio $R_{CP}$ has smaller systematic uncertainties than $R_{AA}$ because the yields for different centralities in $R_{CP}$ are measured in the same system and $R_{AA}$ requires the extra measurement of $pp$ spectrum as a reference.

The measurement of nuclear modification parameters, $R_{CP}$ or $R_{AA}$ provides a useful tool not only for the study of partonic energy loss in nucleus-nucleus collis-
ions, but also for other nuclear effects, such as the Cornin effect in proton(deuteron)-nucleus collisions.

1.8 Enhancement of Strange Hadrons

Unlike the $u$ and $d$ quarks which are the valence quarks in the nucleon, a massive strangeness quark, $s$ is sensitive to the possible QGP formation because its mass is of the same magnitude as the critical temperature $T_c$ of the phase transition. This means that the measurements of strange hadrons will provide a good signal to detect the deconfined-matter phase [Raf82, RM86]. The properties of some strange hadrons mentioned in this thesis are listed in Table 1.1.

<table>
<thead>
<tr>
<th>Hadron</th>
<th>Mass(GeV)</th>
<th>Constituent Quarks</th>
<th>$\tau$(mean life)</th>
<th>Species</th>
</tr>
</thead>
<tbody>
<tr>
<td>$K^0_S$</td>
<td>0.49767</td>
<td>$d\bar{s} + d\bar{s}$</td>
<td>$0.8934 \times 10^{-10}\text{s}$</td>
<td>Meson</td>
</tr>
<tr>
<td>$\phi$</td>
<td>1.01941</td>
<td>$s\bar{s}$</td>
<td>$1.6 \times 10^{-24}\text{s}$</td>
<td>Meson</td>
</tr>
<tr>
<td>$\Lambda(\bar{\Lambda})$</td>
<td>1.11568</td>
<td>$uds(\bar{u}\bar{d}\bar{s})$</td>
<td>$2.632 \times 10^{-10}\text{s}$</td>
<td>Baryon</td>
</tr>
<tr>
<td>$\Xi^-(\bar{\Xi}^+)$</td>
<td>1.32132</td>
<td>$dss(\bar{d}\bar{s}\bar{s})$</td>
<td>$2.90 \times 10^{-10}\text{s}$</td>
<td>Baryon</td>
</tr>
<tr>
<td>$\Omega^-(\bar{\Omega}^+)$</td>
<td>1.67245</td>
<td>$sss(\bar{s}\bar{s}\bar{s})$</td>
<td>$0.822 \times 10^{-10}\text{s}$</td>
<td>Baryon</td>
</tr>
</tbody>
</table>

Table 1.1: Properties of strange hadrons.

The strangeness ($s$) and anti-strangeness ($\bar{s}$) quarks are only made from the vacuum since they are not contained in the colliding nuclei. In the deconfined quark-gluon matter, the $s\bar{s}$ pair can be generated through gluon fusion($g + g \rightarrow s\bar{s}$). The threshold energy for this mechanism is $\sim 300$ MeV and much smaller than that for other hadronic generation mechanisms like $\pi + N \rightarrow \Lambda + K$ in which the threshold energy is $\sim 530$ MeV. The gluon channel is dominant and thus strange hadron production is expected to be enhanced if the QGP exists. Fig 1.8 shows the ratio of the $< N_{\text{part}} >$ scaled strange particle yield in Pb+Pb collisions to that in p+Pb collisions at the same beam energy per nucleon 158 $A$ GeV ($\sqrt{s_{NN}} = 17.2$ GeV). The enhancement is more pronounced
for multi-strange hyperons. Although the QGP formation would lead to the strangeness enhancement, the observed enhancement does not unequivocally indicate the formation of QGP. Other dynamic mechanisms could cause the same phenomenon [Red01, RT02].

Figure 1.8: Strange particle enhancement versus strangeness content (WA97) [And99]. The fixed-target beam energy per nucleon is 158 $A$ GeV, which is equivalent to $\sqrt{s_{NN}} = 17.2$ GeV.

1.9 Why d(deuteron)+Au collision at RHIC?

The new partonic phase, QGP cannot be directly detected through one or several measurements. The discovery of QGP, unlike the discovery of a new particle, requires a global cross check of consistency at each stage of the collision evolution. The main goal for d+Au collisions at RHIC is to take d+Au measurements as a reference for comparison to results obtained in Au+Au collisions. Some possible observations in favor of the QGP formation in Au+Au collisions need to be investigated in d+Au collisions to verify their uniqueness.
The difference in nuclear effects between d+Au and Au+Au collisions can help find a dominating mechanism among the possible models all of which seem to explain the Au+Au results. For example, a strong suppression of the hadron yield in central Au+Au collisions could arise from initial-state effects: the saturation of gluon densities in the incoming nuclei, or from final-state effects: energy loss when partons are passing through dense matter created in central collisions. Since the produced matter in d+Au collisions is small in transverse direction, energy loss from the final state effect is not expected while the parton saturation in the initial-state effect may still exist. Thus through the measurement of $R_{AA}$ or $R_{CP}$ in d+Au, we can tell which effect is the origin of the yield suppression in central Au+Au collisions.

In addition, measurements of the d+Au system are interesting in their own right as tests of many theoretical models which predict the particle $p_T$ and rapidity dependence. Of special interest is the measurement of the Cronin effect in high energy collisions at RHIC.

1.9.1 The Cronin Effect

The Cronin effect is an important nuclear effect that first observed in inclusive hadron spectra in proton-nucleus ($pA$) collisions [Cro75] 30 years ago. The observable is related to the nuclear modification factors, $R_{AA}$ or $R_{CP}$ as described in Equation 1.5 and 1.6. In absence of nuclear effects one would expect $R(p_T) = 1$, but for $pA$ collisions a suppression exists at small $p_T$, and an enhancement at moderate $p_T$, and then when $p_T \to \infty$ the ratio approaches to unity. Experimentally, it was clearly observed in $pA$ collisions at two beam energies $\sqrt{s} = 27.4$ GeV and $\sqrt{s} = 38.8$ GeV in Fermi Lab [Str92]. The experiment was conducted by collisions of 800 GeV protons with the fixed tungsten(W) and beryllium(Be)
targets. The $p_T$ dependent ratios for three identified particles were obtained by taking the ratio of the spectra from both collision systems. Figure 1.9 shows the $pW$-to-$pBe$ ratio of per-nucleon cross sections for pions, kaons and protons. The ratios are less than one at $p_T < 1.5$ GeV/c and greater than one at $1.5 < p_T < 9$ GeV/c. The peak values are around 1.35, 1.5 and 2.2 for pions, kaons and protons respectively, as labelled in the plots and the corresponding $p_T$ is the same for all of them and is about 4.6 GeV/c. The kaon ratio is slightly higher than the pion’s, which is due to the high gluon fragmentation for kaons. However, the larger enhancement for protons($uud/\bar{u}\bar{u}\bar{d}$) compared to pions($u\bar{d}/d\bar{u}$) and kaons($u\bar{s}/s\bar{u}$) was explained as a larger rescattering cross section for diquarks($uu/\bar{u}\bar{u}$) to a $u/\bar{u}$ quark or the process of binding a diquark to a $u/\bar{u}$ quark is enhanced in nuclei. Many theoretical models have presented to quantitatively explain the Cronin enhancement in nucleus collisions at these energies based on initial-state nuclear effects: multiple soft/hard hadronic or patonic scattering [Kuh76, LP83].

The Cronin effect has received renewed interest in the recent heavy-ion experiments at RHIC. The strongly suppressed $R_{AA}$ ratio due to a large jet quenching in central Au+Au collisions makes the Cronin effect not as pronounced as that in low energy $pA$ collisions. However, the analysis of charged hadron spectra in d+Au collisions has revealed the Cronin effect does exist in high energy heavy-ion collisions, though with a smaller magnitude. Figure 1.10 shows the $p_T$ dependent $R_{AA}$ ratio of charged hadrons in d+Au minimum bias and central events as well as that in Au+Au central collisions for comparison [Ada03a]. There is a clear Cronin enhancement at $1.5 < p_T < 7$ GeV/c for d+Au collisions.
Figure 1.9: $R_{W/Be}$, $pW$-to-$pBe$ ratio of per-nucleon cross sections, vs. $p_T$ for $\pi$, $K$ and $p$ at low energy collisions. Open triangles are the results at $\sqrt{s} = 27.4$ GeV and closed circles at $\sqrt{s} = 38.8$ GeV. The peak values of $R_{W/Be}$ are labelled by numbers. Curves are from the model calculations. [Str92]

1.9.2 Initial State and Final State

Following the framework of multiple scatterings and pQCD jet fragmentation in nuclear reactions, projectile partons in protons(or deuterons) experience several scatterings with small transverse momentum transfer(soft process) then undergo a hard scattering to a high $p_T$ jet(hard process) which then fragment into final hadrons. Initial or final state effects refer to whether the effect happened before or after the hard scattering. In this section we review several theoretical models for the description of the Cronin effect in proton-nucleus($pA$) or $d$+Au collisions. We start with the models developed originally for the lower energy experiments.
**Figure 1.10:** $R_{AA}$ for charged hadrons in d+Au collisions at $\sqrt{s_{NN}} = 200$ GeV at STAR(RHIC). The Cronin enhancement at $1.5 < p_T < 7$ GeV/c was seen.

based on initial state effects [Acc03]. Their effectiveness extrapolating to RHIC energies $\sqrt{n} = 130$ and $\sqrt{n} = 200$ GeV remains unknown. Then we discuss the novel models focusing on the final state effects specifically for the RHIC data.

**Initial state models:** Early attempts to explain the Cronin effect in $pA$ collisions mainly emphasize hadronic or partonic multiple rescatterings. An intrinsic transverse momentum for a parton, $k_T$, has to be introduced in the proton and nuclear parton distribution functions. The rescatterings cause the intrinsic transverse momentum broadening of the projectile parton compared to that in $pp$ collision.

$$\langle k_T^2 \rangle_{pA} = \langle k_T^2 \rangle_{pp} + \langle k_T^2 \rangle_r$$

where the second term on the right hand, $\langle k_T^2 \rangle_r$, is from the rescattering contribution. This broadening will lead to an enhancement at high $p_T$ region($> 2$ GeV/c) in $pA$ collisions. Several different approaches implement this schema. In [Wan00, Zha02] rescatterings are on the soft hadron level. Each time the
projectile proton interacts with a target nucleon, its partons gain a little intrinsic momentum until the excited proton breaks. Instead of soft hadronic processes, semihard partonic rescatterings were used to calculate the broadening of the intrinsic momentum in [AT01, VG02b]. Slightly different from these models, the color dipole model based on the light-cone QCD-dipole approach describes the Cronin effect without fitting the data. In the model, the parton transverse momentum distribution function was expanded and the zeroth order gives the intrinsic $k_T$ distribution and the first order corresponds to the contribution of one-rescattering process, and so on. These initial state models have not described the particle type dependence in the Cronin effect between baryons and mesons.

**Final state models:** Final state effects are thought to take place after a hard scattering of the parton, e.g., at the stage of interaction with a medium or of the final hadronization of the parton. The final state models presented recently, like coalescence or recombination approaches, focus on the modification of the hadronization process, which provided a natural explanation for the hadron species dependence in the measurement of nuclear modification factors at RHIC. An outgoing high energy parton will break into a jet of partons which share the energy of the initial parton. These partons, eventually turning into many hadrons, can be soft or hard. In the recombination and fragmentation(R+F) model [Fri03] only soft partons are allowed to coalesce into hadrons, while hard partons follow the fragmentation process. In the soft and hard recombination (S+H) model [Gre03] one hard parton is recombined with a thermal(soft) parton from the surrounding medium. This parton recombination or coalescence mechanism most affects the intermediate $p_T$ region, 2-5 GeV/c. Below $p_T = 2$ GeV/c the pure thermal phase dominates and above $p_T = 5$ GeV/c the pure fragmentation dominates. These two models were originally proposed to explain
the hadron spectra, ratios, nuclear suppression factor and \(v_2\) in Au+Au collisions. Recently the recombination/coalescence model has been applied to d+Au collisions by Hwa and Yang to describe the hadron spectra and the Cornin effect at mid-rapidity [HY03, HY04a]. In their approach all the fragmented partons recombine to higher momentum hadrons. At very high \(p_T\) the recombination of high momentum partons gives the same picture as the fragmentation process. The dominant \(p_T\) range from the parton recombination scheme is \(3 < p_T < 8\) GeV/c for Au+Au collisions [HY04b] and \(1 < p_T < 4\) GeV/c for d+Au collisions [HY04a], which gives a much wider range than that given in the R+F and S+H models. In Chapter 6 we will discuss this model for our d+Au data in detail.

\section*{1.10 Outline}

In this thesis, we present the spectra measurement for \(K_S^0\), \(\Lambda + \bar{\Lambda}\) and \(\Xi^- + \Xi^+\) in d+Au collisions at \(\sqrt{s_{NN}} = 200\) GeV at STAR. In Chapter 2 the RHIC accelerator facility and the STAR detectors will be described. The analysis details for the weak decay hadron reconstruction, yield extraction and efficiency calculations will be presented in Chapter 3, and estimates for systematic uncertainties as well as corrections for weak decay feeddowns are given in Chapter 4. The main results including the \(p_T\) and \(m_T\) spectra, \(R_{CP}\), the \(\bar{\Lambda}/\Lambda\) ratio, the \(\Lambda/K_S^0\) ratio and the integrated yield \(dN/dy\) and \(\langle p_T \rangle\) are presented in Chapter 5. Finally in Chapter 6 we discuss the comparison of our d+Au results to the models and Au+Au results at the same collision energy.
CHAPTER 2

Experimental Set-up

2.1 RHIC Complex

The Relativistic Heavy Ion Collider (RHIC) located at Brookhaven National Laboratory on Long Island, NY, USA, is designed to provide collisions of various species up to gold ions from two independent intersecting beams. RHIC is a world-class scientific research facility that began operation in 2000, following 10 years of development and construction. The facility consists of two concentric rings of super-conducting magnets that focus and guide the beams and a radio frequency system that captures, accelerates and stores the beams. The ring’s diameters are approximately 1.22km.

The whole RHIC complex (Figure 2.1) also includes the Tandem Van de Graaff accelerator, the Booster Synchrotron and the Alternating Gradient Synchrotron (AGS). Gold\(^{197}\)Au atoms generated in the Pulsed Sputter Ion Source in the Tandem have to experience three distinct acceleration processes before injection into the RHIC rings:

1. The Tandem uses static electricity to accelerate Au atoms during the traversal of thin foils, also removing some of their electrons. The Tandem gives billions of these positively charged atoms(ions) a boost of energy, sending
Figure 2.1: A diagram of the RHIC complex (top) including AGS facility (bottom) that brings nuclear ions up to RHIC injection energy.
them on their way towards to Booster. On exiting the final foil downstream of the Tandem, the ions have a net charge of $Q = +32e$ and energy of 1 MeV/nucleon;

2. The Booster synchrotron is a compact circular accelerator that provides the ions more energy, by having them surf ride on the downhill slope of radio frequency electromagnetic waves. The ions are propelled forward at higher and higher speeds, getting closer and closer to the speed of light. In the Booster the Au($Q = +32e$) ions are accelerated to 95 MeV/nucleon, and their net charge is increased to $Q = +77e$ from traversal of a ”stripping” foil at the exit of the booster;

3. As the $Q = +77e$ ions enter the Alternating Gradient Synchrotron (AGS) from the Booster, they are travelling at about 37% the speed of light. They whirl around the AGS and are accelerated further to 10.8 GeV/nucleon travelling at 99.7% the speed of light. The last two 1s electrons are removed by a stripping foil in the transport line between the AGS and the RHIC collider rings. Finally the fully stripped $Q = +79e$ bare Au nuclei are injected into RHIC rings where they are accelerated to collision energy and stored for up to 8 hours.

RHIC can also perform high energy proton-proton collisions. For proton beams, the Linear Accelerator is used as the source instead of the Tandem.

RHIC is the first machine in the world capable of colliding head-on heavy ions. It can collide $p+p$ up to $\sqrt{s_{NN}} = 500$ GeV, $\text{Au}+\text{Au}$ up to $\sqrt{s_{NN}} = 200$ GeV and deuteron(d)+Au up to $\sqrt{s_{NN}} = 200$ GeV. RHIC can also accelerate other species. Copper-copper(Cu+Cu) collisions were performed at $\sqrt{s_{NN}} = 200$ GeV in 2005. Table 2.1 lists the physical parameters and the performance specifications for the
Physical Parameters

<table>
<thead>
<tr>
<th>Parameter</th>
<th>Value</th>
</tr>
</thead>
<tbody>
<tr>
<td>Crossing points</td>
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</tr>
<tr>
<td>RHIC circumference (m)</td>
<td>3833.845</td>
</tr>
<tr>
<td>No. Bunches/ring</td>
<td>~60</td>
</tr>
<tr>
<td>Bunch Spacing (nsec)</td>
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</tr>
<tr>
<td>Collision Angle</td>
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</tr>
<tr>
<td>Beam lifetime (hours)</td>
<td>~8</td>
</tr>
</tbody>
</table>

Performance Specifications

<table>
<thead>
<tr>
<th>Source</th>
<th>Top Energy $\sqrt{s_{NN}}$ (GeV)</th>
<th>No. Particles/Bunch</th>
<th>Luminosity, average (cm$^{-2}$sec$^{-1}$)</th>
</tr>
</thead>
<tbody>
<tr>
<td>$Au + Au$</td>
<td>200</td>
<td>$1 \times 10^9$</td>
<td>$\sim 2 \times 10^{26}$</td>
</tr>
<tr>
<td>$p + p$</td>
<td>500</td>
<td>$1 \times 10^{11}$</td>
<td>$\sim 1.4 \times 10^{31}$</td>
</tr>
<tr>
<td>$d + Au$</td>
<td>200</td>
<td>$10^{11}(d) 10^{9}(Au)$</td>
<td>$\sim 2 \times 10^{28}$</td>
</tr>
</tbody>
</table>

Table 2.1: Physical parameters and performance specifications for the Relativistic Heavy Ion Collider (RHIC). Some of these numbers may vary for each run.

<table>
<thead>
<tr>
<th>Year</th>
<th>Collisions</th>
<th>Collision Energy (GeV)</th>
<th>Events Taken (Million)</th>
</tr>
</thead>
<tbody>
<tr>
<td>2000</td>
<td>Au+Au</td>
<td>130</td>
<td>0.5</td>
</tr>
<tr>
<td>2001</td>
<td>Au+Au</td>
<td>200</td>
<td>5</td>
</tr>
<tr>
<td>2002</td>
<td>p+p</td>
<td>200</td>
<td>6</td>
</tr>
<tr>
<td>2003</td>
<td>d+Au</td>
<td>200</td>
<td>20</td>
</tr>
<tr>
<td>2004</td>
<td>Au+Au</td>
<td>62.4</td>
<td>50</td>
</tr>
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<td>2004</td>
<td>Au+Au</td>
<td>200</td>
<td>6</td>
</tr>
<tr>
<td>2005</td>
<td>Cu+Cu</td>
<td>200</td>
<td>30</td>
</tr>
</tbody>
</table>

Table 2.2: Experiments run at RHIC from the year of 2000 to 2005. Some short test runs are not included.

RHIC.

There are four experimental collaborations at RHIC: BRAHMS, PHOBOS, PHENIX and STAR. More than 1000 physicists from about 130 institutions around the world are working at RHIC.

The STAR collaboration is one of two largest groups at RHIC. The STAR detector began data taking in June 2000. Table 2.2 shows the experimental runs on various particle sources during the past 5 years.

In this thesis we present an analysis of $d+Au$ collisions recorded by the STAR detector in 2003. $d+Au$ collisions were chosen instead of $p+Au$ collisions because
the charge to mass ratio (Z/A) for the deuteron (1/2) is much closer to the ratio for Au (79/197), and therefore less adjustment of magnetic fields for is needed to provide the same energy nucleon in the two rings.

2.2 The STAR Detector

The Solenoidal Tracker At RHIC (STAR) was designed to search for signatures of a possible new state of matter, the quark-gluon plasma (QGP). In the absence of definitive signatures for the QGP, it requires a detection system that can simultaneously measure many experimental observables.

![STAR Detector](image)

**Figure 2.2:** The STAR experiment.

The STAR detector (Figure 2.2) is a cylindrical detector system with $2\pi$ azimuthal coverage and contains several subsystems:
• Time Projection Chamber (TPC) [Ack99];

• Silicon Vertex Tracker (SVT);

• Forward Time Projection Chamber (FTPC);

• Electromagnetic Calorimeter (EMC);

• Time of Flight (TOF);

• Zero Degree Calorimeters (ZDC);

• other trigger detectors.

Figure 2.3: Side view of the STAR detector including the major detectors, Time Projection Chamber (TPC), Silicon Vertex Tracker (SVT), Forward Time Projection Chamber (FTPC), Time of Flight (TOF), Electromagnetic Calorimeter (EMC) and Zero Degree Calorimeters (ZDC). Other trigger detectors are not labelled.
The detector system (cross section view Fig. 2.3) is surrounded by a magnet which provide uniform fields along the beam direction with an adjustable strength, 0.25 Tesla (Half Field) to 0.5 Tesla (Full Field).

The detector can identify most of the charged particles at mid-rapidity in the main TPC that covers the pseudo-rapidity range $|\eta| < 1.8$. Four kinds of charged particles, $\pi^+(\pi^-)$, $K^+(K^-)$, $p(\bar{p})$, $e(\bar{e})$ can be identified via the measurement of ionization energy loss ($dE/dx$) of the particle travelling across the TPC. Pions and kaons can be identified at $p < 0.7 \text{GeV}/c$ and kaons and protons at $p < 1.0 \text{GeV}/c$. The TOF detector now under construction can help push these two momentum limits up to 1.5 GeV/c and 3.0 GeV/c, respectively. Figure 2.4 shows identified bands for these charged particles from the TPC $dE/dx$ and TOF $1/\beta$ measurements.

Other neutral and charged particles, like the $K^0_s$, $\phi$, $\Lambda(\bar{\Lambda})$, $\Xi^- (\bar{\Xi}^+)$ and $\Omega^- (\bar{\Omega}^+)$ are reconstructed according to their weak decay channels [Col92]. These reconstructed particles can be identified at much higher $p_T$ region. In this thesis, we
have measured $K^0_s$ and $\Lambda(\bar{\Lambda})$ up to $p_T = 6$ GeV/c and $\Xi^-(\bar{\Xi}^+) p_T = 5$ GeV/c using weak decay topology cuts. The vector meson $\phi$ can be measured up to $p_T = 6$ GeV/c using event-mixing technique. The EMC, designed to measure high energy ($\geq 2$ GeV) electrons and photons, is used to identify some particles decaying to electrons and photons, such as $\pi^0$, $\eta$ and $J/\psi$.

### 2.2.1 Time Projection Chamber (TPC)

The TPC (Figure 2.5) is the STAR main tracking detector that has two longitudinal drift chambers each 2.1 m long divided by a high voltage (28 kV) membrane. The inner and outer radii of the field cages are 50 cm and 200 cm respectively. 24 anode pad sectors for signal recordings are installed at both end-caps of the TPC cylinder between the inner and outer filed cages.

The chamber is filled with a gas mixture of 90% Argon and 10% Methane. The choice of the Methane gas for the TPC was made as a compromise among the following ten features [Col92]:

1. The gas mixture has to work at atmospheric pressure;

2. The electron drift velocity must be $> 2.0$ cm/$\mu$s at $E < 300$ V/cm; The field limit is determined by the tolerances of the insulators on the field cages;

3. At nominal field, the drift velocity should be saturated to reduce the effects from inhomogeneities in the E field and variations from gas pressure (gas pressure changes with atmospheric pressure);

4. Small transverse and longitudinal diffusion;

5. Large $\omega \tau$, to reduce transverse diffusion;
6. High ionization efficiency;

7. Low electron absorption over drift length;

8. High drift velocity for positive ions in order to minimize the space charge accumulation;

9. Low rate of aging and high resistance to high voltage breakdown;

10. Gas should be cheap, safe and affordable in large quantities.

When a high velocity charged particle travels through the gas-filled TPC volume, the gas molecules will be ionized and produce positive ions and electron clouds along the path. The energy loss due to ionization is typically a few KeV per cm of gas. This gives a total energy loss of a few MeV over a path length
of 2 m, which is negligible compared with the typical kinetic energy of a particle (above 100 MeV). Under the influence of the electric field in the TPC, ionized electrons drift to one end of TPC and positive ions drift in the opposite direction to the central membrane. The arrival time and locations of the electron clusters are recorded by the electronics system at the anode sectors.

![Figure 2.6: First Gold-Gold Collision Events at RHIC at full energy $\sqrt{s_{NN}} = 200$ GeV recorded by STAR. Front view of Time Projection Chamber (TPC).](image)

The TPC can record tracks up to $\sim 4 \times 10^3$ particles per event in Au+Au collisions. Figure 2.6 illustrates the reconstruction of a large number of charged particles produced from central Au+Au collisions in the STAR Time Projection Chamber (TPC). The number of tracks per event (multiplicity) in Au+Au collisions is much higher than that in d+Au collisions.

Each end-cap of the TPC is instrumented with 70,000 pads. Two pad sizes are used, one for the inner sectors ($50 \text{ cm} < \text{radius} < 125 \text{ cm}$) and one for the outer
 sectors (125 cm < radius < 200 cm) as shown in Figure 2.7. The inner sector is made of 13 pad rows and the outer sector 32 rows. A track passing through both sectors can be recorded by up to 45 points, leading to a good ability in particle identification by ionization energy loss. The sizes of pads are designed according to the required position resolution along pad row direction. The inner pads with a smaller size of 2.85 mm × 11.5 mm can provide a better two track resolution than the outer pads with a size of 6.2 mm × 19.5 mm, which is necessary for the region in high track density.

2.2.2 Forward Time Projection Chamber (FTPC)

The physics goal in building an FTPC sub-system is to extend the rapidity coverage of the STAR tracking system. FTPC (Figure 2.8) is a high-resolution Time
Projection Chamber for tracking charged particles within the pseudo-rapidity $|\eta| = 2.5 - 4$, azimuthal angle $\phi = 0$-360 deg, and transverse momentum $p_T$ of several GeV/c. The detector was filled with 50%/50% mixture of $Ar$ and $CO_2$, non-flammable gases. Unlike the TPC where the readout anode pads are placed on both ends so that the drift field is in the beam direction, the FTPC is a radial drift field detector where ionized electrons move radially to the readout pads. The high voltage electrode surrounds the beam pipe at a radius of 8cm and a potential of -10KV and the Frisch grid is placed at a radius of 30cm and a potential of zero voltage. Towards both ends of the detector, 17 aluminum rings are set to potentials equivalent to a radial field by a divider chain to shield the electric field in the TFPC against disturbances from the outside.

![STAR - FTPC](image)

**Figure 2.8**: The STAR Forward Time Projection Chamber (FTPC).

Two FTPCs are located inside the STAR solenoid magnet symmetrically with respect to the center of the detector. They have a sensitive volume of $r = 8$ -
30 cm and \(|z| = 160 - 260\) cm. Making use of the STAR magnetic field, the FTPC can achieve a typical momentum resolution around 12% and discriminate between positive and negative charges.

For the d+Au run in 2003, the event centrality definition was made according to the measured multiplicity in the East (Au side) FTPC instead of that in the TPC where most main physical analysis was done. This selection avoids the auto-correlations that may occur if both physical analysis and centrality measurement are done in the same rapidity region.

2.2.3 Time-Of-Flight (TOF)

The purpose of the time-of-flight detector is to extend the particle identification capabilities of the STAR detector to high transverse momentum as shown in Fig.2.4.

The full time-of-flight coverage requires 120 TOF trays (TOFr), 60 in the east \((0 < \eta < 1)\) and 60 in the west \((-1 < \eta < 0)\). Each tray contains 32 multi-gap resistive plate chambers (MRPC) that provide a cost-effective solution for large-area time-of-flight coverage. The MRPC is basically a stack of resistive plates arranged in parallel. The utility of resistive plates is to quench the streamers so that they do not initiate a spark breakdown. The intermediate plates create a series of gas gaps. Electrodes are connected to the outer surfaces of the two outer plates. A strong electric field is created in each subgap when a high voltage is applied to these electrodes. All the internal plates are electrically floating; they initially take the voltage as defined by electrostatics, but are kept at the correct voltage by the flow of electrons and ions produced in the gas by avalanches.

For d+Au run in 2003, only 28 MRPCs were installed in a tray and 12 out of 28 were instrumented with electronics, equivalent to 0.3% of full coverage.
2.2.4 Electromagnetic Calorimeter (EMC)

The electromagnetic calorimeter (EMC), designed to measure and trigger on the total and local transverse energy deposition in collisions, extends the capabilities of STAR to study direct photons, neutral pions, jets, and high $p_T$ particle spectra. There are two types of EMC: the Barrel EMC (BEMC) provides full azimuthal coverage in $-1 < \eta < 1$ and the Endcap EMC (EEMC) extends the rapidity coverage to $\eta = 2$.

The BEMC is a lead-scintillator sampling calorimeter. It is located inside the large room temperature magnet within a cylindrical space approximately 41cm deep, by 6.2m in length, sandwiched between the Time of Flight system and the magnet coils. It includes a total of 120 calorimeter modules, each subtending $6^\circ$ in $\Delta \phi$ (0.1 radian) and 1.0 unit in $\Delta \eta$. These modules are mounted 60 in $\phi$ by 2 in $\eta$. The detector has the ability to separate high energy direct photons from $\pi^0$ decays. For the d+Au run in 2003, only half of BEMC modules were installed, covering $2\pi$ in azimuth and $0 < \eta < 1$.

The EEMC with the coverage $1 < \eta < 2$ in pseudorapidity and $2\pi$ in $\phi$ was subdivided into 720 projective towers, with 12 segments covering the $\eta$ range and 60 segments in $\phi$. Each tower has independent readout. The $\eta$ range covered by each tower will gradually increase from 0.057 at $\eta = 1$ to 0.099 at $\eta = 2$. It includes a scintillating-strip shower-maximum detector to provide $\pi^0/\gamma$ discrimination and preshower and postshower layers to aid in distinguishing between electrons and charged hadrons.
2.2.5 Trigger Detectors

The detectors with fast readout times are used for triggering events to decide whether they should be recorded or not. They include a Central Trigger Barrel (CTB) around the TPC at $|\eta| < 1$, Beam-Beam Counters (BBC) at both sides of the STAR detector and two zero-degree calorimeters (ZDC West and ZDC East) located in the forward direction at $\theta < 2$ mrad and 18 meters away from the interaction point.

The CTB consists of 240 scintillator slats covering the outer shell of the TPC. Each slat consists of a scintillator, light guide, and photomultiplier tube (PMT). Each ZDC consists of three modules. Each module consists of a series of tungsten plates alternating with layers of wavelength shifting fibers that route Cherenkov light to a PMT.

The CTB triggers on the flux of charged particles in the midrapidity region. The ZDCs are used for determining the energy of neutral particles (mainly dissociated neutrons not participating in the collision) remaining in the beam direction while all charged particles are deflected away by dipole magnets (DX) located between interaction region and ZDCs.

A minimum bias trigger for d+Au run in 2003 was based only on the signals received in the East (Au side) ZDC due to the low reception rate in the West (deuteron side) ZDC. The minimum bias d+Au data requires at least one beam-rapidity neutron in ZDC-Au.
CHAPTER 3

Analysis Methods

In the STAR TPC, four particles (electrons, pions, kaons and protons) in the low $p_T$ region can be identified through their energy loss rate($dE/dx$) along their track in the TPC, while other particles are reconstructed from them through decay channels. $K^0_S$, Λ, Ξ$^-$ and their anti-particles decay to pions and protons through their weak decay channels. These decays involve a relatively long decaying time leading to a large decay length. Therefore, the daughter particles (pions and protons) were created at some point in space away from the primary vertex. This feature can be used to get rid of much background and makes these strange hadrons easy to reconstruct.

3.1 d+Au minimum bias data

For the d+Au run at $\sqrt{s_{NN}}=200$ GeV at RHIC in 2003, about 22 million experimental data were recorded by the STAR detector. The minimum bias data, accepting (95±3)% of the d+Au hadronic cross section, are triggered by requiring at least one beam-rapidity neutron in the gold side of ZDC. To remove trigger biases, the minimum bias data also require a vertex-$z$ cut within 50 cm of the TPC center. Moreover, primary vertices (collision points) were not successfully
reconstructed in some events due to the low multiplicity (number of particles from one event) in the TPC so that these events are useless. After discarding these events, we have \( \sim 10 \) million \( d+Au \) minimum bias events from the newest production P04f for our analysis. In order to estimate the systematic errors, the data were taken under the full magnetic field (0.5 Tesla) in two opposite directions. There are three data sets containing \( d+Au \) minimum bias events: \( dAuMinBias \), \( dAuCombined \) and \( UPCCombined \). Fig. 3.1 shows the vertex-\( z \) distributions for minimum bias events of three data sets in the full field(FF) and the reversed full field(RFF). Table 3.1 lists the selection criteria for minimum bias events in the analysis.

| Event Selection | $-50 < |z| < 50$ |
|-----------------|------------------|
| Primary Vertex Found | Yes |
| min-bias events | \( \sim 10 \) million |

Table 3.1: Event selection criteria for minimum bias events in \( d+Au \) collisions at \( \sqrt{s_{NN}} = 200 \) GeV at STAR.

### 3.2 Centrality definition in \( d+Au \)

Nuclear effects are different for the different collision centralities, which are related to the impact parameter \( b \) of the collision in geometry. In experiments we define the centrality according to the number of charged particles measured in a given rapidity region.

Unlike the \( Au+Au \) runs(Run-1 and Run-2) where the TPC multiplicity was adopted for the centralities, the \( d+Au \) run in 2003 uses FTPC reference multiplicity, the total number of the qualified tracks in the east(Au) side of TFPC, to define STAR’s centrality intervals, avoiding the particle autocorrelation in the
Figure 3.1: Vertex-Z distribution for three data sets (dAuMinBias, dAuCombined, UPCCombined in full field and reversed full fields) containing minimum bias events. The events in shadowed areas with $|\text{vertex} - z| < 50$ are used for our analysis.
TPC. These tracks must be from minimum bias events with primary vertex found. The qualified tracks for the centrality definition are the charged primary tracks with the following requirements:

- fit points $\geq 5$ ($\geq 6$ included Primary Vertex)
- $-3.8 < \eta$ (pseudo-rapidity) $\leq -2.8$
- $p_T < 3$ GeV/c
- a distance of closest approach (DCA) to the primary vertex $< 3$ cm

![Figure 3.2](image)

**Figure 3.2**: The minimum-bias primary charged track multiplicity ($N_{ch}$) distribution measured in the east side (Au) of FTPC with $-3.8 \leq \eta < -2.8$. The three centrality intervals are defined: $N_{ch} \geq 17$, $10 \leq N_{ch} < 17$ and $0 \leq N_{ch} < 10$ corresponding to 0-20%, 20-40% and 40-100% of the total cross section, respectively.

According to the uncorrected FTPC reference multiplicity ($N_{ch}$), we have three centrality intervals: $N_{ch} \geq 17$, $10 \leq N_{ch} < 17$ and $0 \leq N_{ch} < 10$
corresponding to 0-20%, 20-40% and 40-100% of the total cross section for d+Au collisions, respectively as shown in Figure 3.2.

### Table 3.2: The average uncorrected FTPC reference multiplicity ($\langle N_{ch} \rangle$), the average number of participating nucleons ($\langle N_{part} \rangle$) and of binary collisions ($\langle N_{binary} \rangle$) for three centralities and minimum bias taken from HIJING.

<table>
<thead>
<tr>
<th>Centrality Bin</th>
<th>Uncorr. FTPC RefMult</th>
<th>Uncorr. $\langle N_{ch} \rangle$</th>
<th>$\langle N_{binary} \rangle$</th>
<th>$\langle N_{part} \rangle$</th>
</tr>
</thead>
<tbody>
<tr>
<td>mini-bias</td>
<td>RefMult $\geq$ 0</td>
<td>10.2</td>
<td>7.5±0.4</td>
<td>8.0</td>
</tr>
<tr>
<td>0-20%</td>
<td>RefMult $\geq$ 17</td>
<td>17.58</td>
<td>15.0±1.1</td>
<td>14.5</td>
</tr>
<tr>
<td>20-40%</td>
<td>10 $\leq$ RefMult $&lt; 17$</td>
<td>12.55</td>
<td>10.2±1.0</td>
<td>10.8</td>
</tr>
<tr>
<td>40-100%</td>
<td>0 $\leq$ RefMult $&lt; 10$</td>
<td>6.17</td>
<td>4.0^{+0.8}_{-0.3}</td>
<td>5.1</td>
</tr>
</tbody>
</table>

In nuclear collisions, each collision may involve many participating nucleons and many binary(nucleon-nucleon) collisions. These numbers reflect the collision properties and are closely related to the centralities and often used as normalization factors when comparing nuclear effects among different systems. Table 3.2 lists the average uncorrected FTPC reference multiplicity ($\langle N_{ch} \rangle$), the average number of participated nucleus ($\langle N_{part} \rangle$) and binary collisions ($\langle N_{binary} \rangle$) for three centralities and minimum bias taken from HIJING simulation calculation for d+Au collisions.

### Table 3.3: Uncorrected and vertex-efficiency-corrected event numbers for three centralities and minimum bias in d+Au collisions.

<table>
<thead>
<tr>
<th>Centrality Bin</th>
<th>Events (uncorrected)</th>
<th>Events (corrected)</th>
</tr>
</thead>
<tbody>
<tr>
<td>mini-bias</td>
<td>9853182</td>
<td>10594819</td>
</tr>
<tr>
<td>0-20%</td>
<td>1910593</td>
<td>1910593</td>
</tr>
<tr>
<td>20-40%</td>
<td>2235216</td>
<td>2235216</td>
</tr>
<tr>
<td>40-100%</td>
<td>5707373</td>
<td>6485651</td>
</tr>
</tbody>
</table>

In our analysis, we have the event numbers for the three centrality bins and for the minimum bias trigger listed in Table 3.3. Uncorrected event numbers refer to events with found vertex, while corrected event numbers refer to all events irrespective of whether the vertex is found or not. This will be discussed in more
detail in Section 3.12.

3.3 Track Selection

There are two sets of tracks available for the analysis in the STAR. Primary tracks requiring a distance of closest approach (DCA) to the primary vertex less than 3 cm are usually used for identification of particles originating at or very close to the primary vertex. Global tracks that include all the TPC tracks are mainly used to reconstruct particles that decay at some point in space away from the primary vertex. We use global tracks in our analysis to reconstruct $K^0_S$, $\Lambda$, $\Xi^-$ and their anti-particles by taking advantage of their large decay length. Tracks in the TPC are formed by fitting the measured hit points. The number of hit points for each track ranges from 8 to 45. We required at least 16 hit points for a track of good quality and discarded short tracks that might be split from a track with a large number of hit points. Due to the acceptance of the TPC detector, very low momentum tracks can not be detected. The tracks with transverse momentum less than 0.077 GeV/c were thrown away.

<table>
<thead>
<tr>
<th>Track Selection</th>
</tr>
</thead>
<tbody>
<tr>
<td>Number of hits</td>
</tr>
<tr>
<td>Momentum (GeV/c)</td>
</tr>
<tr>
<td>$n\sigma_\pi$ in $K^0_S$</td>
</tr>
<tr>
<td>$n\sigma_\pi$ in $\Lambda(\bar{\Lambda})$</td>
</tr>
<tr>
<td>$n\sigma_p$ in $\Lambda(\bar{\Lambda})$</td>
</tr>
<tr>
<td>$n\sigma_\pi$ (second pion) in $\Xi^- (\Xi^+)$</td>
</tr>
</tbody>
</table>

Table 3.4: Track selection criteria for reconstructing $K^0_S$, $\Lambda$, $\Xi^-$ and their anti-particles in d+Au collisions at $\sqrt{s_{NN}} = 200$ GeV at RHIC STAR. The more effective topology cuts will be applied to reconstruct these particles.

To reduce the background for these reconstructed particles, the $n\sigma$ criterion (a parameter describing how far the measured $dE/dx$ deviates from the theoretical
value of a known particle) cuts on their daughter tracks is used. Because of the good quality of the $dE/dx$ calibration for $04f$ d+Au production, a 4 nσ cut is enough to ensure not losing possible signals. Table 3.4 lists the selection criteria for tracks in the analysis. We also tried other $dE/dx$ cuts to see the corresponding yield changes and to estimate the systematic errors. This will be discussed in the next chapter.

3.4 $K_S^0$ and $Λ(\bar{Λ})$ Reconstruction

We identify these V0 particles, $K_S^0$, $Λ$ and $\bar{Λ}$, from their charged daughter tracks that were identified by $dE/dx$ measurements. The weak decay channels used in our analysis and their corresponding branching ratios are listed in Table 3.5

<table>
<thead>
<tr>
<th>Particles</th>
<th>Decay Channel</th>
<th>Branching ratio</th>
</tr>
</thead>
<tbody>
<tr>
<td>$K_S^0$</td>
<td>$π^+π^-$</td>
<td>68.61%</td>
</tr>
<tr>
<td>$Λ$</td>
<td>$pπ^-$</td>
<td>63.9%</td>
</tr>
<tr>
<td>$\bar{Λ}$</td>
<td>$\bar{p}π^+$</td>
<td>63.9%</td>
</tr>
</tbody>
</table>

Table 3.5: Weak Decay Mode for V0 particles.

The fact that V0 particles decay at some point away from the primary vertex allows us to build the signals by applying appropriate topology cuts. Figure 3.3 shows a V0 decay topology projected onto the X-Y plane. The trajectory of the charged daughter tracks, P+ (positive) or P- (negative), is a helix, that is, a circle in the X-Y plane plus a constant velocity in the Z direction.

To find V0s, we first need to calculate the distance of closest approach (DCA) between two daughter tracks (DCA$_P P^+$). Theoretically DCA$_P P^+$ should be zero if two daughter particles are decayed from the V0. Practically a distance tolerance is, however, allowed due to the track position resolution. We allow this DCA to be less than 1 cm. The detailed math derivation for calculating
Figure 3.3: The V0 particle (Λ or $K^0_S$) decay topology in X-Y plane. P+ refers to a positive particle and P- a negative particle. The solid part of a line is detectable by the TPC anode sectors which is 60 cm away from the beam line. The dash parts of the lines are either extrapolated from the solid part (as P+ and P-) or reconstructed from daughter tracks (as V0)
DCA\textsubscript{P+,P-} was published in Hui Long’s thesis [Lon02].

Similarly, a tolerance is applied to the DCA between the V0 and the primary vertex (DCA\textsubscript{V0,PV}) even for a V0 coming from the primary vertex. All the $K^0_S$ particles are assumed to have been produced at the primary vertex, so we set the limit for $K^0_S$ DCA\textsubscript{V0,PV} as 1 cm. However, some of $\Lambda$s($\bar{\Lambda}$s) can be produced at the secondary vertex via the $\Xi(\bar{\Xi})$ weak decay channel, which forces us to use a larger DCA\textsubscript{V0,PV} cut. In the analysis, we set the limit as 5 cm for the inclusive $\Lambda$s($\bar{\Lambda}$s).

The other two DCAs, DCA of P+ to primary vertex (DCA\textsubscript{P+,PV}) and DCA of P- to primary vertex (DCA\textsubscript{P-,PV}), can be used to reduce the background efficiently by cutting away a large portion of primary tracks which have small DCA\textsubscript{P+,PV} or DCA\textsubscript{P-,PV}. For the V0 reconstruction in Au+Au collisions, these two DCAs have to be applied to build the acceptable signals. However, it turns out that they are not necessary in d+Au analysis due to much smaller combinatorial background. Compared with 5000 tracks produced in some central Au+Au events, the maximum number of tracks produced in d+Au events is only about 500.

The momentum direction of the V0 is determined by adding up the momenta of track P+ and track P- at the decay vertex. The decay length is calculated as the distance between the decay vertex and the primary vertex.

Table 3.6 lists the topology cuts used for the spectra and $R_{CP}$ analysis. These topology cuts are applied to maximize the signal-to-background ratio in the invariant mass plots. If a V0 candidate passes these cuts, its invariant mass($m$) is calculated assuming hypothetic mass values for daughter tracks:

$$m = \sqrt{\sqrt{m^2_+ + P^2_+} + \sqrt{m^2_- + P^2_-} + P^2} - P^2,$$

(3.1)
where $m_+ (m_-)$ is the mass of the positive(negative) track, $P_+$, $P_-$ and $P$ are
the momenta of the positive daughter track, the negative daughter track and the
$V0$ at the decay vertex, respectively. For example, $m_+$ is the proton mass and
$m_-$ is the pion mass for the $\Lambda$ reconstruction.

$$m_+ + (m_+ - m_-)$$

<table>
<thead>
<tr>
<th>Topology Cuts for $K^0_S$</th>
<th>( &lt; 3.5 )</th>
<th>( &gt; = 3.5 )</th>
</tr>
</thead>
<tbody>
<tr>
<td>( K^0_S p_T ) (GeV/c)</td>
<td>( &gt; = 0.5 )</td>
<td>( &gt; = 0.5 )</td>
</tr>
<tr>
<td>DCA of $\pi^+$ to primary vertex (cm)</td>
<td>( &lt;= 1.0 )</td>
<td>( &lt;= 1.0 )</td>
</tr>
<tr>
<td>DCA of $\pi^-$ to primary vertex (cm)</td>
<td>( &lt;= 1.5 )</td>
<td>( &lt;= 1.5 )</td>
</tr>
<tr>
<td>Decay Length (cm)</td>
<td>( &gt;= 2.0 )</td>
<td>( &gt; = 5.0 )</td>
</tr>
</tbody>
</table>

<table>
<thead>
<tr>
<th>Topology Cuts for $\Lambda$</th>
<th>( &lt; 2.0 )</th>
<th>( &gt; = 2.0 )</th>
</tr>
</thead>
<tbody>
<tr>
<td>( \Lambda p_T ) (GeV/c)</td>
<td>( &gt; = 0.5 )</td>
<td>( &gt; = 0.5 )</td>
</tr>
<tr>
<td>DCA of proton to primary vertex (cm)</td>
<td>( &lt;= 1.0 )</td>
<td>( &lt;= 1.0 )</td>
</tr>
<tr>
<td>DCA of $\pi^-$ to primary vertex (cm)</td>
<td>( &lt;= 5.0 )</td>
<td>( &lt;= 5.0 )</td>
</tr>
<tr>
<td>Decay Length (cm)</td>
<td>( &gt;= 3.0 )</td>
<td>( &gt; = 6.0 )</td>
</tr>
</tbody>
</table>

Table 3.6: Topology Cuts for $K^0_S$ and $\Lambda+\bar{\Lambda}$ final spectra and $R_{CP}$. The cuts used ensure a high signal-to-background ratio in the invariant mass plots. The same cuts are used for $\bar{\Lambda}$.

### 3.5 $K^0_S$ and $\Lambda+\bar{\Lambda}$ Invariant Mass Distributions

The $K^0_S$, $\Lambda$ and $\bar{\Lambda}$ particles are not identified on a particle-by-particle basis. There is a large background from random combinations of unidentified daughter particles or of uncorrelated identified daughter particles. However, the yield can be estimated by counting the candidates within a mass window around the center of the signal peak above a fitted background line. A possible way [Lon02, Kop74] to determine the background is to rotate all positive tracks 180 degrees in the azimuthal plane with respect to the primary vertex. This procedure destroys all
the secondary vertices and only combinatorial background is reconstructed for the invariant mass distribution. This method has been successfully used on the V0 analysis for the Au+Au collisions [Lon02, Sor03]. For our d+Au analysis, the signal-to-background ratio is large enough even without a rotated background subtraction. We use a combination of a Gaussian function and a second order polynomial function to fit the invariant mass distribution for each $p_T$ interval. To increase the statistics, we combine the $\Lambda$ and $\bar{\Lambda}$ yields. Figure 3.4 shows the $K^0_S$ invariant mass distributions in six selected $p_T$ bins at mid-rapidity ($|y| < 1.0$) for the minimum bias events, and Figure 3.5 shows similar $\Lambda+\bar{\Lambda}$ invariant mass distributions.

### 3.6 Background Study

The weak decay topology cuts used in the analysis reduce the background drastically since many particles produced at the primary vertex are cut away by applying the decay length and daughter track DCA cuts. However, backgrounds from other sources still need to be understood.

In d+Au collisions STAR TOF measurements [Ada05b] have shown that the proton($p$) yield is about 10% of the $\pi$ yield at low $p_T(< 1$ GeV/c) and the yield ratio of $p/\pi$ increases with increasing $p_T$ and is close to one at $p_T \simeq 3.0$ GeV/c [Ada05b]. For the low $p_T$ $K^0_S$, a large amount of the background comes from the combinatorial contribution of uncorrelated $\pi^+\pi^-$ pairs since we use the $dE/dx$ cuts($|n\sigma_\pi| < 4$) that ensures most of daughter tracks used are pions. Similarly for the low $p_T$ $\Lambda(\bar{\Lambda})$, most of the background comes from the uncorrelated $p\pi^-$ pairs.
Figure 3.4: Invariant mass distributions of $K^0_S$ candidates at mid-rapidity ($|y| < 1.0$) from the minimum bias d+Au collisions. The selected $p_T$ bins are (0.4-0.6), (0.8-1.0), (1.2-1.4), (1.6-1.8), (2.5-3.0) and (4.5-6.0) GeV/c. The fitting function is a gaussian function plus a 2nd order polynomial function(solid lines). The arrow lines confine the mass range for the yield extraction.
Figure 3.5: Invariant mass distributions of $\Lambda + \bar{\Lambda}$ candidates at mid-rapidity ($|y| < 1.0$) from the minimum bias d+Au collisions. The selected $p_T$ bins are (0.4-0.6), (0.8-1.0), (1.2-1.4), (1.6-1.8), (2.5-3.0) and (4.5-6.0) GeV/c. The fitting function is a gaussian function plus a 2nd order polynomial function (solid lines). The arrow lines confine the mass range for the yield extraction.
Figure 3.6: The reconstructed Monte-Carlo $\Lambda(p\pi^-)$ invariant mass (left panel) in $1.0 < p_T < 4.0$. The corresponding invariant mass distribution by replacing proton($p$) in $\Lambda$ with $\pi^+$ (right panel). Only a small range of mass window around $K^0_S$ mass value is shown. The arrow points to the $K^0_S$ mass value.

Protons and pions are not distinguished from each other in the TPC for $p > 1$ GeV/c above which proton yields are comparable with pion yields. Hence, for the high $p_T$ $K^0_S$, except the combinatorial background, misidentification of protons as pions from $\Lambda \rightarrow p\pi$ decays would contribute to the background. A simulation study has been performed to see the effect of daughter track misidentification. The left panel in Figure 3.6 presents the Monte-Carlo $\Lambda$s reconstructed from the decay channel $\Lambda \rightarrow p\pi$ at $1.0 < p_T < 4.0$ GeV/c. The right panel presents the invariant mass distribution with a proton in the $\Lambda$ replaced with a $\pi^+$. The $\pi^+\pi^-$ invariant mass moves toward the lower mass value region with respect to the $K^0_S$ mass due to the smaller Q-value (the mass difference between a particle and the total of its daughter particles) of the $\Lambda$ decay than the $K^0_S$ decay. Similarly, to understand the $\Lambda$ background we have calculated the invariant mass by replacing a $\pi^+$ in the $K^0_S$ with a proton as shown in Figure 3.7. The $p\pi^-$ invariant mass moves toward to the higher mass value. From this simulation study, we conclude
misidentification of the daughter track would not produce false $K^0_S$ and $\Lambda(\bar{\Lambda})$ peaks.

Figure 3.7: The reconstructed Monte-Carlo $K^0_S(\pi^+\pi^-)$ invariant mass(left panel) in $1.0 < p_T < 4.0$. The corresponding invariant mass distribution by replacing $\pi^+$ in $K^0_S$ with proton($p$)(right panel). Only a small range of mass window around $\Lambda$ mass value is shown. The arrow points to the $\Lambda$ mass value.

3.7 Mass width and shift

We studied the mass width and shift from the invariant mass plots as a function of $p_T$. Because the track momentum resolution becomes worse as the increasing $p_T$, the half width of the mass peak (the parameter $\sigma$ in Gaussian function) for $K^0_S$ increases from 6 MeV/$c^2$ at low $p_T$ to 12 MeV/$c^2$ at high $p_T$. The half width for $\Lambda+\bar{\Lambda}$ ranges from 2 MeV/$c^2$ to 3 MeV/$c^2$ as shown in Figure 3.8.

In addition, the mass corresponding to the peak of the Gaussian function has a shift away from the PDG(Particle Data Group) mass value [Eid04] as shown in Figure 3.9. While this mass shift might partially arise from the interaction between the outgoing particles from collisions and the materials close to the beam
Figure 3.8: The half width of invariant mass peak for $K^0_S$ and $\Lambda+\bar{\Lambda}$. This half width, $\sigma$, is a parameter of the Gaussian fit function.

line in the detector, the comprehensive understanding remains unknown. Both the mass peak width and the mass shift are little dependent of the centralities in d+Au collisions.

3.8 Raw spectra of $K^0_S$ and $\Lambda+\bar{\Lambda}$

The raw yield can be calculated by integrating the Gaussian fit function. However, this method always under-estimates the signal under the mass peak, we use direct bin counting for the raw yield estimation. We sum the counts over all the mass bins within a mass window, $\pm 3 \sim 4\sigma$ away from the center of the peak, then subtract the counts under the background fit line within the same mass window. Finally, the summed counts are normalized to the number of events in a specific centrality.
Figure 3.9: The fitted mass for $K^0_S$ and $\Lambda+\bar{\Lambda}$ in d+Au collisions. The mass value is taken as a parameter of the Gaussian fit function. The dashed lines are the mass values for $K^0_S$ and $\Lambda$ from PDG [GG00].

Fig. 3.10 shows the raw $p_T$ spectra for $K^0_S$ and $\Lambda+\bar{\Lambda}$ in three centrality bins (central: 0-20%, middle: 20-40% and peripheral: 40-100%) and minimum bias events (0-100%). Due to the limit of the statistics and the detector acceptance, the measured $p_T$ range is within 0.4-6.0 GeV/c that consists of 13 $p_T$ intervals and the rapidity covers $|y| < 1$.

3.9 $\Xi^-(\Xi^+)$ Reconstruction

<table>
<thead>
<tr>
<th>Particles</th>
<th>Decay Channel</th>
<th>Branching ratio</th>
</tr>
</thead>
<tbody>
<tr>
<td>$\Xi^-$</td>
<td>$\Lambda\pi^-$</td>
<td>99.89%</td>
</tr>
<tr>
<td>$\Xi^+$</td>
<td>$\bar{\Lambda}\pi^+$</td>
<td>99.89%</td>
</tr>
</tbody>
</table>

Table 3.7: Weak Decay Mode for V0 particles.

$\Xi^-$ and $\Xi^+$ are reconstructed from their weak decay channels(Table 3.7). The daughter particle $\Lambda$ or $\bar{\Lambda}$ is identified via the method described in the previous
Figure 3.10: The raw spectra of $K^0_S$ and $\Lambda+\bar{\Lambda}$ in three centrality bins and minimum bias data. The $p_T$ covers 0.4-6.0 GeV/c and the rapidity $|y| < 1$. 
sections. The sketch of the $\Xi^-$ decay topology is illustrated in Fig. 3.11. Its
anti-particle $\Xi^+$ has the similar decay topology simply by replacing $\Lambda$ with $\bar{\Lambda}$ and
$\pi^-$ with $\pi^+$. The quality and quantity of $\Lambda$ candidates are two essential factors in recon-
structing $\Xi$ candidates. As $\Lambda$ is not identified on a particle-by-particle basis, there
is always a portion of the background entering in reconstructing the $\Xi^-$ candid-
dates as seen in $\Lambda$ invariant mass distributions(Fig. 3.5). Getting the largest
signal-to-background(S/B) ratio of $\Lambda$ reduces the $\Xi^-$ background but also the
statistics. To get more $\Xi^-$ candidates, it is not necessary to optimize the $\Lambda$
S/B ratio since the combinatorial background in d+Au collisions is much smaller
than in Au+Au collisions. Therefore, we adjust the cuts to enhance the signal-
to-background ratio while ensuring a minimum signal loss.

<table>
<thead>
<tr>
<th>Topology Cuts for $\Xi^-$</th>
<th>$\Xi^-$ $p_T$ (GeV/c)</th>
<th>$2.2 &lt; p_T &lt;= 4$</th>
<th>$&gt; 4$</th>
</tr>
</thead>
<tbody>
<tr>
<td>DCA of proton to PV (in $\Lambda$) (cm)</td>
<td>$&gt;= 0$</td>
<td>$&gt;= 0$</td>
<td>$&gt;= 0$</td>
</tr>
<tr>
<td>DCA of $\pi^-$ to PV (in $\Lambda$) (cm)</td>
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<td>$&gt;= 0$</td>
<td>$&gt;= 0$</td>
</tr>
<tr>
<td>DCA of $\pi^-$ to PV (not in $\Lambda$) (cm)</td>
<td>$&gt;= 0.7$</td>
<td>$&gt;= 0$</td>
<td>$&gt;= 0$</td>
</tr>
<tr>
<td>DCA of $\Xi^-$ to PV (cm) 2D</td>
<td>$&lt;= 1.0$</td>
<td>$&lt;= 1.0$</td>
<td>$&lt;= 1.0$</td>
</tr>
<tr>
<td>DCA of $\Lambda$ to $\pi^-$ (cm)</td>
<td>$&lt;= 0.7$</td>
<td>$&lt;= 0.7$</td>
<td>$&lt;= 0.7$</td>
</tr>
<tr>
<td>$\Xi^-$ Decay Length (cm) 2D</td>
<td>$&gt;= 1.0$</td>
<td>$&gt;= 1.2$</td>
<td>$&gt;= 0$</td>
</tr>
<tr>
<td>$\Lambda$ Decay Length (cm)</td>
<td>$&gt;= 3.0$</td>
<td>$&gt;= 3.0$</td>
<td>$&gt;= 3.0$</td>
</tr>
</tbody>
</table>

Table 3.8: $\Xi^-$ Topology Cuts for the final spectra and $R_{CP}$. Some of variables use 2
dimensional values denoted as 2D. PV means the primary vertex. The same topology
cuts are used for $\Xi^+$. In the topology cuts for $\Xi^-$ and $\Xi^+$ (Table. 3.8), we use the 2 dimensional
$\Xi^-$ decay length and DCA of $\Xi^-$ to primary vertex projected to the X-Y plane
instead of their 3 dimensional values to minimize the large position uncertainty
in $z$ axis for $\Xi^-$ candidates.

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Figure 3.11: The $\Xi^-$ decay topology in X-Y plane. The solid parts of lines are directly detected via the track $dE/dx$ approach in the TPC. The dashed parts of the lines are either extrapolated from the solid part, like charged particles ($p, \pi^+$) or reconstructed from daughter tracks, like $\Lambda$ and $\Xi^-$. No information for $p$ and $\pi^-(in \Lambda)$ are given since $\Lambda$ has been reconstructed.
3.10 \( \Xi^- + \Xi^+ \) Invariant Mass Distributions

Figure 3.13 shows the invariant mass distributions of \( \Xi^- + \Xi^+ \) for eight \( p_T \) bins at mid-rapidity (\(|y| < 1.0\)) spanning a \( p_T \) range from 0.60 to 5.0 GeV/c. Again due to the small background, we do not use the rotating method and a Gaussian function plus a 2nd order polynomial function works well to fit the mass peak and the background. As shown in Figure 3.12, the half width of mass peaks(\( \sigma \)) in the Gaussian function ranges from 3 MeV/c at low \( p_T \) to 4.2 MeV/c at high \( p_T \). The fitted mass values of \( \Xi^- + \Xi^+ \) shift toward the lower mass region at low \( p_T \) and the higher at high \( p_T \). The shifted amount for each \( p_T \) bin is slightly larger than that in \( \Lambda + \bar{\Lambda} \) but is quite smaller than that in \( K^0_S \).

![Graph showing invariant mass distributions](image)

**Figure 3.12:** The half width of invariant mass peak(\( \sigma \) in the Gaussian fit function) and the fitted mass(another parameter in the Gaussian fit function) for \( \Xi^- + \Xi^+ \). The dashed line in the left panel is the \( \Xi \) mass value from PDG [GG00].
Figure 3.13: Invariant mass distributions of $\Xi^+ + \Xi^-$ candidates at mid-rapidity ($|y| < 1.0$) from the minimum bias $d+Au$ collisions. The $p_T$ bins are (0.6-1.0), (1.0-1.4), (1.4-1.8), (1.8-2.2), (2.2-2.6), (2.6-3.2), (3.2-4.0) and (4.0-5.0) GeV/c. The fitting function is a Gaussian function plus a 2nd order polynomial function (solid lines). The arrow lines confine the mass range for the yield extraction.
3.11 Raw spectra of $\Xi^- + \Xi^+$

The raw yield of $\Xi^- + \Xi^+$ takes only $\sim 2 - 3\%$ of the $\Lambda + \bar{\Lambda}$ raw yield under our cuts, making the measured $p_T$ range for $\Xi^- + \Xi^+$ narrower in $0.6 < p_T < 5.0$ containing 8 $p_T$ intervals. Figure 3.14 shows the raw spectra of $\Xi^- + \Xi^+$ in three centralities and minimum bias events at mid-rapidity $|y| < 1$.

![Raw spectra of $\Xi^- + \Xi^+$](image)

**Figure 3.14:** The raw spectra of $\Xi^- + \Xi^+$ in three centrality bins and minimum bias data. The $p_T$ covers 0.6-5.0 GeV/c and the rapidity $|y| < 1$.

From the raw spectra of $K^0_S$, $\Lambda + \bar{\Lambda}$ (Fig. 3.10) and $\Xi^- + \Xi^+$, we see that the raw yield of $K^0_S$ reaches its maximum in the $p_T$ bin of 0.4-0.6 GeV/c, $\Lambda + \bar{\Lambda}$ in 0.8-1.0 GeV/c and $\Xi^- + \Xi^+$ in 1.0-1.4 GeV/c. This observation may not lead to any conclusion as the raw yield depends on the cuts. However, considering the same cuts were used for each $p_T$ bin at $p_T < 2.0$ GeV/c, it appears that the $p_T$ region for the largest raw yield of a particle measured in the TPC is proportional...
to the particle mass. This provides a hint of which $p_T$ region should be looked for in the first priority when searching for a new particle. This naive claim is also supported by the $\phi$ meson measurement (see [Yam01]).

3.12 Efficiency Corrections

The raw yield only takes a fraction of the total yield for a particle created in collisions. The total yield can not be measured directly due to various factors: the detector acceptance, response, tracking efficiency, reconstruction efficiency and vertex finding efficiency. For example, particles with larger rapidity ($|y| > 2$) are not detected by the STAR TPC and some tracks may not well reconstructed if some hits are missing. It is the total yield not the raw yield which has the main physics content, being directly related to the collision dynamics. Therefore, to compare the physics results among different collision systems, the raw yield needs to be corrected.

Simulation programs were used to correct the raw yields [Lon02, Yam01]. Simulated V0 or $\Xi(\bar{\Xi})$ particles were embedded into real data and were forced to decay by GEANT 100% according to the desired decay channels. For $d+Au$ simulations, one Monte-Carlo (MC) particle was embedded into each event and these particles have a flat rapidity distribution and an $m_t$ distribution with an inverse slope of 350 MeV. Different numbers of events are used in three $p_T$ regions to ensure sufficient MC particles for analysis at high $p_T$.

The combination of real and simulated MC data were then passed though the reconstruction chain. After that the reconstructed tracks and vertices associated with the MC tracks and vertices were generated. For each $p_t$ and rapidity bin, the efficiency is defined as the number of reconstructed vertices (particles) divided by
the number of input MC vertices (particles) in this bin. The final efficiency should also include the branching ratio that is not put into the simulation procedure. The event and track selection criteria and the topology cuts used on the efficiency estimates must be the same as those used on the raw yield calculations. Although the simulation program was designed to mimic the real detection environments, some information were still missing. For example, there is no $dE/dx$ information for MC tracks and the DCA distributions for MC tracks and real tracks may be different due to the distortion or charge space effects in the detector. These factors are considered to be systematic uncertainties of the corrected spectra and will be discussed in the next chapter.

Figure 3.15: The correction factors for $K^0_S$, $\Lambda$ and $\Xi^-$ based on the topology cuts listed in Table 3.6 and 3.8. The discontinuities in the efficiency at $p_T = 3.5$ for $K^0_S$, $p_T = 2.0$ for $\Lambda$ and $p_T = 2.2$ for $\Xi^-$ reflect changes of cuts (see Table 3.6 and 3.8). The errors are statistical only.

Figure 3.15 shows the correction factor for $K^0_S$, $\Lambda$ and $\Xi^-$ as a function of transverse momentum ($p_T$) in $|y| < 1.0$ for three centrality intervals and minimum bias events. The correction factors shown here include the detector acceptance,
tracking and reconstruction efficiencies as well as decay branching ratios. For d+Au collisions the values for the efficiency corrections are little dependent on centralities. This is quite different from Au+Au collisions where the efficiencies drop from peripheral collisions to more central collisions. This difference arises from the fact that the multiplicity difference for various centralities in d+Au collision is much smaller than that in Au+Au collisions. The \( \overline{\Lambda} \) efficiency is close to \( \Lambda \)'s except the lowest \( p_T \) bin where the \( \overline{\Lambda} \) efficiency is low by 5%. This can be explained by the anti-proton absorption at low \( p_T \). Due to the limited statistics for the embedded data, we use the minimum bias efficiencies to calculate the corrected spectra since the centrality difference and the anti-proton absorption effect are negligible compared to the statistical errors.

A vertex efficiency correction is necessary since the primary vertex may not be reconstructed successfully for low multiplicity events. From the study on embedded HIJING events, the vertex efficiency increases quickly with increasing multiplicity in a event and over 80% of the events missing vertex have fewer than three tracks in the TPC [Ada04]. These vertex missing events discarded in our analysis should be counted as the minimum bias events since they contribute to the total hadronic cross section. However, they have little chance to produce particles like \( K_S^0 \) and \( \Lambda(\overline{\Lambda}) \) that require at least two global tracks, and even less chance for \( \Xi^- (\Xi^+) \). Thus we only correct the number of events(see Table 3.3) that is a normalization factor in the spectra, but not the yields of the measured particles for these events missing a vertex. The overall vertex efficiency is 0.88 for the most peripheral bin(40-100%), 0.93 for minimum bias events and 1 for the central and middle centrality bins(0-20% and 20-40%).
CHAPTER 4

Systematic Uncertainties

The errors for the raw spectra and the efficiency calculation shown in the previous chapter are statistical errors which only depend on the statistics of analyzed data set. In the measurement of a particle yield, the statistical error is simply proportional to $\sqrt{N}$ where $N$ is the particle number measured.

Systematic uncertainties, another more complicated error in the analysis, arise from various sources: time-varying experimental running status, detector responses that cannot be corrected by simulations or mismatch between the real and simulation environments, analysis methods and cuts used, etc. It is not realistic to analyze all possible systematic uncertainties as some of them are unknown to us.

In this chapter we only present the estimates of systematic uncertainties from some of sources. The overall systematic uncertainty, however, is not obtained simply by adding these uncertainties up because of their possible correlations, but by randomly changing several cut parameters (most are topology cut parameters). Only minimum bias events are used for this analysis as we assume a weak dependence on centrality for the systematic uncertainties.
4.1 FF and RFF Data Sets

To check for the possible systematic deviations from the detector configurations, the d+Au experiment was designed to be run in two opposite magnetic field directions with a magnitude of 0.5 Tesla at STAR. These two data sets are labelled as FullField(FF) and Reversed FullField(RFF) data sets. Table 4.1 shows the relative uncertainties from FF and RFF data sets from raw yield calculations. The Monte-Carlo simulation results are assumed to be identical. Overall, this $p_T$ bin-by-bin uncertainty ranges from 0 to 8% for the measured three types of particles. Some unexpected large numbers probably come from the limited statistics.

4.2 $dE/dx$ cuts in raw yields

Not all the effects can be corrected via the Monte-Carlo(MC) simulation. MC tracks in embedded data do not carry $dE/dx$ information as they are identified definitely by GeantID(a number labelling a MC particle). For the real data, raw yields change with the $dE/dx$ $n\sigma$ cuts. Ideally, when real tracks are selected within $|n\sigma| < 3$, the raw yield would not be cut away. However, due to the $dE/dx$ calibration deviation the raw yield would not be saturated even using a looser $n\sigma$ cut. We compared the raw yields of $K_S^0$ and $\Lambda + \bar{\Lambda}$ with selecting daughter tracks $|n\sigma| < 3$ and $|n\sigma| < 4$. Most relative errors from these two selection criteria are less than 1% as shown in Table 4.1. This tells us that the track $dE/dx$ measurement was well calibrated for this d+Au production. For example, Figure 4.1 shows the $dE/dx$ bands of protons and pions in $\Lambda$ candidates with the daughter track cuts of $|n\sigma| < 3$ and $|n\sigma| < 4$. The $\Lambda$ candidates are selected in the invariant mass window within 1.108-1.122 GeV/$c^2$. The lines centered in the
\[
\begin{array}{cccccc}
\hline
p_T \text{ (GeV/c)} & \text{Ks FF-RFF} & \Lambda \text{ FF-RFF} & \text{Ks } dE/dx & \Lambda \text{ } dE/dx \\
\hline
0.4 - 0.6 & 4.2\% & -1.1\% & 0.6\% & 0.4\% \\
0.6 - 0.8 & 2.6\% & 0.9\% & 0.6\% & 0.9\% \\
0.8 - 1.0 & 2.3\% & 2.2\% & 0.8\% & 0.8\% \\
1.0 - 1.2 & 1.6\% & 1.9\% & 0.8\% & 0.7\% \\
1.2 - 1.4 & 2.1\% & 3.5\% & 0.5\% & 0.5\% \\
1.4 - 1.6 & 3.1\% & 3.3\% & 0.5\% & 0.5\% \\
1.6 - 1.8 & 1.9\% & 6.7\% & 0.4\% & -0.2\% \\
1.8 - 2.0 & 2.6\% & 3.8\% & 0.4\% & 0.8\% \\
2.0 - 2.5 & 2.7\% & -0.1\% & 0.6\% & 0.6\% \\
2.5 - 3.0 & 2.7\% & 1.5\% & 0.4\% & 0.8\% \\
3.0 - 3.5 & 0.8\% & -1.7\% & -0.1\% & 0.4\% \\
3.5 - 4.5 & 3.3\% & 6.7\% & 0.02\% & 0.02\% \\
4.5 - 6.0 & -3\% & 4.2\% & -2.2\% & 1.2\% \\
\hline
\end{array}
\]

\[
\begin{array}{cccc}
\hline
p_T \text{ (GeV/c)} & \Xi \text{ FF-RFF} \\
\hline
0.6 - 1.0 & 7.7\% \\
1.0 - 1.4 & -5.3\% \\
1.4 - 1.8 & -0.13\% \\
1.8 - 2.2 & 1.8\% \\
2.2 - 2.6 & -4.8\% \\
2.6 - 3.2 & -6.8\% \\
3.2 - 4.0 & -2.6\% \\
4.0 - 5.0 & 19.2\% \\
\hline
\end{array}
\]

**Table 4.1:** The relative systematic errors from two magnetic field settings, Full-Field(FF) and Reversed FullField(RFF) and the different \(dE/dx\) cuts. The FF-RFF means the difference of the raw yields between the FF data set and the RFF data set. The \(dE/dx\) errors are the difference of the raw yields between two daughter track \(n\sigma\) cut sets, one \(|n\sigma| < 3\) and another \(|n\sigma| < 4\).
bands represent tracks with |nσ| < 0.2.

**Figure 4.1:** The dE/dx bands of protons and pions in Λ candidates. The left panel shows the proton and pion bands with |nσ| < 3. The right panel shows the proton and pion bands with |nσ| < 4. The lines centered in the bands correspond to a |nσ| < 0.2 cut. The p_T range for Λ candidates is 0.4 – 2.0 GeV/c.

### 4.3 Vertex-Z Effect

The positions of primary vertices in d+Au collisions can spread over a very wide area along the beam direction (Z axis) from -100 cm to +100 cm around the center of the TPC 3.1. The minimum bias triggering requires a vertex-z cut |VertexZ| < 50cm. Since the anode sectors recording the ionized tracks are 210 cm away from the center of the TPC, the particle production would not depend on the vertex-z cut in an ideal condition. However, as shown in Table 4.2, the measurements on real events show an apparent increase in raw yields as the vertex-z cut becomes tighter from |VertexZ| < 50 cm to |VertexZ| < 25 cm. This indicates that the particles produced away from the center of the TPC are easier to be ‘absorbed’, which is most likely caused by the interference of the supporting materials close to the edges of SVT right outside the beam line. The
efficiency calculations for $K_0^S$ and $\Lambda$ from various $p_T$ bins do not show a consistent increase when the VertexZ cut becomes tighter. For the $\Xi$ efficiency, the difference between these two sets of VertexZ cuts is much smaller than that for the real events. Therefore, the efficiency corrections cannot cancel the vertex-z effect that appears in the raw yield calculations. This difference indicates our simulation procedure does not take the detector geometry issue into account with sufficient accuracy.

<table>
<thead>
<tr>
<th>$p_T$ (GeV/c)</th>
<th>$K_0^S$(raw yield)</th>
<th>$K_0^S$ (efficiency)</th>
<th>$\Lambda$(raw yield)</th>
<th>$\Lambda$(efficiency)</th>
</tr>
</thead>
<tbody>
<tr>
<td>0.4 - 0.6</td>
<td>-10.4%</td>
<td>0.6%</td>
<td>-7.5%</td>
<td>23.7%</td>
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<tr>
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<td>-9.6%</td>
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<tr>
<td>0.8 - 1.0</td>
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<td>-1.4%</td>
<td>-7.9%</td>
<td>-4.0%</td>
</tr>
<tr>
<td>1.0 - 1.2</td>
<td>-7.1%</td>
<td>1.3%</td>
<td>-7.0%</td>
<td>-1.3%</td>
</tr>
<tr>
<td>1.2 - 1.4</td>
<td>-5.8%</td>
<td>-2.2%</td>
<td>-5.6%</td>
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<tr>
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<td>-0.1%</td>
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</tr>
<tr>
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<td>-2.3%</td>
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<td>-2.6%</td>
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<td>0.4%</td>
<td>-3.6%</td>
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</tr>
<tr>
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</tr>
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<td>-2.3%</td>
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<table>
<thead>
<tr>
<th>$p_T$ (GeV/c)</th>
<th>$\Xi^+$ (raw yield)</th>
<th>$\Xi^+$ (efficiency)</th>
</tr>
</thead>
<tbody>
<tr>
<td>0.6 - 1.0</td>
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<td>-1.6%</td>
</tr>
<tr>
<td>1.0 - 1.4</td>
<td>-16.9%</td>
<td>-3.6%</td>
</tr>
<tr>
<td>1.4 - 1.8</td>
<td>-12.1%</td>
<td>-2.3%</td>
</tr>
<tr>
<td>1.8 - 2.2</td>
<td>-8.9%</td>
<td>-3.2%</td>
</tr>
<tr>
<td>2.2 - 2.6</td>
<td>-10.3%</td>
<td>-3.4%</td>
</tr>
<tr>
<td>2.6 - 3.2</td>
<td>-5.6%</td>
<td>-3.1%</td>
</tr>
<tr>
<td>3.2 - 4.0</td>
<td>-12.1%</td>
<td>-7.4%</td>
</tr>
<tr>
<td>4.0 - 5.0</td>
<td>-6.3%</td>
<td>-1.0%</td>
</tr>
</tbody>
</table>

Table 4.2: The relative systematic errors from the vertex-z cuts for $K_0^S$, $\Lambda$ and $\Xi$. The percentages are obtained by using the raw yields and efficiency calculations with the cut $|VertexZ| < 50$cm minus those with the cut $|VertexZ| < 25$cm.
4.4 Rapidity and Nhits

Due to the asymmetry in d+Au collisions, the corrected yield of a particle shows a significant difference between the gold side and the deuteron side at the large rapidity region [Sim05]. However, this asymmetry would not greatly affect the strange hadron at mid-rapidity region around $y = 0$. We compared the raw and corrected yields with two different rapidity cuts of $|y| < 0.5$ and $|y| < 1.0$ (Table 4.3) for $K^0_S$, $\Lambda$ and $\Xi$. Within the error bars the corrected yields show no obvious differences between the two sets of the cuts although the raw yields do increase over all $p_T$ bins for three measured particles with the smaller rapidity region chosen. Some unexpected large differences in the corrected yields arise from the limited statistics of real or embedded events.

Another factor that may affect the yields is the number of hits (nhits) for a track that describes the track quality. If nhits is larger than 15, we believe that track has a good quality. We have checked the $K^0_S$ yields with two sets of cuts for nhits on pion tracks, nhits $> 15$ and nhits $> 30$. As expected, Table 4.4 shows that the corrected yields do not have an obvious change while there is a considerable drop in the raw yields from nhits $> 15$ to nhits $> 30$.

4.5 Topology Cuts on Real and MC Data

The topology cuts are the most efficient cuts in reconstructing weak decay particles. The signal to background ratio (S/B) easily becomes large with tight topology cuts. Since the Monte-Carlo simulation tries to completely describe the detector response, each cut parameter distribution from real particles should have the same shape as that from MC particles if identical topology cuts are applied. Otherwise, the mismatch between them would cause the wrong efficiency calculation.
<table>
<thead>
<tr>
<th>$p_T$ (GeV/c)</th>
<th>$K^0_S$(raw yield)</th>
<th>$K^0_S$(corr. yield)</th>
<th>$\Lambda$(raw yield)</th>
<th>$\Lambda$(corr. yield)</th>
</tr>
</thead>
<tbody>
<tr>
<td>0.4 - 0.6</td>
<td>-35.8%</td>
<td>-1.6%</td>
<td>-82.4%</td>
<td>1.6%</td>
</tr>
<tr>
<td>0.6 - 0.8</td>
<td>-30.8%</td>
<td>-1.3%</td>
<td>-65.2%</td>
<td>3.0%</td>
</tr>
<tr>
<td>0.8 - 1.0</td>
<td>-25.0%</td>
<td>0.6%</td>
<td>-45.4%</td>
<td>3.5%</td>
</tr>
<tr>
<td>1.0 - 1.2</td>
<td>-19.8%</td>
<td>-2.1%</td>
<td>-34.3%</td>
<td>0.6%</td>
</tr>
<tr>
<td>1.2 - 1.4</td>
<td>-18.0%</td>
<td>0.9%</td>
<td>-27.1%</td>
<td>-0.8%</td>
</tr>
<tr>
<td>1.4 - 1.6</td>
<td>-17.4%</td>
<td>-1.1%</td>
<td>-22.7%</td>
<td>-7.6%</td>
</tr>
<tr>
<td>1.6 - 1.8</td>
<td>-15.8%</td>
<td>1.5%</td>
<td>-19.8%</td>
<td>-7.1%</td>
</tr>
<tr>
<td>1.8 - 2.0</td>
<td>-13.7%</td>
<td>-9.8%</td>
<td>-21.8%</td>
<td>-16.1%</td>
</tr>
<tr>
<td>2.0 - 2.5</td>
<td>-11.3%</td>
<td>-4.9%</td>
<td>-13.2%</td>
<td>-5.8%</td>
</tr>
<tr>
<td>2.5 - 3.0</td>
<td>-10.9%</td>
<td>-6.2%</td>
<td>-9.9%</td>
<td>-2.4%</td>
</tr>
<tr>
<td>3.0 - 3.5</td>
<td>-10.7%</td>
<td>-9.2%</td>
<td>-5.4%</td>
<td>-2.7%</td>
</tr>
<tr>
<td>3.5 - 4.5</td>
<td>-1.6%</td>
<td>1.4%</td>
<td>-9.8%</td>
<td>-15.9%</td>
</tr>
<tr>
<td>4.5 - 6.0</td>
<td>-9.3%</td>
<td>-6.1%</td>
<td>-22.9%</td>
<td>-22.6%</td>
</tr>
</tbody>
</table>

<table>
<thead>
<tr>
<th>$p_T$ (GeV/c)</th>
<th>$\Xi$(raw yield)</th>
<th>$\Xi$(corr. yield)</th>
</tr>
</thead>
<tbody>
<tr>
<td>0.6 - 1.0</td>
<td>-75.1%</td>
<td>-4.2%</td>
</tr>
<tr>
<td>1.0 - 1.4</td>
<td>-52.1%</td>
<td>-1.4%</td>
</tr>
<tr>
<td>1.4 - 1.8</td>
<td>-34.5%</td>
<td>0.9%</td>
</tr>
<tr>
<td>1.8 - 2.2</td>
<td>-33.4%</td>
<td>-3.2%</td>
</tr>
<tr>
<td>2.2 - 2.6</td>
<td>-26.8%</td>
<td>-10.2%</td>
</tr>
<tr>
<td>2.6 - 3.2</td>
<td>-28.3%</td>
<td>-12.3%</td>
</tr>
<tr>
<td>3.2 - 4.0</td>
<td>-25.2%</td>
<td>-11.6%</td>
</tr>
<tr>
<td>4.0 - 5.0</td>
<td>-31.3%</td>
<td>-11.6%</td>
</tr>
</tbody>
</table>

**Table 4.3:** The relative difference of the raw and corrected yield from two rapidity cuts, $|y| < 0.5$ and $|y| < 1.0$. The percentage numbers mean the $|y| < 1.0$ yields minus the $|y| < 0.5$ yields then divided by the $|y| < 1.0$ yields.
Table 4.4: The relative difference of the raw yield, efficiency and the corrected yield with two sets of number of hits (nhits) cuts for \(K_S^0\), nhits > 15 and nhits > 30.

<table>
<thead>
<tr>
<th>(p_T) (GeV/c)</th>
<th>(K_S^0) (raw yield)</th>
<th>(K_S^0) (efficiency)</th>
<th>(K_S^0) (corr. yield)</th>
</tr>
</thead>
<tbody>
<tr>
<td>0.4 - 0.6</td>
<td>38.98%</td>
<td>38.99%</td>
<td>-0.01%</td>
</tr>
<tr>
<td>0.6 - 0.8</td>
<td>33.75%</td>
<td>29.91%</td>
<td>5.48%</td>
</tr>
<tr>
<td>0.8 - 1.0</td>
<td>31.88%</td>
<td>27.82%</td>
<td>5.62%</td>
</tr>
<tr>
<td>1.0 - 1.2</td>
<td>30.31%</td>
<td>30.5%</td>
<td>-0.28%</td>
</tr>
<tr>
<td>1.2 - 1.4</td>
<td>29.51%</td>
<td>27.98%</td>
<td>2.12%</td>
</tr>
<tr>
<td>1.4 - 1.6</td>
<td>28.8%</td>
<td>23.78%</td>
<td>6.58%</td>
</tr>
<tr>
<td>1.6 - 1.8</td>
<td>25.15%</td>
<td>20.94%</td>
<td>5.32%</td>
</tr>
<tr>
<td>1.8 - 2.0</td>
<td>22.3%</td>
<td>23.24%</td>
<td>-1.22%</td>
</tr>
<tr>
<td>2.0 - 2.5</td>
<td>20.59%</td>
<td>20.61%</td>
<td>-0.02%</td>
</tr>
<tr>
<td>2.5 - 3.0</td>
<td>18.24%</td>
<td>20.24%</td>
<td>-2.5%</td>
</tr>
<tr>
<td>3.0 - 3.5</td>
<td>16.43%</td>
<td>19.7%</td>
<td>-4.06%</td>
</tr>
<tr>
<td>3.5 - 4.5</td>
<td>15.52%</td>
<td>15.42%</td>
<td>0.12%</td>
</tr>
<tr>
<td>4.5 - 6.0</td>
<td>11.36%</td>
<td>15.33%</td>
<td>-4.69%</td>
</tr>
</tbody>
</table>

For the real reconstructed particles, the background-subtracted distribution can be obtained by subtracting the distribution outside the signal mass window from the distribution within the signal mass window. The number of selected entries outside the signal mass window should be close to that within the signal mass window underneath the background fit line. This method assumes the topology cut parameter distributions for the background from the different invariant mass regions are the same. Figure 4.2 shows the background-subtracted topology parameter distributions for real and MC reconstructed \(K_S^0\). The distributions are normalized to the number of \(K_S^0\) candidates. Most distributions for real and MC are matched except DCA of \(K_S^0\) to primary vertex (dca-\(K_S^0\)-PV). The feed-down contribution from other particles to \(K_S^0\) is negligible, so this mismatch is most likely from some uncertainties in the measurement. Our study has shown that this mismatch becomes smaller when the primary vertex position of a MC event is smeared in a small amount. This indicates the position of the primary vertex may not be well determined in d+Au collisions due to the low multiplicity and the
largest uncertainty is in Z direction. For the final spectra, we use 1.5 cm as the dca-$K^0_S$-PV cut thus the mismatch effect was reduced to the minimum and could be ignored. A topology cut parameter distributions (Figure 4.3) have the same situation. Unlike the $K^0_S$, the apparent mismatch of the dca-$\Lambda$-PV distributions between real and MC data comes from both the feed-down contribution from $\Xi$ and the possible uncertainty in primary vertex determination. The dca-$\Lambda$-PV cut of 5 cm for the final spectra was sufficient. For the $\Xi$ topology cut parameter distributions (Figure 4.4), we use the 2 dimensional decay length and dca-$\Xi$-PV in the X-Y plane to avoid the impact from the largest uncertainty in Z direction. We use 1 cm as the 2D dca-$\Xi$-PV cut.

The systematic errors for the minimum bias spectra calculated from the corrected spectra with various topology cuts are listed in Table 4.5. These errors dominate the overall systematic uncertainties in our analysis for the d+Au system.

<table>
<thead>
<tr>
<th>$p_T$ (GeV/c)</th>
<th>$K^0_S$</th>
<th>$\Lambda + \bar{\Lambda}$</th>
<th>$p_T$ (GeV/c)</th>
<th>$\Xi^- + \Xi^+$</th>
</tr>
</thead>
<tbody>
<tr>
<td>0.4 - 0.6</td>
<td>7%</td>
<td>20%</td>
<td>0.6 - 1.0</td>
<td>9%</td>
</tr>
<tr>
<td>0.6 - 0.8</td>
<td>9%</td>
<td>14%</td>
<td>1.0 - 1.4</td>
<td>10%</td>
</tr>
<tr>
<td>0.8 - 1.0</td>
<td>8%</td>
<td>5%</td>
<td>1.4 - 1.8</td>
<td>6%</td>
</tr>
<tr>
<td>1.0 - 1.2</td>
<td>8%</td>
<td>7%</td>
<td>1.8 - 2.2</td>
<td>5%</td>
</tr>
<tr>
<td>1.2 - 1.4</td>
<td>13%</td>
<td>9%</td>
<td>2.2 - 2.6</td>
<td>5%</td>
</tr>
<tr>
<td>1.4 - 1.6</td>
<td>7%</td>
<td>8%</td>
<td>2.6 - 3.2</td>
<td>5%</td>
</tr>
<tr>
<td>1.6 - 1.8</td>
<td>11%</td>
<td>6%</td>
<td>3.2 - 4.0</td>
<td>5%</td>
</tr>
<tr>
<td>1.8 - 2.0</td>
<td>11%</td>
<td>8%</td>
<td>4.0 - 5.0</td>
<td>8%</td>
</tr>
<tr>
<td>2.0 - 2.5</td>
<td>9%</td>
<td>5%</td>
<td></td>
<td></td>
</tr>
<tr>
<td>2.5 - 3.0</td>
<td>6%</td>
<td>7%</td>
<td></td>
<td></td>
</tr>
<tr>
<td>3.0 - 3.5</td>
<td>6%</td>
<td>8%</td>
<td></td>
<td></td>
</tr>
<tr>
<td>3.5 - 4.5</td>
<td>10%</td>
<td>10%</td>
<td></td>
<td></td>
</tr>
<tr>
<td>4.5 - 6.0</td>
<td>13%</td>
<td>9%</td>
<td></td>
<td></td>
</tr>
</tbody>
</table>

**Table 4.5:** The systematic errors from topology cuts for the minimum bias spectra of $K^0_S$, $\Lambda + \bar{\Lambda}$ and $\Xi^- + \Xi^+$. These errors are calculated according to the spectra in various topology cuts.
Figure 4.2: Topology cut parameter distributions for $K_S^0$ Real(solid lines) and MC(dashed lines) data. The upper left plot is the invariant mass distribution for real and MC data. Two vertical lines confine the $K_S^0$ signal region.
Figure 4.3: Topology cut parameter distributions for Λ Real(solid lines) and MC(dashed lines) data. The upper left plot is the invariant mass distribution for real and MC data. Two vertical lines confine the Λ signal region.
Figure 4.4: Topology cut parameter distributions for Ξ Real(solid lines) and MC(dashed lines) data. The upper left plot is the invariant mass distribution for real and MC data. Two vertical lines confine the Ξ signal region. 2 dimensional decay length and dca of Ξ-PV in X-Y plane are used for Ξ analysis.
4.6 Background Fit and Double Counted Signals

There are other uncertainties.

**Background Fit:** There are two methods to fit the background in invariant mass plots. One is to fit the signal and background together with a Gaussian function plus a 2nd order polynomial function and then subtract the polynomial function from the histogram. Another one is to fit the pre-defined background outside the signal region with a 2nd order polynomial function and then subtract this function value from the bin counts in the signal region. It turns out the overall difference between these two methods is less than 3% [Lon02].

**Double Counted Signals:** Sometimes one track in the reconstruction will be used twice due to track splitting. This will lead to artificially larger yields. An early study showed that the difference of double counted signals between the real data and Monte-Carlo embedded data is dependent on the multiplicity of the events, but not on $p_T$. In the most peripheral centrality from Au+Au collision, this difference was very small [Lon02]. In d+Au collisions with even smaller multiplicity the MC simulation would better reflect the real condition and the effect from double counted signals is negligible.

4.7 Weak Decay Feed-Down Contributions to $\Lambda(\bar{\Lambda})$

Not all the $\Lambda$s are produced at the primary vertex for the d+Au collisions and a portion of them come from $\Xi^-, \Xi^0$ and $\Omega$ weak decays via

$$\Xi^- \rightarrow \pi^- + \Lambda$$  \hspace{1cm} (4.1)
\[ \Xi^0 \rightarrow \pi^0 + \Lambda \quad (4.2) \]

\[ \Omega^- \rightarrow K^- + \Lambda \quad (4.3) \]

We can estimate the feed-down contribution from \( \Xi \) decays by applying different DCA of \( \Lambda \)-PV cuts([Lon02]) because \( \Lambda \)s from \( \Xi \) decays have a wider DCA-\( \Lambda \)-PV distribution than those from the primary vertex (Figure 4.3) due to the \( \Xi \) decay boost. However, this method fails if the wider DCA-\( \Lambda \)-PV distribution partially arises from some other uncertainties as discussed in section 4.5. Since a corrected \( \Xi \) integrated yield\((dN/dy)\) is available from our measurements, we can use an alternative method by reconstructing \( \Lambda \)s from the \( \Xi \) embedded events and then calculating feed-down according to the \( \Xi \) \(dN/dy\). The Monte-Carlo \( \Xi \)s are forced to decay to \( \Lambda \)s in the simulation procedure according to equation 4.1. We use the exact same topology cuts and selection criteria on these \( \Lambda \)s as the inclusive \( \Lambda \)s (Table 3.4 and 3.6). The raw \( \Lambda \) counts in \( i \)th \( p_T \) bin in a specific centrality interval from the \( \Xi^- \) feed-down is

\[ \Lambda_{\Xi}^i = (dN/dy)^{\Xi} \cdot \frac{N_{\Lambda}^i}{N^{\Xi}} \quad (4.4) \]

where \((dN/dy)^{\Xi}\) is the \( \Xi \) integrated yield in a unit rapidity, \( N_{\Lambda}^i \) the counts of \( \Lambda \) in \( i \)th \( p_T \) bin and \( N^{\Xi} \) the total counts of input \( \Xi \)s over the whole \( p_T \) range(0-5.0 GeV/c) in the same rapidity, centrality and vertex-z region as for the reconstructed \( \Lambda \)s. This method applies only if Monte-Carlo input \( \Xi \) has the same \( p_T \) or \( m_T \) distribution as \( \Xi \)’s from the real events. The inverse slope, \( T_1 \), of \( \Xi \) \( m_T \) distribution is \( 356 \pm 8 \text{(stat)} \pm 21 \text{(syst)} \) MeV (Table 5.1) from the real data measurement and it was set as 350 MeV in MC events. The \((dN/dy)^{\Xi}\) is given by integrating the \( \Xi \) spectrum over the whole \( p_T \) range. The numbers are listed in Table 5.1 for all the centralities.
The feed-down contribution from $\Xi^0$ decay is believed to be the same as from $\Xi^-$ decay since their yields are close. The $\Omega^-$ $dN/dy$ is about 15% of $\Xi^-$’s in Au+Au collisions at the same beam energy. But considering that the $\Omega$ has a harder $m_T$ spectrum (larger temperature or inverse slope) and smaller decay length, we assume that the $\Omega^-$ feed-down contribution is 10% of that for the $\Xi^-$’s. The feed-down to $\bar{\Lambda}$ from anti-multi-strange hyperons is approximately same as the feed-down to $\Lambda$ from multi-strange hyperons since both hyperons have similar inverse slopes in their $m_T$ distributions. Figure 4.5 shows the raw $\Lambda+\bar{\Lambda}$ spectra normalized to the number of events from all the weak decay feed-down contributions from $\Xi^-$, $\Xi^0$ and $\Omega^-$ and their antiparticles.

![Figure 4.5: Raw $\Lambda+\bar{\Lambda}$ spectra from the feed-down contributions from $\Xi^-$, $\Xi^0$, $\Omega^-$ and their anti-particles. The data points of the last $p_T$ bin are extrapolated. The errors are statistical only.](image)

According to Equation 4.4 and the raw inclusive $\Lambda$ yield(Figure 3.10), the corrected $\Lambda$ counts in $i$th $p_T$ bin in a specific centrality interval from the $\Xi^-$ feed-down is

79
where $\Lambda_{\text{inclusive}}^i$ and $\Lambda_{\Sigma}^i$ are the inclusive and feed-down $\Lambda$ raw counts in $i$th $p_T$ bin, respectively, and $\eta_\Lambda$ is the efficiency for $\Lambda$ (see Figure 3.15). The $\Lambda+\bar{\Lambda}$ $p_T$ and $m_T$ spectra after feed-down correction are plotted in Figures 5.1 and 5.2 and the corresponding integrated yields are listed in Table 5.1.

This is impossible to estimate the feed-down contribution from resonance particles that decay at the primary vertex using the topology cuts. So our feed-down correction only excludes the $\Lambda$s from multi-strange(anti-multi-strange) hyperons weak decay.
CHAPTER 5

Results

In this chapter, we present the main results for the analyses of the production of the strange hadrons, $K^0_S$, $Λ$ and $Ξ$ in d+Au collisions at $\sqrt{s_{NN}} = 200$ GeV. The corrected transverse mass ($m_T$) and momentum ($p_T$) spectra are given for the three centrality bins and for the minimum bias events. The ratios of $Λ/Λ$ and $K^0_S/Λ$ as a function of $p_T$ will be shown. The integrated yield $dN/dy$ and the mean $p_T$ are derived from the spectra. The nuclear modification factor $R_{CP}$ was obtained from the spectra for the most central collisions (0-20%) and the spectra for the most peripheral collisions (40-100%).

5.1 Corrected $p_T$ and $m_T$ Spectra and Fit Function

The corrected $p_T$ spectra can be obtained using the raw normalized yield for each $p_T$ bin shown in Chapter 3 divided by the efficiency value for the same $p_T$ bin. The vertex finding efficiency was used to correct the number of events for each centrality interval. The corrected $m_T$ spectra can be obtained simply by changing the scale $p_T$ to $m_T$ (see Eq. B.8). Figure 5.1 and Figure 5.2 show the corrected $p_T$ and $m_T$ spectra for $K^0_S$, $Λ+Λ$ and $Ξ^-+Ξ^+$ for three centralities and minimum bias events at mid-rapidity $|y| < 1$ in d+Au collisions at $\sqrt{s_{NN}} = 200$.
GeV. \( \Lambda + \bar{\Lambda} \) spectra were corrected by the feed-down contributions from multi-strange baryon(\( \Xi, \Xi^0 \) and \( \Omega \)) week decay (see section 4.7). The measured \( p_T \) range covers 0.4-6.0 GeV/c for \( K^0_s \) and \( \Lambda + \bar{\Lambda} \) and 0.6-5.0 GeV/c for \( \Xi^- + \bar{\Xi}^+ \).

\[
\frac{dN}{2\pi d\eta d^2p_T} = \frac{a}{T_1(m_0 + T_1)} e^{-\frac{(m_T - m_0)}{T_1}} + \frac{(1-a)}{T_2(m_0 + T_2)} e^{-\frac{(m_T - m_0)}{T_2}}
\]

Figure 5.1: The corrected transverse momentum (\( p_T \)) spectra of \( K^0_s \), \( \Lambda + \bar{\Lambda} \) and \( \Xi^- + \bar{\Xi}^+ \) in three centrality intervals(0-20%, 20-40% and 40-100%) and minimum bias events. Data points were scaled for clear illustration. Error bars, most of which are smaller than its marker size, are statistical errors only.

We use double exponential function

82
Figure 5.2: The corrected transverse mass ($m_T - m_0$) spectra of $K^0_S$, $\Lambda + \bar{\Lambda}$ and $\Xi^- + \bar{\Xi}^+$ in three centrality intervals (0-20%, 20-40% and 40-100%) and minimum bias events. Data points were scaled for clear illustration. Error bars, most of which are smaller than its marker size, are statistical errors only.
to fit the \( m_t \) spectra where four fit parameters \( dN/dy, a, T_1, T_2 \) correspond to the integrated yield, the portion of the first exponential function, the inverse slope of the first and second exponential function, respectively. Physically, the double exponential function can be understood by two component models: soft(thermal) hadron contribution at the low \( m_t \) and hard hadron contribution at high \( m_t \). The traditional single exponential function works well only for the low \( m_t \) region and the power law function only for the high \( m_t \) region.

5.2 The \( \Lambda/\bar{\Lambda} \) Ratio

![Graph](image)

**Figure 5.3**: Ratio of the \( \Lambda/\bar{\Lambda} \) as a function of \( p_T \) for three centralities and minimum bias events. Errors are statistical only.
The $p_T$ dependent ratio of $\bar{\Lambda}/\Lambda$ was obtained according to the feed-down corrected $\Lambda$ and $\bar{\Lambda}$ spectra measured separately. In Figure 5.3 we see that at $p_T < 2$ GeV/c the ratio is around 0.8 and it starts dropping when $p_T > 2$ GeV/c and reaches about 0.6 at high $p_T$. This trend is consistent with the $\bar{p}/p$ ratio for Au+Au collisions at RHIC [Adl04]. The overall ratio is $0.82 \pm 0.01\,(stat) \pm 0.03\,(syst)$.

5.3 The $\Lambda/K_S^0$ Ratio

![Graph showing the ratio of $\Lambda/K_S^0$ as a function of $p_T$ for three centralities and minimum bias events. Errors are statistical only.](image-url)

**Figure 5.4:** Ratio of $\Lambda/K_S^0$ as a function of $p_t$ for three centralities and minimum bias events. Errors are statistical only.
The $p_T$ dependent ratio of the $\Lambda/K_S^0$ was determined from the corrected $K_S^0$ and $\Lambda$ $p_T$ spectra (Figure 5.4). Here $\Lambda$ refers to $\Lambda$ only. The ratio is about $\sim 0.4$ at $p_T = 0.5$ GeV/c, and then increases with $p_T$ reaching the maximum $\sim 1.0$ at $p_T = 2.0$ GeV/c. It then decreases in the higher $p_T$ region. The ratios in d+Au collisions is not significantly dependent of centralities, which is quite different from the Au+Au results where the $\Lambda/K_S^0$ ratios differ by a factor of 2 between the peripheral and the central collisions. We will discuss it in next chapter.

5.4 $dN/dy$ and $\langle p_T \rangle$

The integrated yields $dN/dy$ are extracted from the double exponential fits on the $m_t$ spectra as expressed in equation 5.1. $dN/dy$ is one of the parameters in the fit function. Table 5.1 lists the $dN/dy$ values for $K_S^0$, $\Lambda+\bar{\Lambda}$ (after feedown correction) and $\Xi^-+\Xi^+$ in three centralities and minimum bias events. The $\langle p_T \rangle$ listed in the same table was calculated numerically from the fit function (see Appendix C). $T_1$, another parameter in the fit function, is the inverse slope of the spectrum at low $p_T$ regime and it depends on the particle mass. As shown in Table 5.1, the more central collision has the larger $T_1$.

Figure 5.5 shows the $dN/dy$ normalized to the number of participated nucleus ($\langle N_{part} \rangle$) vs. $\langle N_{part} \rangle$ for $K_S^0$, $\Lambda+\bar{\Lambda}$ and $\Xi^-+\Xi^+$. The $\langle N_{part} \rangle$ numbers used are listed in Table 3.2. For the comparison, the $dN/dy$ from the Au+Au system calculated from the Boltzmann fit function are also presented [Lon05a, STA05]. The normalized $dN/dy$ increases with $\langle N_{part} \rangle$ from d+Au to Au+Au system indicating the yield enhancement of the strange hadrons at collision energy 200 GeV at RHIC. However, there exists a jump of the yield from the most central d+Au collisions(0-20%) to the peripheral Au+Au collisions(60-80%) and this situation is most serious in the $K_S^0$ measurement. We have also observed in
Table 5.1: \( \frac{dN}{dy} \), \( \langle p_T \rangle \) and \( T_1 \) for \( K^0_S \), \( \Lambda+\bar{\Lambda} \) and \( \Xi^-+\bar{\Xi}^+ \) in three centralities and minimum bias events in \( d+Au \) 200 GeV. The systematical errors for \( \langle p_T \rangle \) are 5% and \( T_1 \) 6%.

d+Au collisions that the \( K^0_S \) yield is lower than the charged kaon yield by about 70% in the \( p_T \) region \( 0.3 < p_T < 0.4 \) GeV/c. We found this discrepancy to be independent of the collision centrality and is likely to be from an unknown detector systematics. In this thesis we will focus on the nuclear modification \( R_{CP} \) and \( \langle p_T \rangle \), which are not affected by this systematic error.

Figure 5.6 shows the \( \langle p_T \rangle \) of the \( K^0_S \), \( \Lambda+\bar{\Lambda} \) and \( \Xi^-+\bar{\Xi}^+ \) spectra as a function of \( \langle N_{\text{part}} \rangle \) in \( d+Au \) and \( Au+Au \) collisions. The numbers for \( Au+Au \) collisions are calculated from the Boltzmann fit function. While the \( \Lambda \langle p_T \rangle \) increases from 0.851 at \( d+Au \) peripheral collisions(40-100%) with \( \langle N_{\text{part}} \rangle = 5.1 \) to 1.05 at \( Au+Au \) most central collisions(0-5%) with \( \langle N_{\text{part}} \rangle = 352.4 \), the \( \Xi \langle p_T \rangle \) shows no significant
increase from d+Au to Au+Au system.

5.5 Nuclear Modification $R_{CP}$

The nuclear modification $R_{CP}$ can be obtained by using the particle spectra in the central collisions(0-20%) divided by the spectra in peripheral collisions(40-100%). Both collisions are normalized by their $N_{bin}$ values(Table 3.2). We used the raw yield spectra since the difference of the efficiencies from various centralities is negligible in our analysis(see 3.12). Figure. 5.7 shows the nuclear modification factor ($R_{CP}$) as a function of $p_T$ for the mesons ($K^0_S$, $\phi$) and the baryons ( $\Lambda + \bar{\Lambda}$, $\Xi^-$ + $\Xi^+$) at mid-rapidity($|y| < 0.5$ for the $\phi$ and $|y| < 1$ for all the others). The
Figure 5.6: $\langle p_T \rangle$ vs. the number of participated nucleus $N_{\text{part}}$ in d+Au(left of the dashed line) and Au+Au(right of the dashed line) 200 GeV for $K^0_S$, $\Lambda^+$ and $\Xi^{-}$. The $K^0_S \langle p_T \rangle$ numbers lack. Errors include statistical and systematical errors for d+Au and statistical errors only for Au+Au.

$\phi \ R_{CP}$ has been measured by X.Z Cai at STAR [Cai04]. The number of binary collisions($N_{\text{bin}}$) calculated from the model is 15.0 for the central collision(0-20%) and 4.0 for the peripheral collision(40-100%)(Table 3.2).

The $R_{CP}$ ratio for each identified particle is identical and lower than unity in the low $p_T$ region. When $p_T$ > 1 GeV/c, the $R_{CP}$ values for all the particles are above unity and the baryon($\Lambda$ and $\Xi$) $R_{CP}$ values rise faster than those for the mesons($K^0_S$ and $\phi$). At the intermediate $p_T$ (2 < $p_T$ < 4 GeV/c), the $R_{CP}$ ratios are grouped into the mesons saturating at $\sim$ 1.2 and the baryons saturating at $\sim$ 1.5. When $p_T$ > 4 GeV/c, we can not draw any conclusion due to the large error bars in $R_{CP}$. The $R_{CP}$ numbers are listed in Table 5.2. The systematical
Figure 5.7: The Nuclear Modification $R_{CP}$ for $K_0^0$, $\phi$, $\Lambda + \bar{\Lambda}$ and $\Xi^- + \Xi^+$ at mid-rapidity using the yields in the most central collision (0-20%) divided by those in the peripheral collision (40 – 100%). The gray band represents the normalization uncertainty of %16 for the binary scaling.

Errors for the $R_{CP}$ are small since central and peripheral collisions occur in the same system so that deviations due to different systems are cancelled.
Table 5.2: The data points of $R_{CP}$ for $K^0_S$, $\phi$, $\Lambda + \bar{\Lambda}$ and $\Xi^- + \Xi^+$ in d+Au collisions at $\sqrt{s_{NN}} = 200$ GeV.
CHAPTER 6

Discussion

The main goal for d+Au collisions at RHIC is to take d+Au measurements as a reference for comparison to results obtained in Au+Au collisions. Moreover, measurements on d+Au system itself is interesting to test many phenomenological models. From the comparison, we may better understand the dominant physical mechanisms that can explain the experimental results. In this section, we compare the data between d+Au and Au+Au collisions at the same beam energy $\sqrt{s_{NN}} = 200$ GeV to investigate the strangeness enhancement phenomena that was first observed at SPS. The $\Lambda$ $dN/dy$ at mid-rapidity and at forward rapidity are used to test the simulation calculations. The baryon enhancement at intermediate $p_T$ (around 2 GeV/c) will be presented. The particle type dependence for the Cronin effect in d+Au collisions is discussed along with quark coalescence and recombination models.

6.1 $\Lambda(\Lambda)$ Production and Model Comparison

Many phenomenological approaches have been proposed to explain the $p_T$ and rapidity dependence of the particle yield measured in d+Au collisions at RHIC experiments. Figure 6.1 shows the comparison of $dN/dy$ for $\Lambda$, $\bar{\Lambda}$ and net $\Lambda(\Lambda$'s-
Λ’s) as well as the Λ/Λ ratio between the experimental results at STAR and various model calculations. The presented data points cover three rapidity(y) regimes: −3 < y < −2.5(Au side), 2.5 < y < 3.5(deuteron side) and −1 < y < 1(mid-rapidity). The high Λ/Λ ratio(∼ 0.82 at mid-rapidity) indicates a low net baryon density region at mid-rapidity in d+Au collisions.

![Graphs showing particle yield as a function of rapidity](image)

**Figure 6.1:** The particle yield dN/dy as a function of rapidity for Λ (top left), Λ (top right), net Λ (bottom right) and the Λ/Λ ratio (bottom left). The error bars show the statistical and systematical errors. Beam rapidities are indicated by arrows. The forward rapidity data points are obtained from Frank Simon’s thesis [Sim05]

A rise in dN/dy for the Λ on the Au side predicted in all models is caused by the baryon transport since the Λ contains a ud quark pair which also exists in the proton and neutron. This dN/dy rise disappears for the Λ due to the lack of ¯u and ¯d quarks in the nucleon. This baryon transport also affects the mid-rapidity dN/dy. From the comparison of the experimental results to the various model calculations, we see that the HIJING models [WG91, VG99] underpredict the yield on the Au side, the RQMD [Sor95] model overpredicts the yield on
the deuteron side and the AMPT [Zha00, LK03] model predicts a yield lower than the measurement at mid-rapidity. The EPOS model agrees well with all the measured data within the errors.

EPOS [Wer04, Wer05] is a new version of the approach NeXus [Dre99] and is based on string theory and the parton model. In EPOS, the deuteron is considered to carry projectile partons and the Au carries target partons. Here the parton means quark, antiquark, diquark or antidiquark. The projectile and target partons interact and produce a 'parton ladder' that contains many soft (low $p_T$) and hard (high $p_T$) partons. The projectile and target partons themselves become excited partons called remnants. The parton ladders produce the particles at central rapidities and the remnants at large rapidities. Some produced partons have a large probability of interacting with another close target parton since there are many target partons available in Au. This causes the splitting of the parton ladder and leads to a 'collective hadronization' process. The EPOS calculation is also in agreement with the charged particle yields measured from PHOBOS, BRAHMS and STAR over a large pseudorapidity range, but underpredicts the data when $\eta < -3$. AMPT, a multi-phase model, well reproduces the whole rapidity spectra for the charged particles. It uses HIJING to generate the initial parton distribution and then simulates the parton rescattering by parton cascades [Zha98] and treats the hadronization with a fragmentation scheme [Sjo94].

From the models that successfully reproduce part of the data, like EOPS and AMPT, we believe that fragmentation schema based on pQCD calculations cannot alone describe the d+Au data and that multiple parton scatterings play an important role for particle mid-rapidity yields in d+Au collisions. This process may not be visible in proton-proton collisions due to the small system size and is
probably surpassed by other mechanisms and becomes less pronounced in Au+Au collisions.

6.2 Strangeness Enhancement from d+Au to Au+Au

Large strangeness production has been considered to be a signature for the QGP formation [Raf82, RM86] as discussed in section 1.8. The previous heavy-ion experiments have reported that the strangeness production was increased significantly when going from pp, pA to AA collisions. In particular, the analysis of Pb-Pb collisions by WA97 at the CERN SPS has shown a strong enhancement in strange hadron yields by comparing to p-Pb collisions. The observed enhancement increases with the strangeness content (|S| = 1, 2, 3) of the particle (left one in Figure 6.2). In WA97, the strangeness enhancement E was defined by [And99]

\[ E = \frac{\langle Y \rangle_{Pb-Pb}}{\langle N_{part} \rangle_{Pb-Pb}}/\frac{\langle Y \rangle_{p-Pb}}{\langle N_{part} \rangle_{p-Pb}} \] (6.1)

where \( \langle Y \rangle \) and \( \langle N_{part} \rangle \) are the yield and the number of participants, respectively, averaged over the full centrality range. According to the Schwinger mechanism for the string fragmentation, the production probability of a \( s\bar{s} \) pair compared to a \( u\bar{u} \) or \( d\bar{d} \) pair is expressed as

\[ \gamma_s = \frac{P(s\bar{s})}{P(q\bar{q})} = \exp\left(-\frac{\pi(m_s^2 - m_q^2)}{2\kappa}\right) \] (6.2)

where \( m_s \) and \( m_q \) are strange and light quark mass and \( \kappa \) is the string tension. The conventional N-body microscopic transport model UrQMD based on hadronic and string degrees of freedom fits well the p-Pb data but underpredicts the yield in central Pb-Pb collisions. Thus the predicted enhancement \( E \) is lower
than that observed experimentally. However, it is believed that in central heavy ion collisions the string density can be so high due to early stage multiple scatterings that $\kappa$ can increase from 1 GeV/fm to 3 GeV/fm. With this assumption, the UrQMD calculation gives an strangeness enhancement close to the experimental data [Sof99, Ble00a]. When applying this idea to Au+Au collisions at RHIC energy($\sqrt{s_{NN}} = 200$ GeV), the UrQMD model predicts an increase in the normalized yields from $pp$ to $Au + Au$ by a factor of 7, 20 and 60 for $\Lambda$, $\Xi$ and $\Omega$, respectively [Ble00b].

**Figure 6.2**: Strange particle enhancement vs. strangeness content at WA97(SPS) and STAR(RHIC). Left is the WA97 measurements on Pb-Pb and p-Pb collisions. Closed stars(triangles) in the right plot represent the ratio of 0-5%(40-60%) Au+Au to minbias d+Au at STAR. Closed squares in the right plot are the ratios from WA97(left) with combining the hyperon and anti-hyperon yields.

At STAR, we measured an enhancement factor similar to that derived in equation 6.1 for $K^0_S$, $\Lambda + \bar{\Lambda}$ and $\Xi^- + \bar{\Xi}^+$, but on Au+Au and d+Au systems. To compare with our results, the enhancement factors for $\Lambda + \bar{\Lambda}$ and $\Xi^- + \bar{\Xi}^+$ at WA97(SPS) were calculated from their individual ratios according to the anti-hyperon to hyperon yield ratios in Pb-Pb and p-Pb collisions. All the yields in the enhancement factor are normalized to the number of participants. We
find the strangeness enhancement for the most central (0-5%) Au+Au to d+Au collisions is similar, within the error bars, to that for Pb-Pb to p-Pb collisions at WA97, and even lower for the Au+Au 40-60% centrality bin. Keeping in mind that the collision energy increases more than 10 times from SPS to RHIC, no huge increase in the strangeness enhancement with the beam energy seems to contradict the UrQMD prediction.

The canonical statistical model analysis of strange hadron production in central nucleus-nucleus collisions relative to $pp$ or $p$-nucleus collisions shows that the strangeness enhancement decreases with increasing collision energy [Red01, RT02]. It is largest at $\sqrt{s_{NN}} = 8.7$ GeV as shown from the model calculation in Figure 6.3. This phenomena is due to the canonical suppression of particle thermal phase space at lower energies. According to the model, the strangeness enhancement at RHIC top energy $\sqrt{s_{NN}} = 200$ GeV should be lower than that at SPS, which qualitatively agrees with our measurements. So the strangeness enhancement seems not a unique signature of deconfinement since the initial conditions at energies where these features exist are unlikely for deconfinement. However, one may argue that the deconfined state has probably been created at the low SPS energy of $\sqrt{s_{NN}} = 8.7$ GeV where the temperature is much lower than at RHIC energy, but the net baryon density $\mu_B$ is higher such that it is still in the phase transition region, as shown in Figure 1.3.

The statistical model predicts that the $N_{\text{part}}$ normalized yield is saturated at large $N_{\text{part}}$, which agrees with WA97 data but not with the STAR measurement where the normalized strange hadron yields increase with $N_{\text{part}}$ and have no trend showing a saturation, even in the central Au+Au collisions(Figure 5.5).
Figure 6.3: The enhancement of $\Xi^-$ yields/participant in central Pb-Pb to p-p collisions at different collision energies from the statistical model.

6.3 Baryon Enhancement at intermediate $p_T$

The E735 [Ale73] and STAR [Ada05b] data for $\bar{p}p$ collisions, with $\sqrt{s} = 1.8$ TeV and $\sqrt{s} = 200$ GeV, respectively have shown that the baryon to meson ratio was less than $\sim 0.5$ at $p_T < 2$ GeV/c. The HJING simulation based on the string fragmentation schema fits well with the experimental data and predicts a ratio less than 0.5 over the whole $p_T$ range [WG91, WG92]. However, at RHIC PHENIX has reported, for the first time, that proton yields exceed pion yields at $p_T > 2$ GeV/c in Au+Au collisions at $\sqrt{s} = 130$ GeV [Adc02] and that this anomaly cannot be explained by the conventional framework based on string fragmentation. At STAR, while the proton and pion measurement is limited by the $p_T$ reach, the similar measurement, the $\Lambda/K^0_S$ ratio, on the strange hadrons with $p_T$ up to 6 GeV/c has been made for $pp$, Au+Au and d+Au collisions. Figure 6.4(left plot) show that the $\Lambda/K^0_S$ ratio for $pp$ collisions is saturated at $\sim 0.5$ in the $p_T$ range of $1.5 - 4$ GeV/c, consistent with the $p/\pi$ ratios in $pp$ collisions mentioned above.

The model incorporating baryon junction dynamics [Kha96, VG99] and jet
Figure 6.4: $\Lambda/K^0_S$ ratios in $pp$, Au+Au and d+Au at $\sqrt{s} = 200$ GeV. The $\Lambda$ yield is not feeddown corrected. The errors are statistical only.

Quenching is the first approach to qualitatively explain the baryon enhancement at the intermediate $p_T$ [Vit01, VG02a]. This is a two component soft+hard dynamical model. At low $p_T$ it is based on hydrodynamics and the particle yield depends on mass: lighter mesons are easier to produce than heavier baryons. At intermediate $p_T$ perturbative pions are suppressed by jet quenching, while the nonperturbative baryon junction component would not be affected. Thus a baryon enhancement occurs. At high $p_T$ pQCD dominates both mesons and baryons causing the ratio dropping down again. Since for the d+Au there is no jet quenching to suppress the pions and the baryon to meson ratio should be much smaller than those in Au+Au and close to the $pp$ measurement. Our d+Au ratios(right in Figure 6.4), close to the peripheral Au+Au ratio, agrees with the predicted centrality dependence from this model.

Recently, the coalescence and recombination models allowing soft partons to coalesce into hadrons [Fri03] or soft and hard parton to recombine [Gre03] into hadrons also suggest a baryon enhancement at intermediate $p_T$. In a similar way to the baryon junction model, the pQCD string fragmentation mechanism domi-
nates at high $p_T$ in these models. In nucleus-nucleus collisions, it turns out the collective flow effect [Hec98, Sol93] on the intermediate $p_T$ baryon yield is as strong as the effect due to the coalescence or recombination of partons [Gre03]. In the flow picture, heavier baryons tend to have larger momenta than mesons. In d+Au collisions, the flow effect is negligible, but the coalescence/recombination idea is still applicable [HY04a, HY04c] and may explain the larger baryon enhancement than that in $pp$ where parton coalescence/recombination is very small.

6.4 Cronin effect and Particle type dependence

The Cronin effect [Cro75] has been widely observed in the fixed target $pA$ collisions [Str92]. The enhancement at intermediate $p_T$(usually $>\sim 1.5$ GeV/c) was explained by the initial multiple hadronic or partonic rescatterings as introduced in section 1.9.2. Recently these traditional models based on the multiple rescatterings have been applied to the RHIC d+Au collisions at 200 GeV. They predicted a peak value of 1.1 - 1.5 in nuclear modification factor $R_{AA}$ or $R_{CP}$ [Acc03]. The d+Au experimental measurement on the charged hadron $R_{AA}$ at STAR shows a maximum of $\sim 1.5$ at $2.5 < p_T < 4$ GeV/c agreeing with the prediction.

Our $R_{CP}$ measurements on the identified strange hadrons, $K^0_S$, $\phi$, $\Lambda + \bar{\Lambda}$ and $\Xi^- + \bar{\Xi}^+$ covering the $p_T$ up to 6 GeV/c seem to support the initial state model calculations(right in figure 6.5). The $R_{CP}$ ratios peak at $\sim 1.2$ for the mesons, $K^0_S$ and $\phi$, while $\sim 1.5$ for the baryons $\Lambda + \bar{\Lambda}$ and $\Xi^- + \bar{\Xi}^+$.

However, it is not easy for these initial state based models to explain the grouping effect shown in $R_{CP}$ for both d+Au and Au+Au collisions at 200 GeV.
Figure 6.5: $R_{CP}$ for strange hadrons from Au+Au and d+Au 200 GeV at RHIC. $R_{CP}$ ratios are grouped into two particle types: mesons and baryons in both systems. Errors include statistical and systematic errors.

They are blind to the hadron species. In Au+Au collisions though the $R_{CP}$ values for the measured strangeness particles are below unity due to the suppression from the jet quenching effect, and their separation into mesons and baryons is even more pronounced than that from the d+Au measurement (left in figure 6.5). Since the particle type dependence should be directly related to the hadronization stage, the final state effects as implemented in the recombination/coalescence models may definitely influence the Cronin effect at high energy heavy ions collisions. It should be pointed out even in $pA$ collisions, the particle dependence has existed: the proton $R_{AA}$ is obviously higher than kaon’s and pion’s while the latter two have close $R_{AA}$ [Str92]. The origin of this phenomena remains unknown.

We will introduce the recombination model of Hwa et al. [HY04b, HY04a, HY04c] to see a possible yet natural way to explain the particle type dependence in the Cronin effect. In this model the traditional parton fragmentation is inter-
interpreted by the recombination process. The distribution of hadron production as a function of its momentum is treated for mesons and baryons in a different way. For mesons formed by quark and anti-quark, the distribution can be written as

$$ p \frac{dN_M}{dp} = \int \frac{dp_1}{p_1} \frac{dp_2}{p_2} F_{q\bar{q}'}(p_1, p_2) R_M(p_1, p_2, p) $$

(6.3)

where $F_{q\bar{q}'}(p_1, p_2)$ is the joint distribution of a quark and an antiquark $\bar{q}'$, and $R_M(p_1, p_2, p)$ is the recombination function (RF) for $q\bar{q}' \rightarrow M$. $F_{q\bar{q}'}(p_1, p_2)$ can be expressed in a schematic way:

$$ F_{q\bar{q}'} = TT + TS + SS $$

(6.4)

where $T$ denotes soft (low $p_T$) parton distribution and $S$ shower (high $p_T$) parton distribution. At very high $p_T$ the third term $SS$ obtained from pQCD calculations dominates and the recombination process gives the same effect as the fragmentation. However, in d+Au collisions at RHIC energies soft partons are abundant enough to affect the final hadron yield at low and intermediate $p_T$ even in the mid-rapidity region. The first term in Eq. 6.4 giving the low $p_T$ thermal contribution is determined by fitting the d+Au data at low $p_T$ using a $p_T$ exponential function

$$ \frac{C^2}{6} \exp(-p/T) $$

(6.5)

where $C$ and $T$ are two fit parameters. The second term whose magnitude influences the yield at the intermediate $p_T$, is the key component in the model.

For three quark baryons, the distribution can be written using three quark joint and recombination functions,
\[ \frac{p^0 dN_B}{dp} = \int \frac{dp_1}{p_1} \frac{dp_2}{p_2} \frac{dp_3}{p_3} F(p_1, p_2, p_3) R_B(p_1, p_2, p_3, p) \]  \hspace{1cm} (6.6)

Being different from mesons, \( F(p_1, p_2, p_3) \) for baryons can be expressed as

\[ F_{q'q''} = TTT + TTS + TSS + SSS \]  \hspace{1cm} (6.7)

where the first term \( TTT \) stands for the low \( p_T \) thermal contribution and is proportional to

\[ \frac{C^3 p^2}{6 p_0} \exp(-p/T) \]  \hspace{1cm} (6.8)

The last term \( SSS \) is the shower contribution for the high \( p_T \) and the thermal-shower contribution \( TTS + TSS \) has a quite different behavior from that for mesons where it gives \( TS \).

From the recombination scheme, the hadron distribution depends strongly on the number of quarks in a hadron as seen in equations 6.4, 6.7 and slightly on the hadron mass that enters in through the total energy \( p_0 \) and the fit parameter \( T \) (a heavier particle may give a larger \( T \)). Therefore it could naturally describe the dependence on particle type instead of on the particle mass observed in our strange hadron \( R_{CP} \) measurement. The \( \phi \) mass is close to \( \Lambda \)'s, yet the \( R_{CP} \) is close to \( K_0^0 \). Furthermore, the model is not dependent on quark constituents in a hadron so all the light and strange mesons are expected to follow the same \( R_{CP} \) trend, and the same for baryons. At STAR, due to the limited statistics for protons and the identification limitation for pions at \( p_T > 1.5 \) GeV/c in d+Au TOF data, it is hard to compare our strange hadron \( R_{CP} \) with that for protons and pions. The PHENIX collaboration has measured the \( R_{CP} \) of the pion up to 2.4 GeV/c and the \( R_{CP} \) of the proton up to 3 GeV/c. Unfortunately,
they have used a different centrality definition from the STAR making the direct comparison impossible. However, some qualitative behaviors are same: there exists an clear enhancement in the intermediate $p_T$ for both mesons and baryons and the baryon $R_{CP}$ ratios are higher than those for mesons. At PHENIX, the pion $R_{CP}$ reaches a maximum at $\sim 1.3$ and the proton $R_{CP}$ reaches a maximum at $\sim 1.9$. The origin of the greater $R_{CP}$ for the proton is mainly from the fact that the thermal term $TTT$ for the proton (proportional to $C^3$ in equation 6.8) is more sensitive to centrality than the term $TT$ for the pion (proportional to $C^2$ in equation 6.5). This causes the $TTS + TSS$ contribution to be negligible in peripheral collisions while comparable with other component contributions in central collisions. This should also explain our result for strange hadrons: the $R_{CP}$ ratios for $\Lambda$ and $\Xi$ are greater than those for $K^0_S$ and $\phi$. To confirm the effectiveness of the recombination model, the $R_{CP}$ measurement on more particle species over a wider $p_T$ range is necessary.

6.5 Implications for Au+Au Collisions

From the $R_{CP}$ measurement for charged hadrons (Figure 1.7) and strange hadrons (Figure 6.5) from 200 GeV Au+Au collisions at RHIC, a clear strong suppression for central collisions at mid-rapidity for $p_T > 5$ GeV/c was seen. This suppression could be explained either by final-state effects (pQCD-I [Wan04a] and pQCD-II [VG02b]) based on pQCD incorporating partonic energy loss in dense matter or by initial-state effects from the gluon saturation mechanism [Kha03]. While the latter predicted a suppression in hadron production in d+Au collisions, the former did not since no dense matter is expected to be created in d+Au collisions, thus partonic energy loss is negligible. The observed enhance-
ments in mid-rapidity charged and strange hadron production in d+Au as seen in Figures 1.10 and 6.5 indicate that the suppression is due to the final-state effect: energy loss in the medium. Further, a detailed hadronic transport calculation [Cas04] has shown that the hadronic absorption in the medium fails to account for the observed suppression suggesting that the experimentally observed suppression is due to partonic rather than hadronic energy loss. However, it is difficult to tell if this medium causing the energy loss is already deconfined to partonic matter or still in the hadronic state.

Another interesting phenomenon occurred at intermediate $p_T$ is the grouping effect in $R_{CP}$ as discussed in Section 6.4: baryons follow the same trend regardless of the type and mass of their constituent quarks while mesons follow another trend also independent of the type and mass of their constituent of quark and antiquark(Figure 6.5). A natural way to understand this grouping effect has been given in the framework of parton coalescence and recombination in which two quarks coalesce or recombine to a meson while three quarks to a baryon. The larger $R_{CP}$ difference in Au+Au collisions than that in d+Au implies, according to these model assumptions, that abundant soft partons exist in the central Au+Au system favoring the formation of baryons at intermediate $p_T$. Therefore assuming the existence of a soft partonic matter filled with quarks and gluons would explain the $R_{CP}$ over the whole $p_T$ range: the high $p_T$ suppression due to hard partons traversing through this soft partonic matter and losing energy, and the intermediate $p_T$ grouping effect (mesons vs. baryons) due to the recombination of partons from this partonic source. The assumption of hadronic matter, however, cannot explain the latter one. If this picture is correct, we would expect the same grouping effect in the $v_2$ measurement. Figure 6.6 shows the $v_2$ values scaled by the number of constituent quarks ($n$) for identified hadrons from RHIC Au+Au collisions. While $v_2$ is significantly different for mesons and baryons at
$p_T > 2 \text{ GeV}/c$, $v_2/n$ is close for all particles. This quark level $v_2$ indicates the momentum space azimuthal anisotropy for hadrons at the hadronization stage may develop from partonic matter existing at the early stage [MV03].

![Figure 6.6: $v_2$ for identified particles scaled by the number of constituent quarks ($n$) versus $p_T/n$ from Au+Au 200 GeV collisions at RHIC.]

6.6 Conclusions

In summary, we have reported the measurements of transverse mass and momentum spectra at mid-rapidity $(|y| < 1)$ for the identified strange hadrons: $K_S^0$, $\Lambda + \bar{\Lambda}$ and $\Xi^- + \Xi^+$ from d+Au collisions at RHIC. The measured $p_T$ covers $0.4 < p_T < 6.0 \text{ GeV}/c$ for $K_S^0$, $\Lambda + \bar{\Lambda}$ and $0.6 < p_T < 5.0 \text{ GeV}/c$ for $\Xi^- + \Xi^+$.

The $dN/dy$ normalized to the number of participants increase with the multiplicity measured in the TPC. The $\Lambda(\bar{\Lambda})$ $dN/dy$ values at the mid-rapidity and forward rapidity regions agree with the prediction from the EPOS model, which indicates that multiple parton scatterings are important in determining the final
hadron yield in d+Au collisions. The measured Λ/K^0_S ratios show the great-
est baryon enhancement at p_T ∼ 2 GeV/c in d+Au collisions, very close to the
Au+Au peripheral collisions(60-80%).

The strangeness enhancement going from d+Au to Au+Au collisions grows
with the number of strange quark in a hadron. The magnitude of the enhance-
ment is in the same order as that at SPS collision energy. If the strangeness
enhancement is a signal of the deconfined matter, it suggests that at the SPS
energy(√s = 17.4 GeV) the QGP has been probably created at a lower temper-
ature yet a larger net baryon chemical potential.

The nuclear modification factors R_CP normalized to binary collisions indicate
that the Cronin effect in d+Au collisions has a distinct particle type dependence.
The R_CP ratios show a distinct baryon versus meson dependence: the R_CP for
Ξ^−+Ξ^+ follows that for Λ+Λ while the R_CP for the φ (analysis by Xiangzhou Cai)
is close to that for the K^0_S. The particle type dependence of R_CP is obviously out
of the framework of initial state effects. Hadronization processes, as suggested by
coalescence and recombination models, are likely to be important for explaining
hadron spectra and the Cronin effect in high-energy d+Au collisions.

6.7 Future Directions

The confirmation of the transition from a hadron-gas state to a quark-gluon
matter QGP needs many experimental crosschecks covering an energy range from
the low energy nucleus-nucleus collisions where the QGP is not expected to form
to the high energy nucleus-nucleus collisions where the QGP is predicted by
the LQCD calculations. It is necessary to find the critical collision energy below
which the system could be thought to be in a hadronic gas state. For this purpose in 2004, Au+Au collisions at $\sqrt{s_{NN}} = 62$ GeV have run at RHIC STAR. The results for 62 GeV collisions have shown no significant difference from those at 200 GeV, suggesting that the QGP might have formed even in this lower energy. Experiments at even lower collision energy are necessary.

Since the QGP exists only in bulk matter, the change of system size would affect the formation of QGP. Cu+Cu collisions at 200 GeV has been run at RHIC in 2005. The comparison of $R_{CP}$ and $v_2$ between the Au+Au and the Cu+Cu may reveal to what extent the system size would influence the meson vs baryon effect and the suppression due to the jet quenching.

From the analysis viewpoint, more data are needed to push the $p_T$ reach into the fragmentation area ($p_T > 5$ GeV/c from current estimates) to test if $R_{CP}$ for mesons and baryons merge or not.
APPENDIX A

Kinematic Variables

A.1 Transverse Momentum and Mass

A particle produced from the collision is characterized by its mass $m$ and three momentum components $p_x$, $p_y$ and $p_z$ where $z$ is along the beam direction. Of special interest is the transverse momentum

$$p_T = \sqrt{p_x^2 + p_y^2} \quad (A.1)$$

because it is invariant under the Lorentz transformations along the beam direction. Another transverse variable often used for the thermal particles at low $p_T$ region is the transverse mass that is defined as

$$m_T = \sqrt{p_T^2 + m^2} \quad (A.2)$$

and the transverse kinetic energy of the particle is $m_T - m$ which is invariant under the Lorentz transformations along $z$ direction.
A.2 Rapidity and Pseudorapidity

The longitudinal variable rapidity $y$ is defined as

$$ y = \frac{1}{2} \ln \left( \frac{E + p_z}{E - p_z} \right) $$  \hspace{1cm} (A.3)

where $E = \sqrt{p^2 + m^2}$ is the particle energy and rapidity is also invariant and additive under Lorentz transformations along $z$. Usually, the mass of an unidentified particle is unknown but its momentum can be measured experimentally. In that case, we often use the pseudo-rapidity that defined as

$$ \eta = \frac{1}{2} \ln \left( \frac{p + p_z}{p - p_z} \right) $$  \hspace{1cm} (A.4)

For $p \gg m$, $\eta$ is a good approximation for the rapidity $y$. The pseudo-rapidity can be written as

$$ \eta = -\ln \left[ \tan \left( \frac{\theta}{2} \right) \right]. $$  \hspace{1cm} (A.5)

where $\theta$ is the angle between the particle momentum $p$ and the beam axis.
APPENDIX B

Momentum Space and Invariant Yields

In this section the differential cross section and the Lorentz invariant yield will be discussed. First we introduce the differential yield, \( \frac{d^3N}{dp^3} \), that is the number of particles emitted into a particular momentum space bin in a collision. The total yield for a particular particle is obtained by integrating the differential yield over the whole momentum space,

\[
N = \int \frac{d^3N}{dp^3} d^3p \tag{B.1}
\]

which is obviously Lorentz invariant. The momentum-space volume element, \( d^3p \), however, is not invariant and it transforms as \( dp' = \gamma dp \) between two frames. So the differential yield in \( d^3N/dp^3 \) is frame dependent and is not convenient for the comparison between two experiments since we have to specify which frame is referred to. So finding an invariant variable that is close to the differential yield is necessary.

Recall that the differential momentum-space volume \( d^4p \) is invariant (\( dE' = dE/\gamma \)), but it includes momentum-space region in which particles are off-shell. Requiring particles to be on-shell, we obtain:

\[
\int \delta(p \cdot p - m^2)d^4p = \int \delta(E^2 - |p|^2 - m^2)d^3p dE = \frac{d^3p}{2E}. \tag{B.2}
\]
The left hand side of this expression is invariant (the delta function is invariant since its argument is), and we have used \( \delta(f(x)) = \sum_i \delta(x - x_i)/|f'(x)| \), where the \( x_i \) are the zeros of \( f(x) \). So the momentum-space volume element \( d^3p/E \) is Lorentz invariant. We rewrite Equation B.1 as

\[
N = \int E \frac{d^3N}{d^3p} \frac{dp}{E}.
\] (B.3)

Therefore the differential yield, \( Ed^3N/dp^3 \), must be Lorentz invariant. Using the Jacobian transformation from \( (p_x, p_y, p_z) \) to \( (p_T, y, \phi) \), we have

\[
\frac{dp_x dp_y dp_z}{E} = p_T d\mu_T dy d\phi.
\] (B.4)

where \( p_T, y, \phi \) are transverse momentum, rapidity and azimuthal angle. The total yield is then

\[
N = \int \frac{d^2N}{p_T dp_T dy d\phi} p_T dp_T dy d\phi.
\] (B.5)

For the first order approximation in heavy ion collisions, the azimuthal angle distribution is assumed isotropic. Performing the integral over \( \phi \), the total yield becomes:

\[
N = \int \frac{d^2N}{2\pi p_T dp_T dy} 2\pi p_T dp_T dy.
\] (B.6)

Since \( 2\pi p_T dp_T dy \) and the total yield \( N \) are Lorentz invariant (see A),

\[
\frac{d^2N}{2\pi p_T dp_T dy}
\] (B.7)

is also Lorentz invariant. This is known as the invariant transverse momentum yield (or spectrum). We further observe that \( p_T dp_T = m_T dm_T \), so that
\[ \frac{d^2 N}{2\pi m_T \, dm_T \, dy} = \frac{d^2 N}{2\pi p_T \, dp_T \, dy} \]  \hspace{1cm} (B.8)

which is known as the invariant transverse mass yield (or spectrum).
APPENDIX C

\langle p_T \rangle \text{ from double exponential function}

The mean \( p_T(\langle p_T \rangle) \) could be calculated numerically from the \( p_T \) spectrum fit function. In our analysis, double exponential function has been used. From equation B.8, the amplitude of \( p_T \) spectrum is the same as that of \( m_T \) spectrum so we rewrite the double exponential function (Eq. 5.1) in \( p_T \) format

\[
\frac{d^2 N}{2\pi p_T \, dp_T \, dy} = \frac{dN}{2\pi dy} \left( \frac{a}{T_1(m_0 + T_1)} e^{-(\sqrt{p_T^2 + m_0^2} - m_0)/T_1} + \frac{(1 - a)}{T_2(m_0 + T_2)} e^{-(\sqrt{p_T^2 + m_0^2} - m_0)/T_2} \right)
\]

(C.1)

where \( dN/dy, T_1, T_2 \) and \( a \) are four parameters. Here we have used the identity

\[
1 = \int \left( \frac{a}{T_1(m_0 + T_1)} e^{-(\sqrt{p_T^2 + m_0^2} - m_0)/T_1} + \frac{(1 - a)}{T_2(m_0 + T_2)} e^{-(\sqrt{p_T^2 + m_0^2} - m_0)/T_2} \right) \, dp_T \, dp_T
\]

(C.2)

The \( \langle p_T \rangle \) in a specific rapidity region is defined as

\[
\langle p_T \rangle = \frac{\int p_T \frac{d^2 N}{dp_T \, dy} \, dp_T}{\int \frac{d^2 N}{dp_T \, dy} \, dp_T}
\]

(C.3)

where the denominator integral is the normalization factor. With the help of equations C.1 and C.2, equation C.3 can be simplified to
\[ \langle p_T \rangle = \int p_T^2 \left( \frac{a}{T_1(m_0 + T_1)} e^{\frac{(\sqrt{p_T^2 + m_0^2} - m_0)}{T_1}} + \frac{(1 - a)}{T_2(m_0 + T_2)} e^{\frac{(\sqrt{p_T^2 + m_0^2} - m_0)}{T_2}} \right) dp_T \]  

(C.4)

Replacing the integral with the summation and \( dp_T \) with \( \delta p_T \), we can calculate the \( \langle p_T \rangle \) in a numerical way

\[ \langle p_T \rangle = A \sum p_T^2 e^{\frac{(\sqrt{p_T^2 + m_0^2} - m_0)}{T_1}} \delta p_T + B \sum p_T^2 e^{\frac{(\sqrt{p_T^2 + m_0^2} - m_0)}{T_2}} \delta p_T \]  

(C.5)

where \( A = \frac{a}{T_1(m_0 + T_1)} \), \( B = \frac{1-a}{T_2(m_0 + T_2)} \) and \( \delta p_T \) is a small \( p_T \) interval. In practice, \( p_T \) in the summation goes from 0 to a \( p_T \) reach such that the contribution above this \( p_T \) can be ignored. Usually this \( p_T \) is not necessary to be chosen very large since the spectrum is exponentially dropping.
APPENDIX D

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