

Paper proposal: Transverse  
Single-Spin Asymmetry for  
Diffractive Electromagnetic Jets  
with  $p^\uparrow + p$  Collisions at  $\sqrt{s} =$   
200 GeV

Xilin Liang, for the PAs

Nov. 6, 2024

# Paper Information

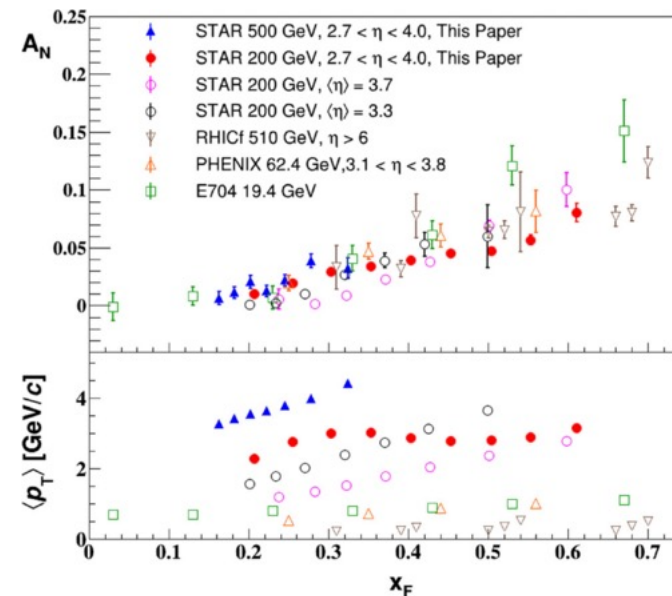
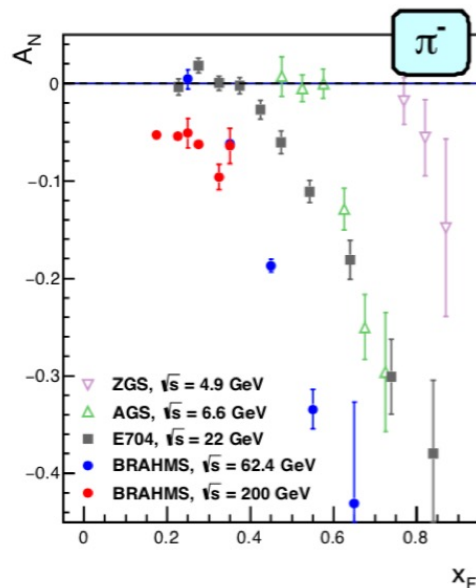
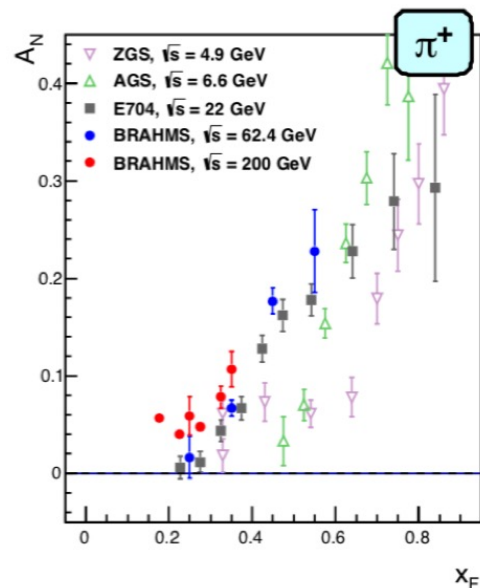
- Title: Transverse Single-Spin Asymmetry for Diffractive Electromagnetic Jets with  $p^\uparrow + p$  Collisions at  $\sqrt{s} = 200$  GeV
- Target journal: PLB
- PAs: Kenneth Barish, Christopher Dilks, Carl Gagliardi, Latif Kabir, David Kapukchyan, **Xilin Liang\***, Mriganka Mondal
- Webpage: <https://drupal.star.bnl.gov/STAR/blog/liangxl/Paper-Proposal-run-15-FMS-diffractive-EM-jet>

# Data sets and triggers

- Data sets: run15 pp transverse data ,  $\sqrt{s} = 200 \text{ GeV}$  (production\_pp200trans\_2015)
- Stream: st\_fms
- Production type: MuDst ; Production tag: P15ik
- Trigger for FMS : FMS small board sum, FMS large board sum and FMS-JP.
  - Trigger list: FMS-JP0, FMS-JP1, FMS-JP2, FMS-sm-bs1, FMS-sm-bs2, FMS-sm-bs3, FMS-lg-bs1, FMS-lg-bs2, FMS-lg-bs3. (9 triggers)
- Requirement: Event must also contain at least 1 Roman Pot track.
- Trigger veto: FMS-LED
- STAR library: SL20a

# Transverse Single-Spin Asymmetry (TSSA, $A_N$ )

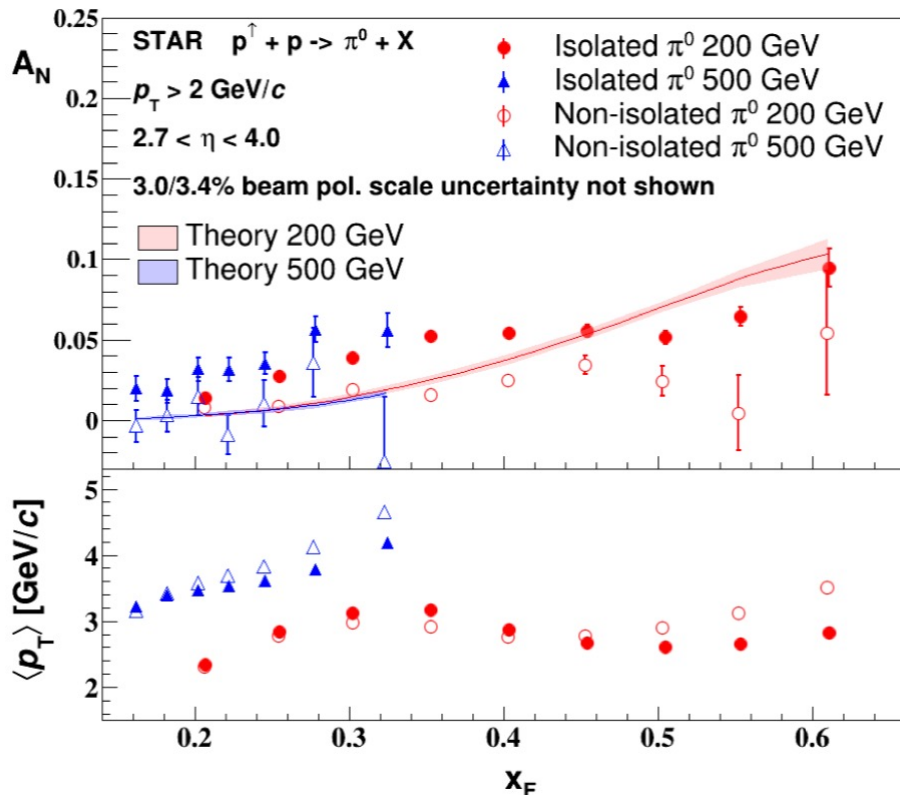
- $A_N = \frac{\sigma_L - \sigma_R}{\sigma_L + \sigma_R}$
- pQCD predicts  $A_N \sim \frac{m_q \alpha_s}{p_T} \sim 0.001$
- Unexpectedly large  $A_N$  at forward region is observed in proton-proton collisions.
- Possible mechanism for large TSSA:
  - TMDs framework: Sivers effect and Collins effect
  - Twist-3 mechanism



# Indication of large TSSA from diffractive process

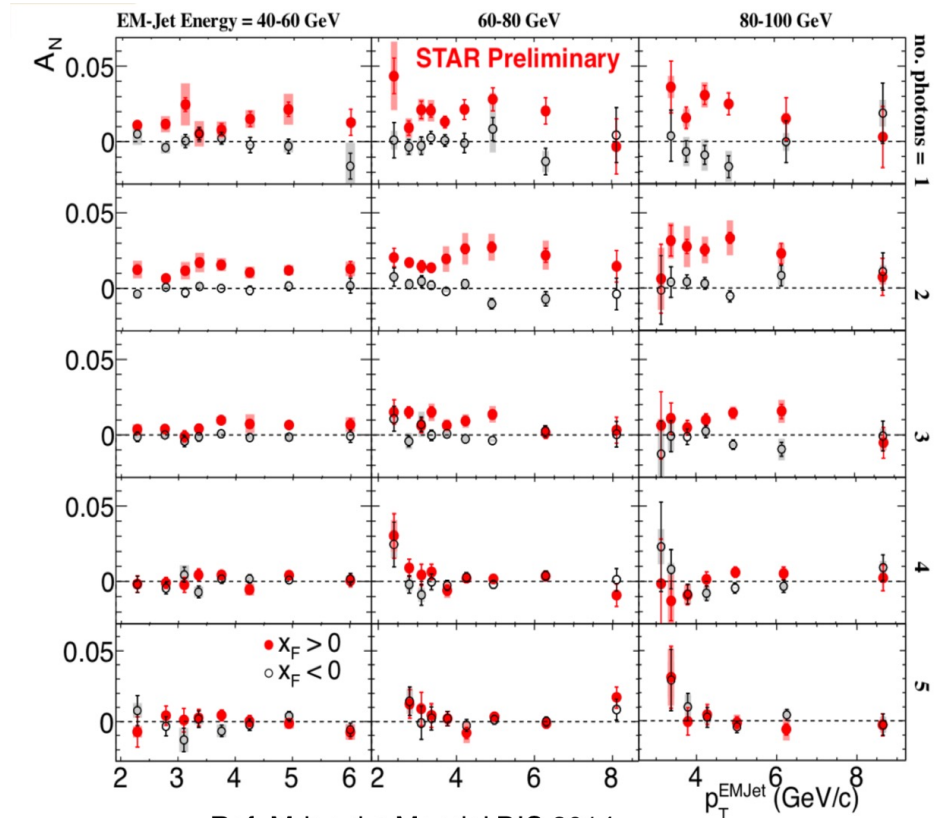
- Previous analyses of  $A_N$  for forward  $\pi^0$  and electromagnetic jets in  $p^\uparrow + p$  collisions at STAR indicated that there might be non-trivial contributions to the large  $A_N$  from diffractive processes.

Inclusive  $\pi^0$   $A_N$ : isolated  $\pi^0$  have larger  $A_N$



Ref: Phys. Rev. D **103**, 092009 (2021)

Inclusive EM-jet  $A_N$ : low photon multiplicity EM-jets have larger  $A_N$

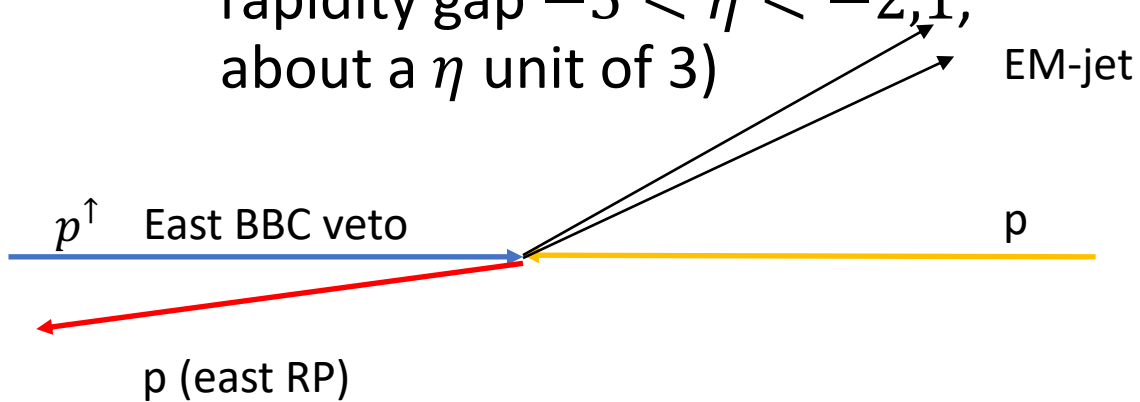


Ref: Mriganka Mondal DIS 2014

# Single diffractive process and Rapidity gap events

- Single diffractive process (**SD**):

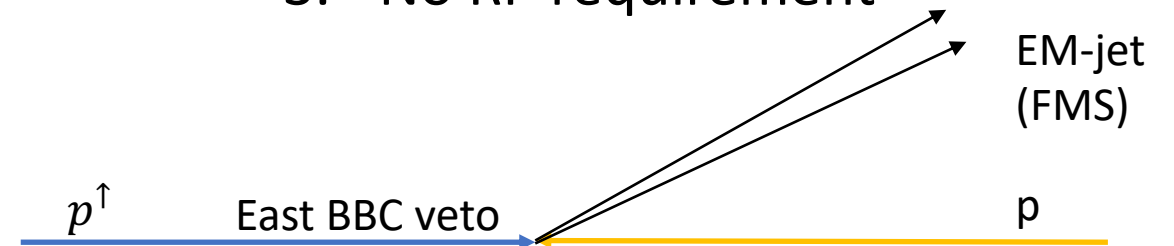
1. Only 1 EM-jet at FMS
2. Only 1 east RP track and it must be good RP track
3. East BBC veto (determine rapidity gap  $-5 < \eta < -2,1$ , about a  $\eta$  unit of 3)



East proton	Rapidity gap	FMS Jet
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- Rapidity gap events (**RG**):

1. Only 1 EM-jet at FMS
2. East BBC veto (determine rapidity gap  $-5 < \eta < -2,1$ , about a  $\eta$  unit of 3)
3. No RP requirement



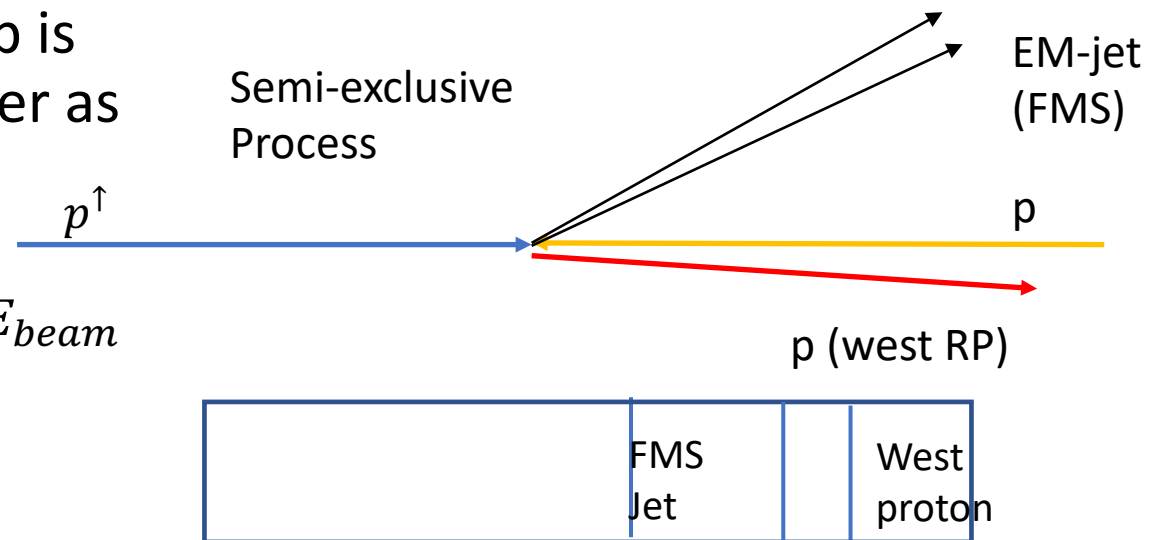
	Rapidity gap	FMS Jet
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# Semi-exclusive process

- Semi-exclusive process (**SE**):

1. Only 1 EM-jet at FMS
2. Only 1 west RP track and it must be good RP track
3. West BBC veto (rapidity gap is not large enough to consider as diffractive process)
4. E sum requirement

$$E_{sum} = E_{EM-jet} + E_{west RP} \approx E_{beam}$$



# Systematic uncertainty for SD, RG, and SE events

- We use Bayesian method for systematic uncertainty study. (ref: arXiv:hep-ex/0207026)
- First of all, for the cuts we choose, varying each individual cut value for calculating the asymmetry. **The first three terms apply for all processes**
  - Small BBC ADC sum cuts
  - Large BBC ADC sum cuts
  - Ring of Fire (exclude small-bs-3 trigger)
  - E sum cut (**Only for SE events**)
  - Background (**Only for SD and SE events**)
- The final systematic will be counted bin by bin ( $x_F$  bins) :  $\sigma_{summary} = \sqrt{\sum_i (\sigma_i)^2}$



# Abstract

- The STAR Collaboration reports the transverse single-spin asymmetry,  $A_N$ , for the electromagnetic jets (EM-jets) at forward rapidity ( $2.8 < \eta < 3.8$ ) in diffractive processes as the function of EM-jet Feynman-x ( $x_F$ ) and photon multiplicity in transversely polarized pp collisions at  $\sqrt{s} = 200$  GeV. The  $A_N$  in the processes with the situations that either the polarized proton stay intact (semi-exclusive process) or unpolarized proton stay intact (single diffractive process) are explored.  $A_N$  is found to be non-zero value for low photon multiplicity EM-jets in the single diffractive process but is consistent with  $A_N$  in the inclusive process within uncertainty. Furthermore, the cross section in single diffractive process compared to the inclusive process is small. The  $A_N$  in the semi-exclusive processes is non-zero with negative value. These results show the diffractive process can not provide evidence to have large contribution to the large  $A_N$  in the inclusive process.

# Fig. 1: $A_N$ for single diffractive events

Blue beam  $A_N$  is  $2.7 \sigma$  to be non-zero for EM-jet with all photon multiplicity.

Constant fit:  $0.024 \pm 0.0089$

$\chi^2/n.d.f$ : 0.83

Blue beam  $A_N$  is  $2.5 \sigma$  to be non-zero for EM-jet with 1 or 2 photon multiplicity.

Constant fit:  $0.030 \pm 0.012$

$\chi^2/n.d.f$ : 0.78

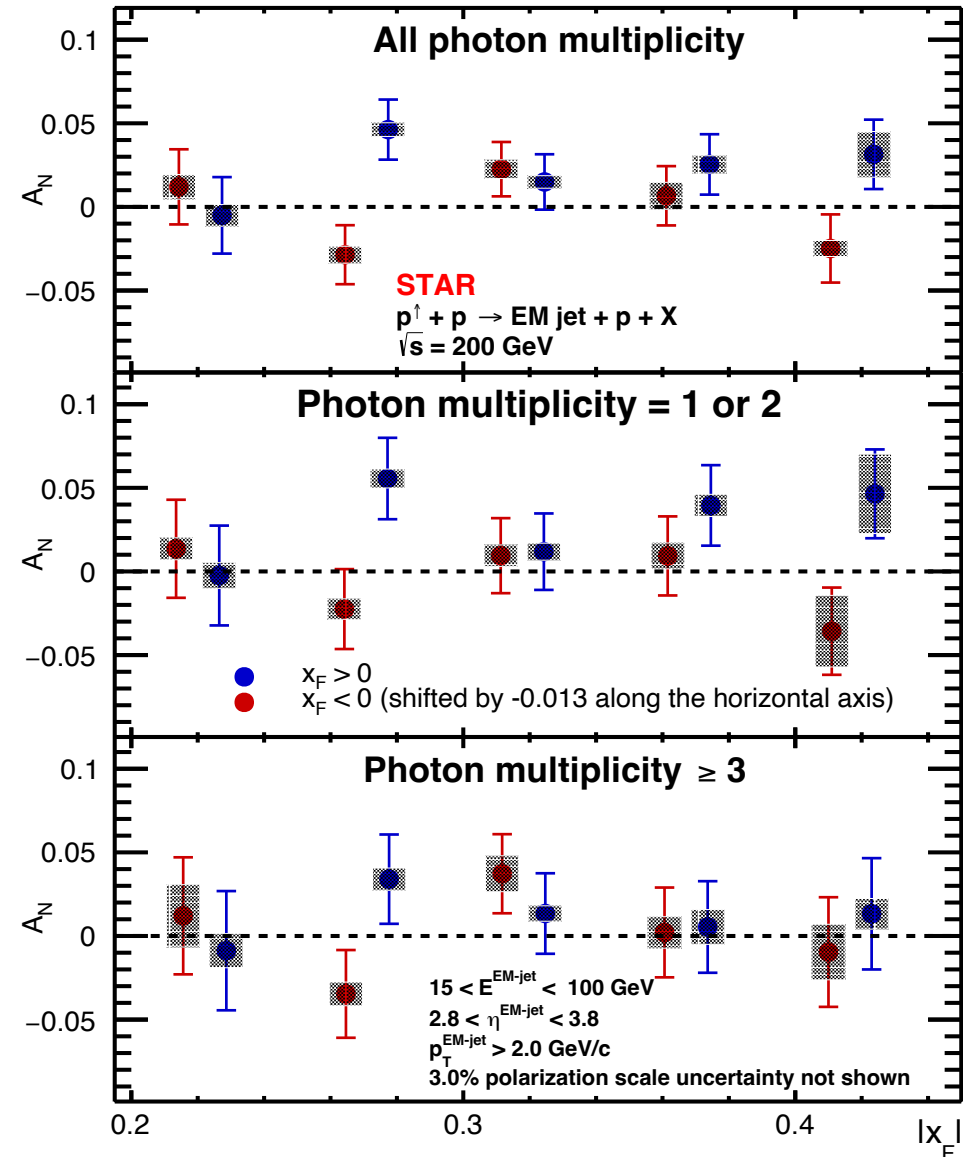
Blue beam  $A_N$  is  $1.0 \sigma$  to be non-zero for EM-jet with 3 or more photon multiplicity.

Constant fit:  $0.014 \pm 0.013$

$\chi^2/n.d.f$ : 0.25

Yellow beam  $A_N$  is consistent with zero for all cases.

Figure 1:  $A_N$  for single diffractive events as a function of  $x_F$  for 3 different photon multiplicity cases: all photon multiplicity (top), 1 or 2 photon multiplicity (middle), and 3 or more photon multiplicity (bottom). The  $A_N$  for  $x_F < 0$  (red points) shifts -0.013 along the x-axis.



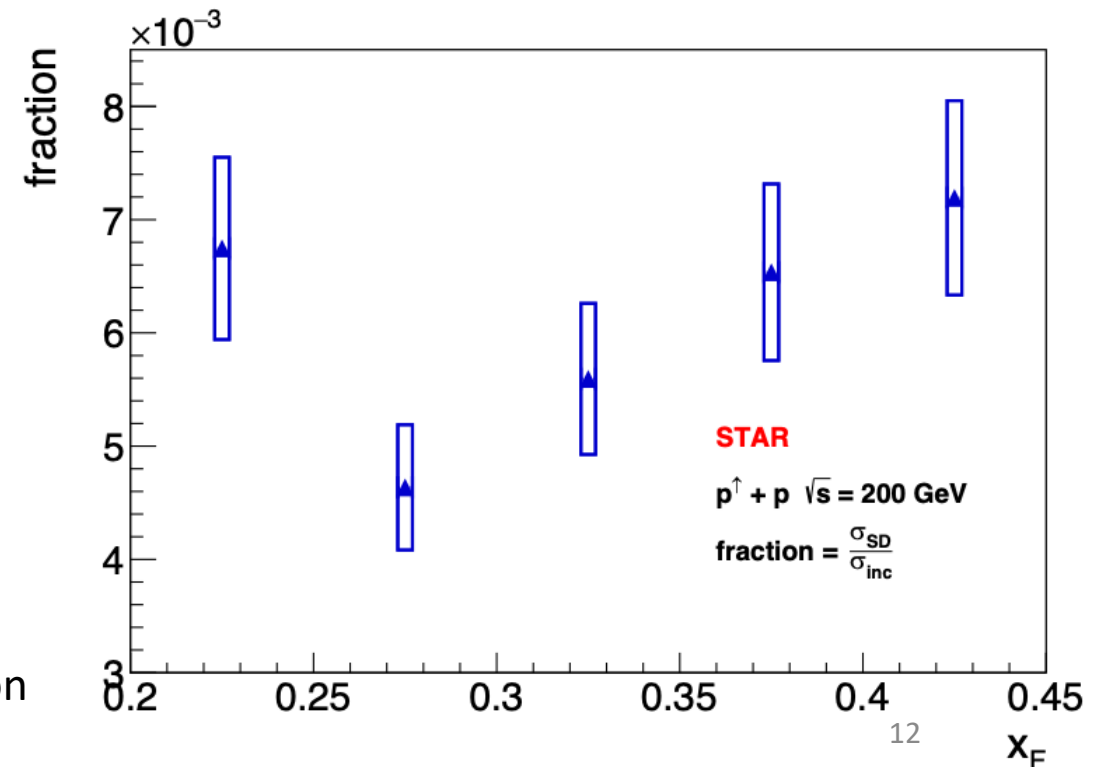
# Study the non single diffraction fraction in RG events

- We study the non single diffraction (NSD) fraction in RG events using the fraction of the SD events to the RG events from data and simulation.
  - SD simulation: Pythia 8: SoftQCD:singleDiffractive
- For the RG events in data, they contain the real SD (RSD) events and NSD events:
  - $\text{Frac}(\text{SD}/\text{RG in data}) = \frac{SD}{RSD+NSD} = 11.08\%$
  - $\text{Frac}(\text{SD}/\text{RG in sim}) = \frac{SD}{RSD} = 16.13\%$
  - Assuming  $\frac{SD}{RSD}$  is same between data and simulation
- Therefore, NSD in RG events in data =  $\frac{NSD}{RSD+NSD} = 31.3\%$
- **The SD fraction in RG events in data is  $68.7\% \pm 0.56\% \pm 8.18\%$**

# Fig. 2: Cross section fraction

- We calculate the cross section fraction as a function of EM-jet  $x_F$ .
- The cross section fraction is:  $\frac{\sigma(SD)}{\sigma(inc)}$  (single diffractive (SD) cross section to the inclusive process (inc) cross section for the run 15 FMS EM-jet  $A_N$  [study](#))
- The single diffractive process cross section is very small compared to the inclusive process cross section, which shows that it can not have significant contribution to the large  $A_N$  in inclusive process

Figure 2: Cross section fraction of the single diffractive process ( $\sigma_{SD}$ ) to the inclusive process ( $\sigma_{inc}$ ) as a function of  $x_F$ .



# Fig. 3: $A_N$ for RG events

- About 68.7% of the RG events are single diffractive events.

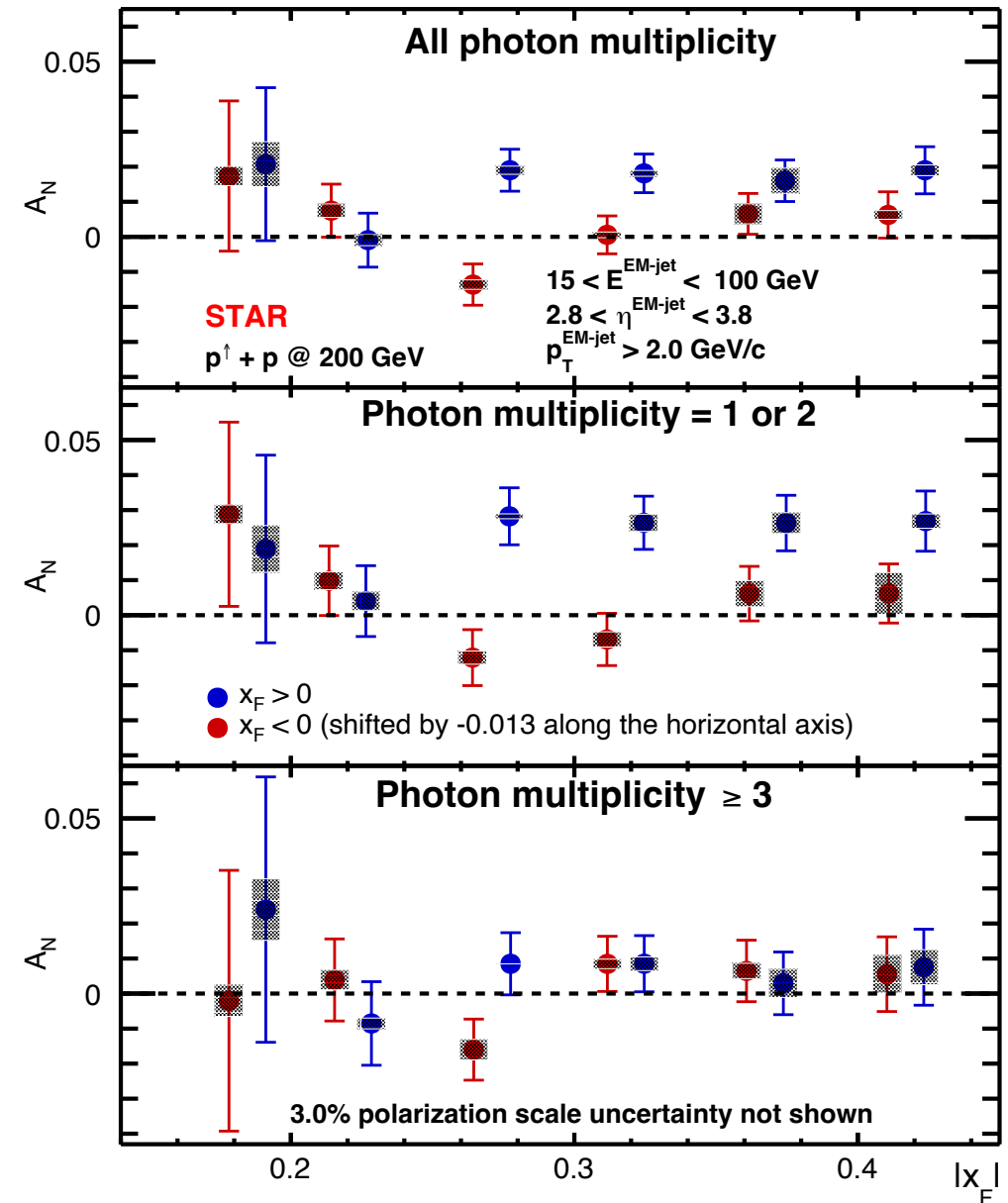
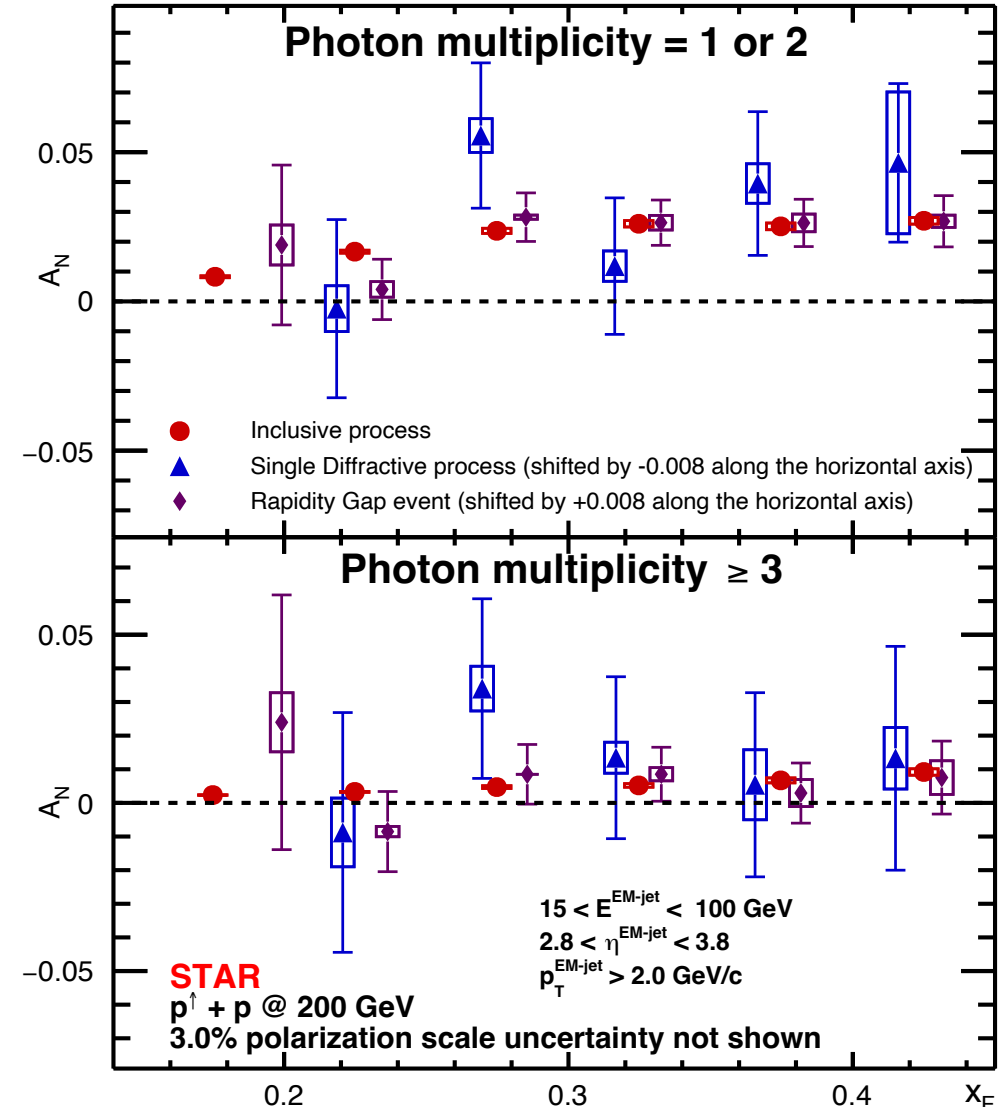


Figure 3:  $A_N$  for rapidity gap events as a function of  $x_F$  for 3 different photon multiplicity cases: all photon multiplicity (top), 1 or 2 photon multiplicity (middle), and 3 or more photon multiplicity (bottom). The  $A_N$  for  $x_F < 0$  (red points) shifts -0.013 along the x-axis.

# Fig. 4 : Comparison plot of $A_N$ for inclusive, single diffractive, and rapidity gap events

- The  $A_N$  for inclusive, single diffractive, and rapidity gap events are consistent within uncertainty
- The events with polarized proton breakup and unpolarized proton intact are showing with the similar  $A_N$  as for inclusive process

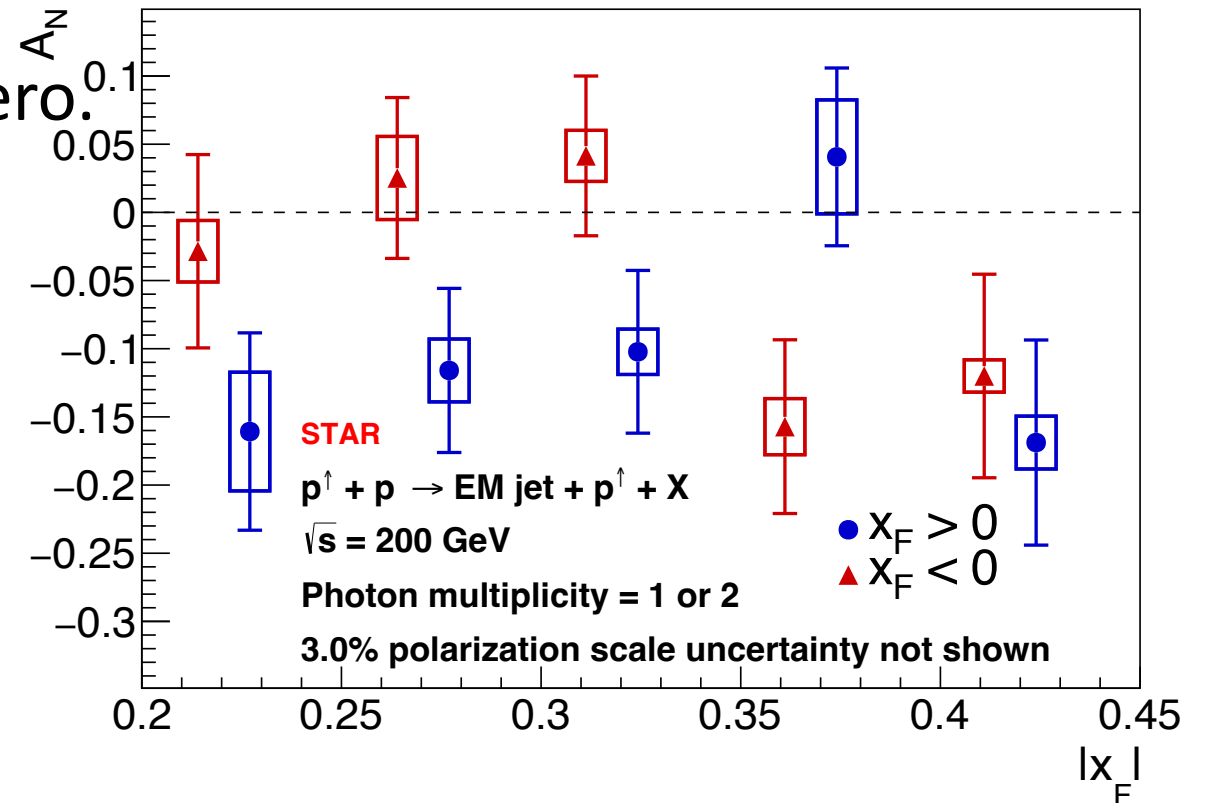
Figure 4:  $A_N$  as a function of  $x_F$  for 3 processes for the case of photon multiplicity 1 or 2 (top panel) and photon multiplicity 3 or more (bottom panel) : inclusive process (red), single diffractive process (blue), and the rapidity gap events (magenta)



# Fig. 5: $A_N$ for semi-exclusive process

- Only 1 or 2 photon multiplicity
- Blue beam  $A_N$  is 3.1  $\sigma$  to be non-zero.
  - Constant fit:  $-0.10 \pm 0.032$
  - $\chi^2/n. d. f$ : 1.17
- Yellow beam  $A_N$  is 1.4  $\sigma$  to be non-zero.
  - Constant fit:  $-0.042 \pm 0.031$
  - $\chi^2/n. d. f$ : 1.36
- The EM-jet  $A_N$  is negative for events with polarized proton intact and unpolarized proton breakup

Figure 5:  $A_N$  as a function of  $x_F$  for the semi-exclusive process with 1 or 2 photon multiplicity EM-jets. The blue points are for  $x_F > 0$ , while the red points are for  $x_F < 0$ .



# Conclusion

- The non-zero  $A_N$  for single diffractive process and the semi-exclusive process are observed for the EM-jets with 1 or 2 photon multiplicity
- The  $A_N$  values for the single diffractive process with the unpolarized proton intact are consistent with  $A_N$  for inclusive process within uncertainty, showing that the single diffractive process can not provide evidence to have great contribution to the large  $A_N$  in the inclusive process
- The cross section fraction for single diffractive process to the inclusive process in the forward region is very small, so single diffractive process can not have major contribution to to the large  $A_N$  in the inclusive process
- The  $A_N$  value for semi-exclusive process with polarized proton intact is negative, which also can not have great contribution to the large  $A_N$  in the inclusive process



Back up

# Event selection and corrections for SD process

- **FMS**
    - 9 Triggers, veto on FMS-LED
    - Only 1 EM-jet per event is allowed
    - bit shift, bad / dead / hot channel masking (include fill by fill hot channel masking)
    - Jet reconstruction: StJetMaker2015 , Anti-kT,  $R < 0.7$  , FMS point energy  $> 1$  GeV,  $p_T > 2$  GeV/c, trigger  $p_T$  threshold cut, FMS point as input.
  - **Only allow acceptable beam polarization (up/down).**
  - **Vertex** (Determine vertex z priority according to TPC , VPD, BBC.)
    - Vertex  $|z| < 80$  cm
  - **Roman Pot and Single Diffractive process:**
  - Acceptable cases:
    1. Only 1 east RP track , no requirement on west RP
      - RP track must be good track:
        - a) Each track hits  $> 6$  planes
        - b) East RP  $\xi$  dependent  $\theta_X$  ,  $\theta_Y$  ,  $P_X$  and  $P_Y$  cuts
        - c) East RP  $0 < \xi < 0.15$
  - East Large BBC ADC sum  $< 80$  and East Small BBC ADC sum  $< 90$
- Corrections:**  
EM-jet energy correction and Underlying Event correction

# Event selection and corrections for RG process

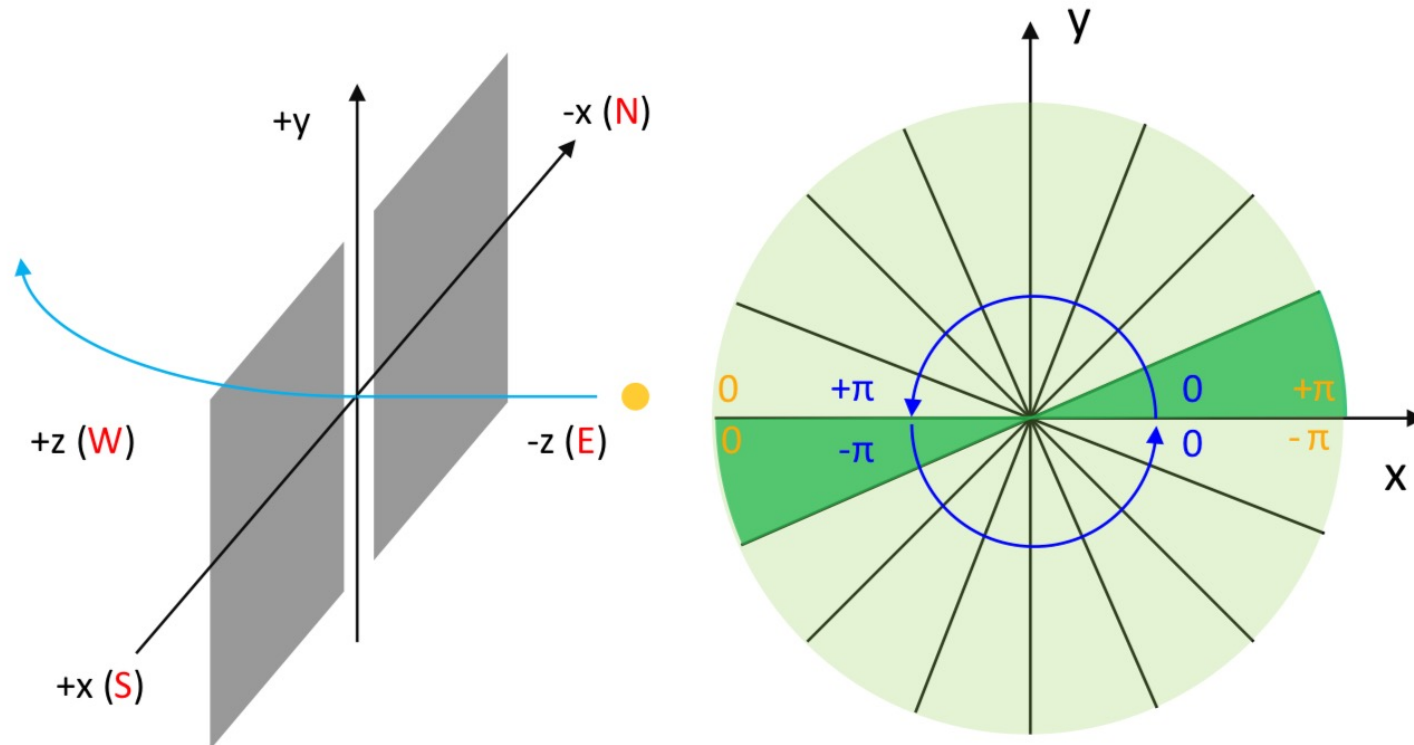
- **FMS**
    - 9 Triggers, veto on FMS-LED
    - Only 1 EM-jet per event is allowed
    - bit shift, bad / dead / hot channel masking (include fill by fill hot channel masking)
    - Jet reconstruction: StJetMaker2015 , Anti-kT,  $R < 0.7$  , FMS point energy  $> 1$  GeV,  $p_T > 2$  GeV/c, trigger  $p_T$  threshold cut, FMS point as input.
  - **Only allow acceptable beam polarization (up/down).**
  - **Vertex** (Determine vertex z priority according to TPC , VPD, BBC.)
    - Vertex  $|z| < 80$  cm
  - **No Roman Pot requirement**
  - East Large BBC ADC sum  $< 80$  and East Small BBC ADC sum  $< 90$
- Corrections:**  
EM-jet energy correction and Underlying Event correction

# Transverse single spin asymmetry ( $A_N$ ) calculation

- We use **cross ratio** method to calculate the diffractive EM Jet  $A_N$  at FMS.

- Raw  $A_N$ : 
$$\varepsilon = \frac{\sqrt{N^\uparrow(\phi)N^\downarrow(\phi+\pi)} - \sqrt{N^\downarrow(\phi)N^\uparrow(\phi+\pi)}}{\sqrt{N^\uparrow(\phi)N^\downarrow(\phi+\pi)} + \sqrt{N^\downarrow(\phi)N^\uparrow(\phi+\pi)}} \approx pol * A_N * \cos(\phi)$$

- Plot  $A_N$  as a function of  $x_F$ , or  $p_T$  ( $x_F = \frac{E_{EM\ jet}}{E_{Beam}}$ )
- Divide full  $\phi$  range  $[-\pi, +\pi]$  into 16 bins.



# Systematic uncertainty for SD and RG events

- We use Bayesian method for systematic uncertainty study. (ref: arXiv:hep-ex/0207026)
- First of all, for the cuts we choose, varying each individual cut value for calculating the asymmetry. **The first three terms apply for both processes**
  - Small BBC east ADC sum cuts: choose  $< 70$ ,  $< 80$ ,  $< 100$ ,  $< 110$  for systematic uncertainty
  - Large BBC east ADC sum cuts: choose  $< 60$ ,  $< 70$ ,  $< 90$ ,  $< 100$  for systematic uncertainty
  - Ring of Fire (get rid of small-bs-3 trigger)
  - Background (Only for SD events)
- Then, find out the maximum ( $A_N(1) \pm \delta(1)$ , with statistical uncertainty), and the minimum ( $A_N(2) \pm \delta(2)$ , with statistical uncertainty) for the varying cuts as systematic uncertainty.
- If the  $\frac{|A_N(1) - A_N(2)|}{\sqrt{|(\delta(1))^2 - (\delta(2))^2|}} > 1$  (Barlow check), use the **standard deviation** of all the  $A_N$  from varying all the cuts for this systematic term ( $\sigma_i$ ), otherwise, the systematic ( $\sigma_i$ ), for this term will be assigned 0
- The final systematic will be counted bin by bin ( $x_F$  bins) :  $\sigma_{summary} = \sqrt{\sum_i (\sigma_i)^2}$

# Systematic uncertainty results for SD process

The background is estimated from zerobias events (back up)

## All Photon multiplicity

Blue beam $x_F$	Small BBC east	Large BBC east	Ring of Fire	Background	Summary
0.2 - 0.25	0.0026	0.0041	0	0.0044	0.0064
0.25 - 0.3	0	0	0.0022	0.0034	0.0041
0.3 - 0.35	0	0.0020	0	0.0032	0.0037
0.35 - 0.4	0.0017	0.0034	0	0.0035	0.0052
0.4 - 0.45	0.0022	0.0052	0.012	0.0041	0.014

Yellow beam $x_F$	Small BBC east	Large BBC east	Ring of Fire	Background	Summary
0.2 - 0.25	0.0027	0.0054	0	0.0043	0.0074
0.25 - 0.3	0.0028	0.0025	0	0.0034	0.0051
0.3 - 0.35	0	0.0046	0	0.0031	0.0056
0.35 - 0.4	0.0018	0.0048	0.0051	0.0035	0.0080
0.4 - 0.45	0.0013	0.0022	0	0.0040	0.0048

## 1 or 2 Photon multiplicity

Blue beam $x_F$	Small BBC east	Large BBC east	Ring of Fire	Background	Summary
0.2 - 0.25	0.0040	0.0033	0	0.0057	0.0077
0.25 - 0.3	0.0024	0	0.0022	0.0046	0.0056
0.3 - 0.35	0.0018	0.0018	0	0.0044	0.0051
0.35 - 0.4	0.0032	0.0034	0	0.0047	0.0066
0.4 - 0.45	0.0055	0.0072	0.022	0.0052	0.024

Yellow beam $x_F$	Small BBC east	Large BBC east	Ring of Fire	Background	Summary
0.2 - 0.25	0.0035	0	0	0.0056	0.0065
0.25 - 0.3	0.0021	0.0035	0	0.0045	0.0061
0.3 - 0.35	0.0025	0.0041	0	0.0043	0.0064
0.35 - 0.4	0	0.0062	0	0.0046	0.0077
0.4 - 0.45	0.0016	0.0036	0.020	0.0052	0.021

## 3 or more Photon multiplicity

Blue beam $x_F$	Small BBC east	Large BBC east	Ring of Fire	Background	Summary
0.2 - 0.25	0	0.0076	0	0.0068	0.010
0.25 - 0.3	0.0022	0.0028	0.0023	0.0051	0.0066
0.3 - 0.35	0	0	0	0.0046	0.0046
0.35 - 0.4	0	0.0047	0.0076	0.0055	0.010
0.4 - 0.45	0.0035	0.0053	0	0.0066	0.0091

Yellow beam $x_F$	Small BBC east	Large BBC east	Ring of Fire	Background	Summary
0.2 - 0.25	0.0098	0.014	0	0.0067	0.019
0.25 - 0.3	0.0037	0.0033	0	0.0046	0.0071
0.3 - 0.35	0.0030	0.0081	0.0046	0.0045	0.011
0.35 - 0.4	0.0037	0.0047	0.0051	0.0052	0.011
0.4 - 0.45	0	0	0.015	0.0065	0.017

# Systematic uncertainty results for RG process

Blue beam $x_F$	Small BBC east	Large BBC east	Ring of Fire	Summary
0.1 - 0.2	0	0.0064	0	0.0064
0.2 - 0.25	0.0016	0	0	0.0016
0.25 - 0.3	0.00051	0.00096	0.00042	0.0011
0.3 - 0.35	0.00084	0	0	0.00084
0.35 - 0.4	0.0014	0	0.0033	0.0036
0.4 - 0.45	0.0010	0.0011	0	0.0015

## All Photon multiplicity

Yellow beam $x_F$	Small BBC east	Large BBC east	Ring of Fire	Summary
0.1 - 0.2	0.0027	0	0	0.0027
0.2 - 0.25	0.00052	0.0019	0	0.0019
0.25 - 0.3	0.00064	0.0012	0	0.0013
0.3 - 0.35	0.00066	0.00047	0	0.00081
0.35 - 0.4	0.00092	0.0013	0.0023	0.0029
0.4 - 0.45	0	0.0012	0	0.0012

Blue beam $x_F$	Small BBC east	Large BBC east	Ring of Fire	Summary
0.1 - 0.2	0.0028	0.0061	0	0.0067
0.2 - 0.25	0.0018	0.0019	0	0.0026
0.25 - 0.3	0	0	0.00070	0.00070
0.3 - 0.35	0.00094	0	0.0023	0.0025
0.35 - 0.4	0.0024	0.0017	0	0.0030
0.4 - 0.45	0.00074	0.0019	0	0.0020

## 1 or 2 Photon multiplicity

Yellow beam $x_F$	Small BBC east	Large BBC east	Ring of Fire	Summary
0.1 - 0.2	0.0027	0	0	0.0027
0.2 - 0.25	0.00081	0.0024	0	0.0018
0.25 - 0.3	0.0015	0.0011	0	0.0019
0.3 - 0.35	0.00086	0.0011	0.0017	0.0022
0.35 - 0.4	0	0.0015	0.0034	0.0037
0.4 - 0.45	0.00069	0	0.0059	0.0060

Blue beam $x_F$	Small BBC east	Large BBC east	Ring of Fire	Summary
0.1 - 0.2	0	0.0088	0	0.0088
0.2 - 0.25	0.0015	0	0	0.0015
0.25 - 0.3	0	0	0	0
0.3 - 0.35	0.00082	0	0.0018	0.0020
0.35 - 0.4	0	0	0.0040	0.0040
0.4 - 0.45	0.0028	0.0021	0.0036	0.0050

## 3 or more Photon multiplicity

Yellow beam $x_F$	Small BBC east	Large BBC east	Ring of Fire	Summary
0.1 - 0.2	0.0045	0	0	0.0045
0.2 - 0.25	0	0.0028	0	0.0028
0.25 - 0.3	0.0014	0.0026	0	0.0029
0.3 - 0.35	0.0014	0	0	0.0014
0.35 - 0.4	0.0017	0.0014	0	0.0022
0.4 - 0.45	0.0017	0.0021	0.0046	0.0053

# Apply the trigger threshold $p_T$ cut

- The EM-jet  $p_T$  based on the trigger threshold are listed as follows, with 15% increase. Consistent with inclusive EM-jet  $A_N$  analysis

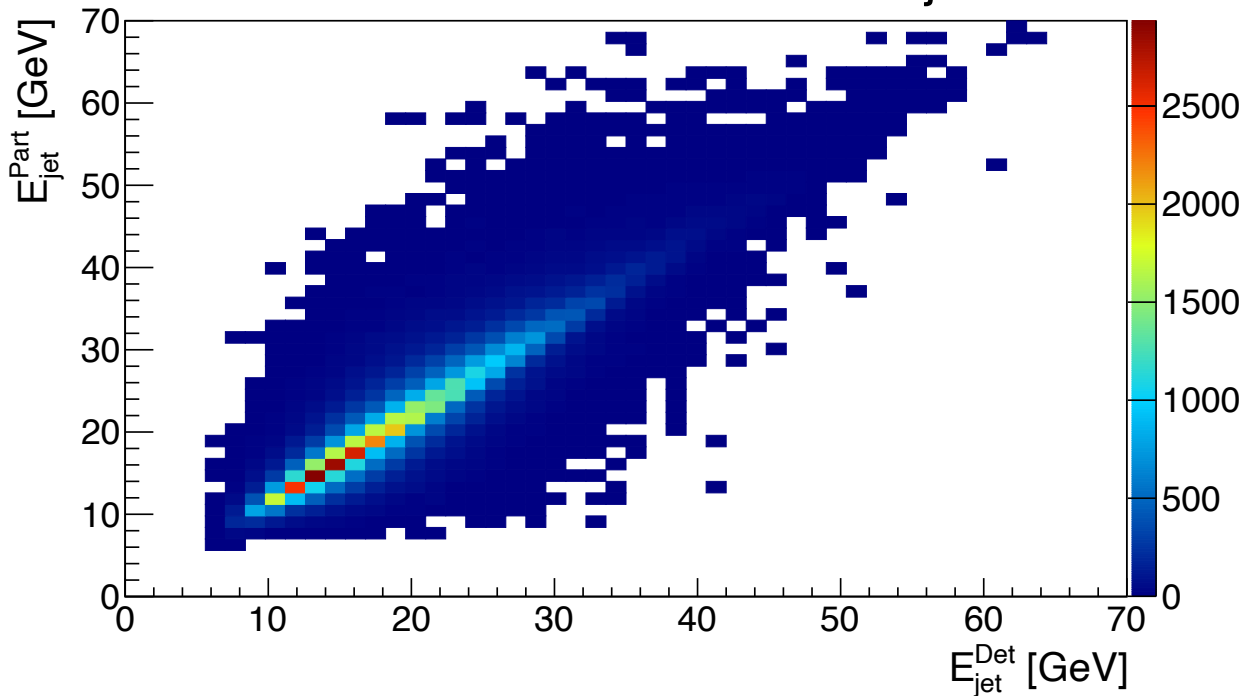
Trigger name	Trigger ID	15% increase $p_T$ cut [GeV]
FMS-JP0	480810 / 480830	1.84
FMS-JP1	480809 / 480829	2.76
FMS-JP2	480808 / 480828	3.68
FMS-sm-bs1	480801	1.26
FMS-sm-bs1	480821 / 480841	1.15
FMS-sm-bs2	480802 / 480822	1.84
FMS-sm-bs3	480803	2.53
FMS-sm-bs3	480823 / 480843	2.18
FMS-lg-bs1	480804	1.26
FMS-lg-bs1	480824 / 480844	1.15
FMS-lg-bs2	480405 / 480425	1.84
FMS-lg-bs3	480406 / 480426	2.76



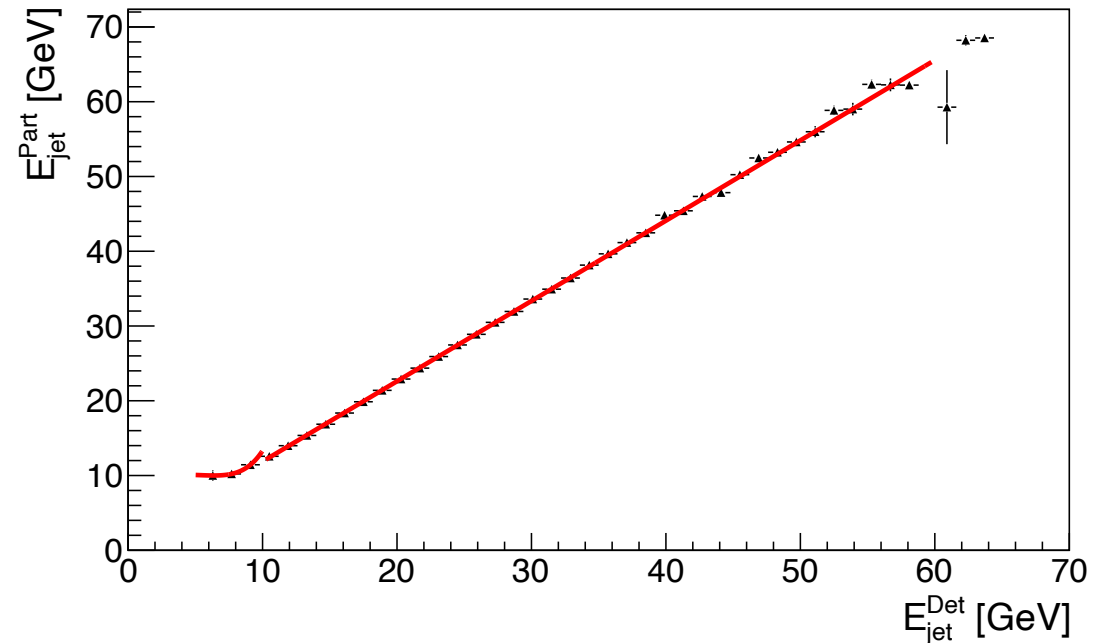
# Energy correction

- Detector level to particle level correction.
  - 6-th order polynomial for [5, 10] GeV
  - Linear function for [10, 60] GeV

Particle level vs Detector level jet E



Particle level vs Detector level jet E



# Polarization uncertainty

- $\sigma(P_{set}) = P_{set} \cdot \frac{\sigma(scale)}{P} \oplus \sigma_{set}(fill\ to\ fill) \oplus P_{set} \cdot \frac{\sigma(profile)}{P}$

- $\frac{\sigma(scale)}{P} = 3\% \text{ [1]}$

- $\frac{\sigma(profile)}{P} = \frac{2.2\%}{\sqrt{M}} = 0.3\% \text{ [1]}$

- $\sigma_{set}^2(fill\ to\ fill) = \left(1 - \frac{M}{N}\right) \frac{\sum_{fill} L_{fill}^2 \sigma^2(P_{fill})}{(\sum_{fill} L_{fill})^2}$

Close to 0

- $\sigma_{set}(fill\ to\ fill) = 0.3\%$

- $\sigma(P_{fill}) = \sigma(P_0) \oplus \sigma\left(\frac{dP}{dt}\right) \left(\frac{\sum_{run} t_{run} L_{run}}{L_{fill}} - t_0\right) \oplus \frac{\sigma(fill\ to\ fill)}{P} P_{fill} \text{ [2]}$

- so  $\sigma(P_{set}) = 3.0\%$

[1] W. B. Schmidke, [RHIC polarization for Runs 9-17](#)

[2] Z. Chang [Example calculation of fill-to-fill polarization uncertainties](#)

# Background study: FMS EM-jet and BBCE veto (RG)

- The process with FMS EM-jets and BBCE veto are one potential source of the background
  - The east BBC covers a unit of 3 for pseudorapidity gap. We call it Rapidity Gap event set (RG)
  - They are a subset of inclusive process
- The study of RG events also serves as additional enrichment for the inclusive process and help to separate the diffractive and non-diffractive process with the rapidity gap requirement.
- Also, we use this set of events to estimate the background fraction: about 1.8 -1.9%

The random coincidence of the single diffractive events in the RG events is 0.2% (zerobias events)

$$frac_{bkg} = \frac{n_{AC}}{n_{mea}} = \frac{n_{AC}}{n_{RG}} \times \frac{n_{RG}}{n_{mea}}$$

Counting yields of each kinematic bins for RG events and measured FMS events

# Event selection and corrections for SE process

- **FMS**
  - 9 Triggers, veto on FMS-LED
  - bit shift, bad / dead / hot channel masking (include fill by fill hot channel masking)
  - Jet reconstruction: StJetMaker2015 , Anti-kT,  $R < 0.7$  , FMS point energy  $> 1$  GeV,  $p_T > 2$  GeV/c, trigger  $p_T$  threshold cut, FMS point as input.
  - Only 1 EM-jet per event allowed
- **Only allow acceptable beam polarization (up/down).**
- **Vertex** (Determine vertex z priority according to TPC , VPD, BBC.)
  - Vertex  $|z| < 80$  cm
- **Roman Pot and Semi-exclusive process:**
- Only 1 west RP track (no restriction on east RP track)
- RP track must be good track:
  - a) Each track hits  $> 6$  planes
  - b) West RP  $\xi$  dependent  $\theta_X$  ,  $\theta_Y$  ,  $P_X$  and  $P_Y$  cuts
  - c)  $0 < \xi < 0.45$ 
    - Sum of west RP track energy and all EM Jet energy (see detail in table)
- West Large BBC ADC sum  $< 60$  and West Small BBC ADC sum  $< 80$

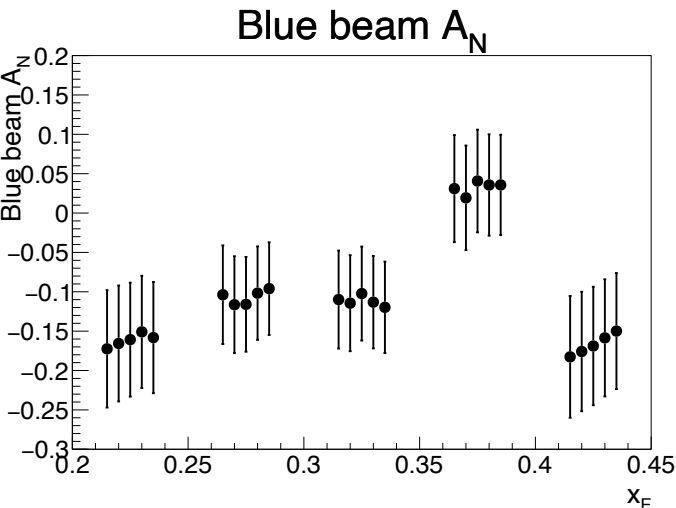
## Corrections:

EM-jet energy correction and Underlying Event correction

$x_F$	E sum Cut
0.2 - 0.25	$E_{\text{sum}} < 110$ GeV
0.25 - 0.3	$E_{\text{sum}} < 110$ GeV
0.3 - 0.35	$E_{\text{sum}} < 115$ GeV
0.35 - 0.4	$E_{\text{sum}} < 115$ GeV
0.4 - 0.45	$E_{\text{sum}} < 120$ GeV

# Systematic uncertainty

- We use Bayesian method for systematic uncertainty study. (ref: arXiv:hep-ex/0207026)
- First of all, for the cuts we choose, varying each individual cut value for calculating the asymmetry.
  - Small BBC west ADC sum cuts: choose  $< 60$ ,  $< 70$ ,  $< 90$ ,  $< 100$  for systematic uncertainty
  - Large BBC west ADC sum cuts: choose  $< 40$ ,  $< 50$ ,  $< 70$ ,  $< 80$  for systematic uncertainty
  - E sum cut, varying each cut by  $\pm 10$ , and  $\pm 5$  GeV, accordingly
  - Ring of Fire (get rid of small-bs-3 trigger)



Example: Small BBC west cuts

Each  $x_F$  set, from left to right:  
varying the cuts from original:  
-20, -10, 0, +10, +20

$x_F$	E sum Cut
0.2 - 0.25	$E_{\text{sum}} < 110$ GeV
0.25 - 0.3	$E_{\text{sum}} < 110$ GeV
0.3 - 0.35	$E_{\text{sum}} < 115$ GeV
0.35 - 0.4	$E_{\text{sum}} < 115$ GeV
0.4 - 0.45	$E_{\text{sum}} < 120$ GeV

# Calculating the systematic uncertainty (1 or 2 photon multiplicity)

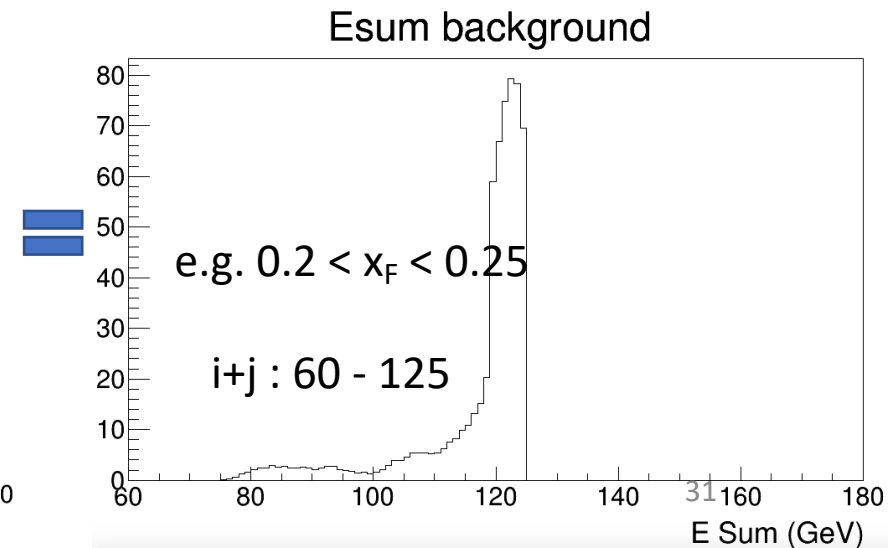
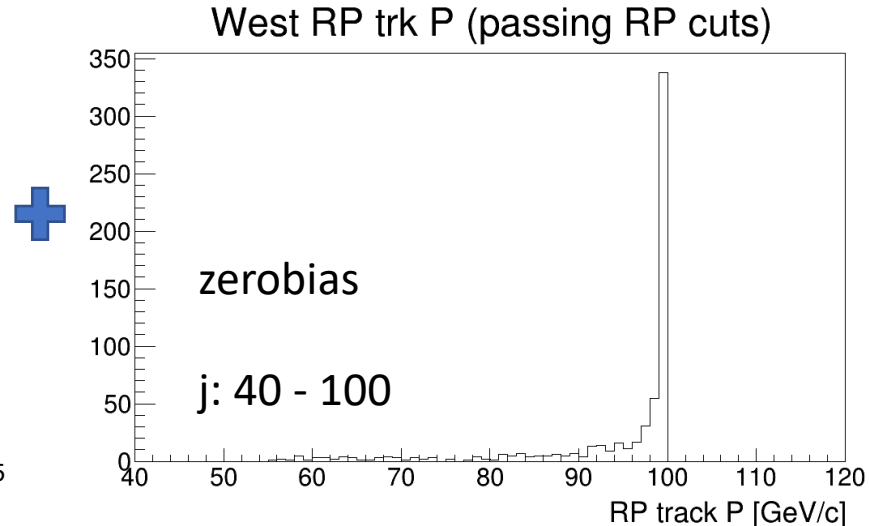
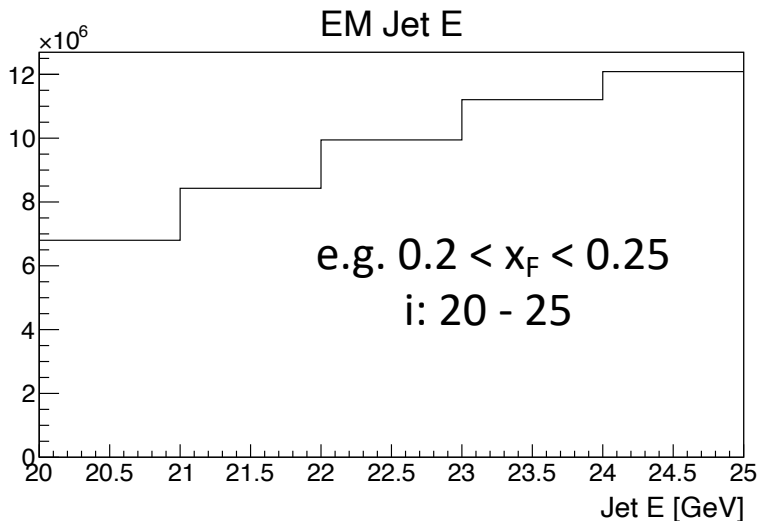
- Then, find out the maximum ( $A_N(1) \pm \delta(1)$ , with statistical uncertainty), and the minimum ( $A_N(2) \pm \delta(2)$ , with statistical uncertainty) for the varying cuts as systematic uncertainty.
- If the  $\frac{|A_N(1) - A_N(2)|}{\sqrt{|(\delta(1))^2 - (\delta(2))^2|}} > 1$ , use the **standard deviation** of all the  $A_N$  from varying all the cuts for this systematic term ( $\sigma_i$ ), otherwise, the systematic ( $\sigma_i$ ), for this term will be assigned 0
- The final systematic will be counted bin by bin ( $x_F$  bins):  $\sigma_{sys} = \sqrt{\sum_i (\sigma_i)^2}$
- The background refers to the potential background in E sum, estimated using the mix event background (see back up slides)

Blue beam $x_F$	Small BBC west	Large BBC west	Ring of Fire	Energy sum	Background	Summary
0.2 - 0.25	0	0.033	0	0.028	0.0033	0.043
0.25 - 0.3	0.0081	0.021	0	0	0.0031	0.023
0.3 - 0.35	0.0058	0	0.010	0.011	0.0027	0.017
0.35 - 0.4	0.0072	0.011	0	0.040	0.0011	0.041
0.4 - 0.45	0.012	0.015	0	0	0.0045	0.019

Yellow beam $x_F$	Small BBC west	Large BBC west	Ring of Fire	Energy sum	Background	Summary
0.2 - 0.25	0.018	0.014	0	0	0.00059	0.023
0.25 - 0.3	0.012	0	0.0045	0.027	0.00068	0.030
0.3 - 0.35	0	0.015	0	0.0012	0.0011	0.019
0.35 - 0.4	0	0.010	0.017	0	0.0042	0.020
0.4 - 0.45	0	0	0	0.011	0.0032	0.012

# Background study for E sum in SE process

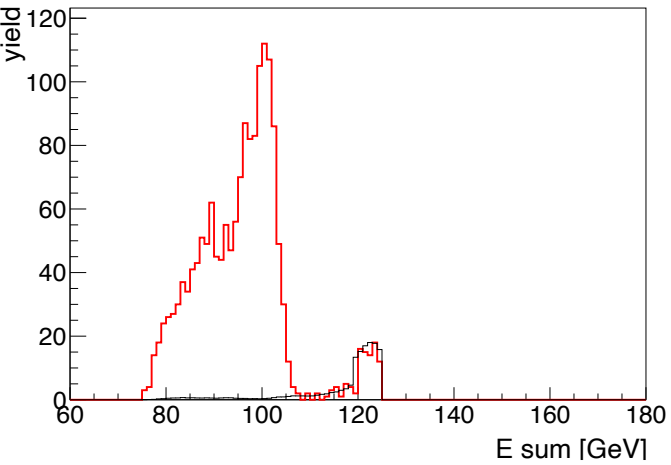
- We use zerobias stream events to study the background shape for E sum spectrum for different EM-jet  $x_F$  ranges.
  - E sum (**background**)= E(EM-jet from **inclusive process**) + E(west RP from **zerobias**)
- Calculation:  $Esum(i + j) = \sum_{i,j} P(i) * n(j)$  , i are all possible energies (in 1 GeV bin) for specific  $x_F$  range ; j are all possible energies (in 1 GeV bin) for west RP track energy (momentum) in zerobias data.
  - P(i) is the fraction for EM-jet yields in [i,i+1] (GeV) within the specific  $x_F$  range .
  - n(j) is the yields in west RP energy (momentum) in [j,j+1] (GeV).



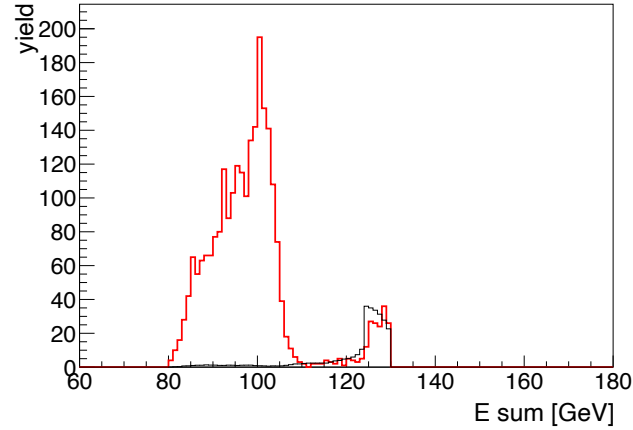
# Mix event energy sum study results

- We use zerobias stream events to study the background shape for E sum spectrum for different EM-jet  $x_F$  ranges.
  - E sum (**background**)= E(EM-jet from **inclusive process**) + E(west RP from **zerobias**)

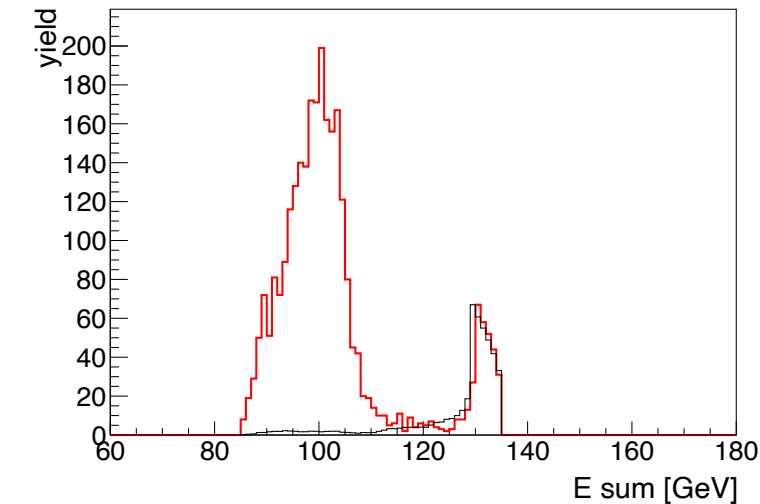
Esum distribution for  $0.2 < x_F < 0.25$



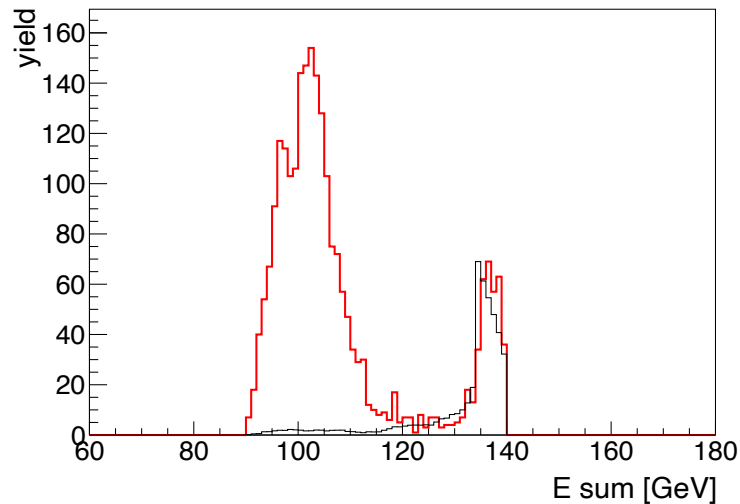
Esum distribution for  $0.25 < x_F < 0.3$



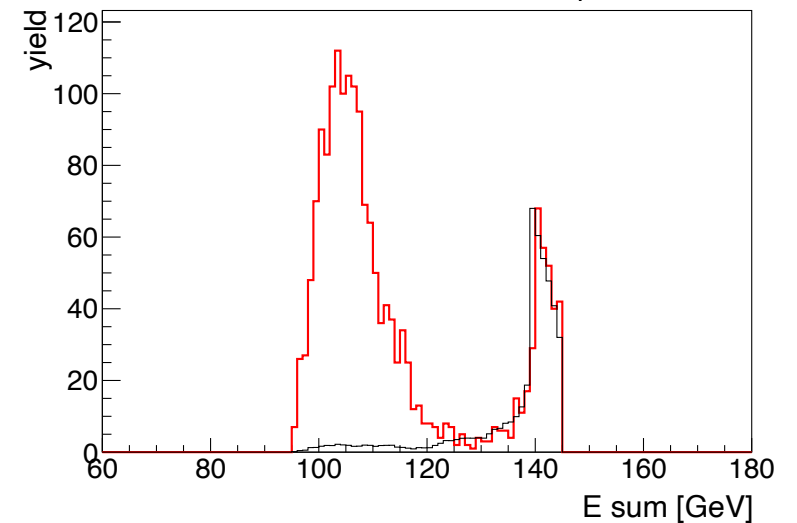
Esum distribution for  $0.3 < x_F < 0.35$



Esum distribution for  $0.35 < x_F < 0.4$



Esum distribution for  $0.4 < x_F < 0.45$



All photon multiplicity

**Black** curve (Background) is mixed events from zerobias events (scaled to data).

**Red** curve is the FMS stream data



# Mix event background study results

- The background from mix event will be counted as systematic uncertainty results.

- $frac = \frac{\text{Integral of yields in signal region for mix event background}}{\text{Integral of yields in signal region for FMS data}}$

$x_F$	Signal region	Frac of background (%)
0.2 - 0.25	$E_{\text{sum}} < 110 \text{ GeV}$	1.3
0.25 - 0.3	$E_{\text{sum}} < 110 \text{ GeV}$	1.3
0.3 - 0.35	$E_{\text{sum}} < 115 \text{ GeV}$	2.1
0.35 - 0.4	$E_{\text{sum}} < 115 \text{ GeV}$	2.0
0.4 - 0.45	$E_{\text{sum}} < 120 \text{ GeV}$	2.7

# Estimating the cross section fraction

- The cross section fraction :  $\frac{\sigma(SD)}{\sigma(inc)}$
- $\sigma(SD) = \frac{N_{SD} * purity}{\mathcal{L} * \epsilon_{RP} * \epsilon_{BBC} * \epsilon_{FMS} * \epsilon_{trigger}}$
- $\sigma(inc) = \frac{N_{inc}}{\mathcal{L} * \epsilon_{FMS} * \epsilon_{trigger}}$
- Since both analysis are using the same dataset, same triggers and same FMS detector, so we assume  $\mathcal{L}, \epsilon_{FMS}, \epsilon_{trigger}$  are same in calculating for both single diffractive and inclusive cross section
- So,  $\frac{\sigma(SD)}{\sigma(inc)} = \frac{N_{SD} * purity}{N_{inc} * \epsilon_{RP} * \epsilon_{BBC}}$

# Systematic uncertainty for the efficiency

- $\varepsilon_{RP}$ : From the STAR central exclusive paper (JHEP07(2020)178 , GPC #290): *Measurement of the central exclusive production of charged particle pairs in proton-proton collisions at  $\sqrt{s}=200$  GeV with the STAR detector at RHIC* , the relative uncertainty of the RP efficiency is up to 6.5%

	$\delta_{\text{syst}}/\sigma_{\text{fid}}$ [%]					
	TOF	TPC	RP	Other	Lumi.	Total
$\pi^+\pi^-$	3.0 -2.8	3.5 -3.3	5.8 -5.1	3.2 -3.1	6.4 -5.7	10.3 -9.3
$K^+K^-$	9.3 -9.4	5.2 -7.5	4.9 -6.4	4.7 -6.1	6.4 -5.7	14.2 -16.0
$P\bar{P}$	5.8 -5.0	4.1 -3.4	6.5 -5.5	10.2 -9.9	6.4 -5.7	15.4 -14.0

- $\varepsilon_{BBC}$ : According to the STAR proposed paper (GPC #307): *Measurement of charged-particle production in single diffractive proton-proton collisions at  $\sqrt{s}=200$  GeV with the STAR detector at RHIC* , the relative uncertainty of efficiency of the (small) BBC is up to 10% ( $\delta\varepsilon_{BBC}/\varepsilon_{BBC}$ ).
  - The deviations between PYTHIA 8 and HERWIG models are of the order of 4% at  $0.02 < \xi < 0.05$ , 2% at  $0.05 < \xi < 0.1$  and about 10% at  $0.1 < \xi < 0.2$ . The differences between PYTHIA 8 and EPOS-LHC predictions are at the level of 3%, except  $n_{ch} \leq 3$  for which the difference varies up to 6%. The maximum difference between PYTHIA 8 and HERWIG/EPOS-LHC hadronization models is used as the relative systematic uncertainty.